## Exhibit 1

## <u>AFFIDAVIT FOR SEARCH WARRANT'S – FALSIFYING EVIDENCE LOCATIONS</u> TO HAEG'S GUIDE AREA

- 1. Your affiant is an Alaska State Trooper with over six years of experience including five in the Yukon and Kuskokwim area. I am currently assigned to the State's Bureau of Wildlife Enforcement in McGrath. My main duties include enforcement of fish and wildlife related crimes. In addition to my law enforcement experience I am a lifelong Alaska resident and have actively trapped for over 20 years.
- 2. For many years it has been illegal to shoot wolves from an airplane. As part of an experimental predator control program in a small area around McGrath, it was made legal to aerial hunt wolves by a select number of permitted hunters as long as they remained within the permit hunt boundaries and adhered to strict reporting requirements and permit conditions. The only legal methods of take for wolves outside of the two permitted areas in the State are either ground shooting after three A.M. after the day a person has flown, or trapping and snaring. On 3-5-04, the Alaska Department of Fish and Game issued permit #12 to David S. Haeg and Tony R. Zellers allowing them to take wolves with the aide of an airplane (same day airborne) within the portion of Game Management Unit 19D East outlined by map and written description.
- 3. On Haeg's and Zellers' application form they stated that they would be operating from Trophy Lake Lodge, a fully equipped, well insulated hunting lodge located just southeast of McGrath and capable of supporting winter flight and hunting operations, built, owned and operated by David Haeg. If not based at the lodge, they planned on basing out of McGrath (which did not end up being the case). In addition they stated that they would be using a bush modified, high performance, PA-12 Supercruiser on Aero 3000 skis. David Haeg identified himself as a Master Guide on his application for the aerial wolf hunting permit with the Alaska Department of Fish and Game. (See attached application).
- 4. On 3-21-04 your affiant contacted Haeg and Zellers in McGrath and viewed their aircraft, N4011M, I specifically noted the style of skis and oversized tail wheel without a tail ski, which is a rather unusual set up in this area. Out of all the aircraft permitted to legally hunt wolves in the McGrath area, this was the only one set up with these skis in conjunction with this type of rather unique tail wheel. During our conversation Haeg commented on the performance of his skis, and the one-inch wide center skeg. Zellers specifically commented on the type of experimental shotshells they would be using to shoot wolves with. This included new copper plated pellets and Remington "hevi shot". As Zellers was describing the new shot, he pointed into the airplane and I observed a camouflaged colored shotgun near the rear seat. Zellers went on to describe how with the short shot gun and the type of doors on this airplane, he was able to shoot out both sides of the airplane without the airplane making a full circle turn. N4011M is registered to Bush Pilot, Inc., P.O. Box 123, Soldotna, Alaska 99669. This is the mailing address

listed for David Haeg on his wolf permit application with the Alaska Department of Fish and Game.

- 5. On 3-26-04, while patrolling in my state PA-18 supercub in the upper swift river drainage located with GMU-19C I located a place where an aircraft had landed next to several sets of wolf tracks. From my experience as a long time hunter trapper I recognized this as common practice when looking to see the direction of travel of the wolves. This location was approximately 50 plus miles outside of the permitted aerial wolf hunting zone.
- 6. On 3-27-04, I returned to this location and eventually located where four wolves had been killed in separate locations just up river from the initial point. Aerial inspection of the sites showed that in every instance running wolf tracks ended in a kill site, with no wolf tracks leaving the kill site. Ground inspection of one of the kill sites confirmed my earlier observations. From my experience I recognized this as being consistent with wolves being taken from arid airplane. At all four locations airplane backs consistent with David Haeg's airplane were observed and the wolf carcasses had been removed.
- 7. Trophy Lake Lodge is located in Game Management Unit 19C, and is a large guide camp which Haeg owns and uses for both commercial and private use throughout the year. The lodge is located on the upper Swift River, 27 miles upstream of the kill sites, and 63 miles southeast of the nearest boundary of the legally permitted aerial wolf hunting area.
- 8. On 3-28-04. I returned to the kill sites and did a thorough ground investigation. At kill sites # 1, #3 and #4 I was able to locate shotgun pellets in the snow next to the point where the wolf tracks ended in a bloody kill site. Investigations at kill site #3 showed a vertical trajectory of the pellets, consistent with the shot being fired from an airplane. At kill sites #3 and #4 I found copper plated buck shot pellets consistent with my conversation with Zellers on the 3-21-04 in which we talked about what ammunition he would be using. At kill site #2 I found a fresh .223 caliber brass near the kill site stamped with "223 REM WOLF". There were no human tracks, snowshoes, snowmachine, or airplane ski tracks within 20 yards of the cartridge brass, consistent with it being fired from an airplane. Ground inspection also showed ski tracks next to each kill site consistent with the ski on your defendant's airplane and at kill site #2 I located oil drippings from a parked airplane.
- 9. On 3/29/04, search warrant 4MC-04-001SW was issued by the Aniak District Court for Trophy Lake Lodge, and Aircraft N4011M. During the search warrant execution later that same day, the lodge was searched during which distinctive ammunition (".223 REM WOLF"), wolf carcasses, and hair and blood samples were seized. The carcasses had no obvious trap or snare marks, and appeared to have been shot. It was learned that Aircraft N4011M was in Soldotna (McGrath ADF&G spoke to Haeg at his home) at the time, and the search warrant return was submitted to the Aniak Court on 3/30/04.
- 10. During my time as a pilot in remote Alaska, it has been my experience that most pilots use a global position system (GPS) in conjunction with maps of the area when conducting bush flight operations. It is very common to save landing sites, lodge locations, and kill sites in

the GPS, or to mark the locations on a map. Many of the hunters participating in hunts with specified boundaries, mark the boundaries on either the map or the GPS. Haeg provided GPS coordinates for the kill sites of the three wolves that he reportedly killed inside the legal permit hunt area. I flew to the coordinate which Haeg provided to ADF&G, and was unable to locate ski tracks or kill sites.

- 11. During the investigation it was brought to my attention by another Trooper that on the web site found on the internet at <a href="www.davehaeg.com">www.davehaeg.com</a> David Haeg offers winter wolf hunting and trapping trips for \$4,000.00. He goes on to state that in his advertisement that he will guarantee that every hunter takes home a wolf or wolverine hide. On the web site there are photographs of what appear to be shot wolves in front of N4011M. Also in the photo is a man holding a Ruger mini-14 rifle, which is capable of firing .223 caliber cartridges. There are numerous other photographs on the site showing shot and snared wolves.
- 12. Less than one quarter mile from kill site #1, there is the carcass of a dead moose which the wolves have been feeding on. The moose carcass has snares set around it, as determined by two snared animals I observed near the carcass. The airplane tracks where the trapper landed and walked in to set the snares next to the moose carcass are the same type and vintage of those at the shot gun and rifle killed wolf sites. During the investigation there were no catch circles or drag marks typically found at sites where wolves have been trapped or snared. All four of the wolves were free roaming and left normal running wolf tracks up until the point they were shot.
- 13. At both the consolidation (a location between the kill sites where this same aircraft landed and took off several times) site and kill site #3, shoe tracks which appeared to be made from "bunny boots" were observed.
- 14. On 3/29/04, I executed a search warrant at the lodge, but the airplane was in Soldotna at the time. Soldotna Troopers have visually confirmed that the airplane is at the Haeg residence currently. The residence address listed by David Haeg on his wolf hunting permit is 32283 Lakefront Drive in Soldotna. On 3/30/04, Tony Zellers telephoned the McGrath ADF&G office and requested that a copy of the revised wolf permit conditions be faxed to David Haeg's residence. The reported kill date of the wolves by Haeg and Zellers was 3/6/04, and the wolf hides would need to be either fleshed, stretched, and dried, or stored in a refrigerator or freezer to prevent spoilage.
  - 15. Landing gear, ski's, and tail wheels can be rapidly removed from an aircraft.

Trooper B. Gibbens "s/"
Title Signature

Subscribed and sworn to or affirmed [telephonically] before me on March 31, 2004, at Aniak, Alaska.

(Seal) "s/" Magistrate Margaret Murphy

#### Exhibit 2

October 4, 2007

Mark Woelber Assistant Bar Counsel Alaska Bar Association

RE: Attorney Grievance: ABA File NO 2007D173

#### Paragraph #2:

Mr. Haeg complains of a couple of things in this paragraph of his grievance: that due process was not followed regarding the seizure and forfeiture of his property and that I lied to the judge when I argued her that she would be usurping executive authority if she allowed the defendant to post a financial bond in lieu of his seize plane. As the State's opposition pointed out, Mr. Haeg is incorrect in his understanding of the law in this area, including the holdings of the very cases he relies upon. Mr. Haeg's property was seized based on a valid warrant obtained through the judicial process in a criminal mater. Accordingly, due process was satisfied and there is no right to an immediate hearing regarding the property...

#### Paragraph #3.

Haeg is also mistaken in his belief that I wrongly used information obtained during plea negotiations to prosecute him in his criminal case. It is true that part of the plea negotiations with both Haeg and his codefendant Tony Zellers required each of them to provide truthful statements about their violations. Both Haeg and Zellers provided these interviews. The purpose of these interviews was to have a statement from each defendant that could then be used during the prosecution of the co-defendant if the co-defendant did not resolve his case. And part of the agreement sought to be reached with each individual defendant required him to testify against his co-defendant. Accordingly, the information obtained from each defendant was also used to determine the appropriate charges to be filed against the co-defendant. Using this procedure, the State was able to use the information obtained from the interview with Zellers in the prosecution of Haeg. Because the information obtained from each of the defendant's was essentially identical, it is understandable that Haeg believes that his statement given as a part of plea negotiations was wrongly used against him. However, this was not the case, the State relied on the information obtained from Zellers in prosecuting Haeg.

Again, the fact that Haeg nor his attorneys have raised this issue in pre or post trial motions or appeals is indicative of the fact that there was no violation. Any attempt to use Haeg's plea negotiation statements would have resulted in a motion to suppress.

Paragraph # 6.

Haeg complains that I suborned perjury when Trooper Gibbens testified at Haeg's sentencing that he did not know why Haeg had given up guiding in 2004.

I have no recollection of Trooper Gibbens making such statement. However, even if he did, there is no perjury involved and no violation of the Rules of Professional Conduct for a couple of reasons. First, as discussed above, Haeg had withdrawn from the Rule 11 agreement, so even though the agreement called for a year suspension of Haeg's guide license, the agreement was no longer in effect.

I, Scot H. Leaders, hereby certify that the above information is true and correct to the best of my knowledge and belief.

Dated: <u>10/4/07</u> Signed: <u>"s/"</u>

#### Exhibit 3

April 1, 2004

SOA

Department of Public Safety

Case No. 04-23593

Reporting Officer: Glenn Godfrey Permit ID GGG9 Investigating Agency ABWE

Search Warrant 4MC-04-002

On 4/1/04 at 1029 hours warrant 4MC-04-002 was served on 32283 Lakefront drive off Brown's Lake Road in the Soldotna area. The following items, listed by evidence item number, were seized pursuant to the warrant.

#501-12 gauge camoflauged shotgun, serial # U233343 taken from the hangar wall.

#502-.223 Ruger rifle w/scope, serial #195-08482 taken from the hangar wall.

#503-Two rifle magazines taped together and loaded with .223 ammunition located under the rifle and shotgun.

#504-Five pair of bunny boots taken from a hanging rack in the hangar.

#505-One pair of bunny boots that HAEG was wearing when he arrived at the residence.

#506-Paperwork from the house office.

#507-Kodak Camera I226631 1 taken from the plane in the hangar.

#508-Olympus Camera 987753 taken from the plane in the hangar.

#509-.223 casing found outside the garage door to the hangar.

#510-White Rope from the hangar.

#513-Nine 12 guage shotgun shells from open faced building by the lake.

#514-Five well used wolf snares from the hangar.

- #516-Bag of miscellaneous ammunition from the hangar.
- #51 & Green cord from the hangar.
- #519-Animal Hair found by the fleshing station in the hangar.
- #520-Two quarts Wl00 Aeorshell aviation oil from the shed near the lake.
- #521 -White cord from the hangar
- #522-Three samples of hair and blood from near the open shed where the plane was parked.

#### INFORMATION:

During the search warrant I had multiple contacts with HAEG. Most of those contacts were recorded and HAEG said

\*When can I get my plane back? I have clients coming in tomorrow and I have to set up bear camp.

### Exhibit 4

#### **BRENT COLE'S RECORDINGS**

## LAW OFFICES OF

#### MARSTON & COLE, P.C. 745 WEST POURTH AVENUE, SUITE 502

ERIN B. MARSTON ANCHORAGE, ALASKA 99501-2136 BRENT R. COLE COLLEEN J. MOORE

TELEPHONE (907) 277-\$001 TELECOPIER (907) 277-8002 p.2

August 25, 2005

VIA FACSIMILE: 262-7034

Mr. Arthur S. Robinson Robinson & Associates 35401 Kenai Spur Highway Soldotna, Alaska 99669

Re:

SOA v. David Haeg Our File No.: 102.484

Dear Chuck:

I am in receipt of the letter from your office dated August 22, 2005, in which a subpoena was enclosed for my appearance at Mr. Haeg's upcoming sentencing hearing. As I discussed with you in an earlier telephone conversation, I was not intending to be available on September 1, 2005, as it is opening day for duck and moose hunting season. I have already made plans to be out of the office. Please keep me advised as to the status of the hearing in this matter.

If you have any further questions or concerns, please do not hesitate to contact me. Thank you.

Very truly yours,

MARSTON & COLE, P.C.

Brent R. Cole

# 11/11/04 Recording of Cole while he was still Haeg's attorney Just after arraignment of 11/9/04

Haeg: Anyway I don't know have you seen all the crap hitting the newspapers etc. etc. I assume?

Cole: Well yeah.

Haeg: <u>Um and is that you know at the time we gave our – our statements and stuff is that – uh - proper for them to do to release all that stuff? I mean is that how it goes or what?</u>

Cole: Yep.

**Haeg:** And do I just sit back and uh not do anything about that or what?

Cole: Well um I don't know what we're goanna do, ok.

Haeg: Where I get lost is why did we tell the State everything then if they're just goanna use it against us? Why did we do that?

Cole: We wanted to mitigate the damages.

Haeg: What I guess what I was getting at is – um - why uh and I you know I've been stewing about all this stuff because we in good faith flew Tony up here, took my kids out of school, had my - you know - my wife and I come up there get hotels all this stuff and it really gripes me that we didn't get to pursue what we had to pursue and is it I know you said that the only person we could bitch to is Leaders or Leaders boss. I mean I bit my tongue when the judge – when we were talking – I mean I was scared to death of course I wasn't thinking real straight but could it – is it – it doesn't do any good to bitch to the judge say, ''hey we did all this on good faith with the State and then they just pulled the rug out from under us after we you know essentially spent another \$2000 dollars or \$3000 dollars just to have people come from Illinois and everything else and they just roop right out from under us''. Is that the way the ...

Cole: They didn't - <u>I don't understand why you say that.</u> We had 4 options on Monday night and we went through every one of the options.

**Haeg:** Well we couldn't have – we didn't have ...

**Cole:** Two of them would have allowed you to go out and be sentenced on Tuesday.

Haeg: Not with the agreement that we'd had.

**Cole:** I mean if you had gotten that agreement David ok lets assume you got it.

Haeg: Yep

**Cole:** What makes you think that it - things would be any better?

**Haeg:** I mean you don't remember telling me that uh - ok let me just think and put my words very – as clear as I can say - as I can – that *this was all about the airplane* and that ...

Cole: I said that - I remember saying that.

Haeg: And that there was a very good chance I would get to keep my ...

Cole: <u>I said they must have thought there was a chance.</u> <u>CAUSE THEY CHANGED</u> THE RULES.

Haeg: Well I'm not – <u>I'm not blaming you for telling me what you think.</u> <u>That's what I'm paying you for.</u> I – you – I think you're taking it that I'm attacking you I'm not. <u>I want to somehow bring forth that in good faith I decided what I wanted to do with my family with your advice. Ok I take you advice sometimes - sometimes I don't and that's – that's my privilege.</u>

Cole: *That's right*.

Haeg: that's my privilege

Cole: <u>That's exactly right.</u>

Haeg: And in my perspective we had an agreement like for 2 weeks and I made all the arrangements to in good faith go to McGrath. You follow me so far?

Cole: <u>Yeah.</u>

Haeg: And after we had invested a lot of time, effort, and money, committed to that venture to settle it because my life is getting eaten up by worry among other things and I had great expectations to leave McGrath either without a license for 5 years, and no airplane, and going to jail for 6 months and a \$200,000 fine or something a little less. Um – no – nothing to do with you. I knew the judge was the one goanna be deciding that but all that was taken away from me at the last minute that agreement. Do you agree with that? Or I mean not at the last minute but whatever it was – well beyond when we could have changed anything and saved all the money in hotel and airfares and etc., etc., etc.

Cole: <u>The thing that was taken away was the option to go open sentencing total.</u> <u>There were other options that were available that would've allow us to go out to McGrath.</u> <u>But to go totally open sentencing</u> ...

Haeg: WELL TO ME THEY WEREN'T VIABLE OPTIONS.

**Cole:** The only thing that was different was the loss of the plane.

Haeg: Yep and is that – <u>is that ethical for them to do say, "yep you give us the plane and you can – you can have your day in front of the judge".</u> <u>Is that how the game is played all the time?</u>

Cole: Yep.

Haeg: Um legal way to do it?

Cole: *They have discretion*, yep.

Haeg: Ok um let me just go through my little deals here. Um - like I said when Magistrate Murphy was on the phone would it have been appropriate or could I have - could I have said, 'hey judge before you leave could I put in my 2 cents worth that I came with the understanding this was the deal and then they pulled that rug out from underneath my feet''. Could I have done that at that time?

Cole: Um - she would have - if it would be - <u>she would have cautioned you and told you before you say anything you're represented by an attorney anything you say can, will be used against you, you should speak with your attorneys advice. If you continued to insist she probably would have listened and that would have been the end of it.</u>

**Haeg:** Ok and if and when we go to sentencing and anymore I don't know. I guess it's still up in the air. We still plead not guilty *we could still go to jury trial.* 

Cole: That's right.

Haeg: And you know – it – you know <u>it also seems to me like the State enjoys the fact that I voluntarily gave up guiding because now the longer they drag it out it's just like I'm voluntarily shooting myself in the foot.</u> And that also ...

Cole: Well then why would they have agreed to allow it to go back to July 1<sup>st</sup>?

**Haeg:** Um – if – if <u>I wanted to – uh – to complain – or you complain I mean - did you ever contact Leaders boss or ever get in touch with her?</u>

## Cole: <u>I left a message</u>. <u>I haven't been in touch</u>.

**Haeg:** And ...

Cole: I mean how much – <u>how much do you want me to push it?</u> <u>Is that what you want?</u> <u>Is that really what you want me to do David?</u>

Haeg: Well.

Cole: I mean I – you know I've got to deal with these people but if you tell me, ''that's the deal I want and I'm not stopping until I get it'', I'm goanna send you a letter saying this is absolutely in my mind crazy but I will do it if you tell me.

Haeg: Well I'm not happy that they took away my opportunity that I thought we had set away from me.

Cole: Ok <u>tell me right now is that what you want me to do?</u> Do you want to go back and take <u>the risk when now you've got things in place?</u>

Haeg: You mean go back to the original agreement where it's one year ...

Cole: <u>Yes – a minimum 1 year.</u>

Haeg: Minimum 1 year - the plane is up for ...

Cole: <u>yes</u>

Haeg: ... the judge to decide. THAT IS WHAT I WANTED AT THE TIME AND THAT IS STILL WHAT I WANT. Because I feel that they mal...

Cole: <u>*Ok.*</u>

Haeg: I personally feel that they maliciously took that away from me.

**Cole:** Mm hmm.

Haeg: Um *I would like to keep my plane*. You know and I know it bugs you and...

**Cole:** It doesn't bug me.

Haeg: And so um – uh – you know and – <u>you know I understand you need to</u> have a relationship with the - with these people but I also know that I'm paying

you to protect my interest. I know you have a - a - you know - it kind of goes both ways but I guess you know I feel you should be in my corner all the way.

Cole: *I am in your corner all the way*.

**Haeg:** You know so – uh –

Cole: That's why I keep trying to push you to see these things.

Haeg: Well – um – alls it was is you had said once to me that hey we can't totally go bonkers because I have to live with these guys you know after you're gone and blown away, and out guiding again hopefully, or retired in Mexico I'm still here dealing with these guys on a daily basis and ...

Cole: Well I deal on my word – you're right.

Haeg: ... but what I feel when they pulled the rug out from under us when we all showed up in your office and you said, "uh Dave I got something to tell you" I feel they poked you in the eye.

Cole: THEY DID AND I'M STILL BURNING ABOUT IT.

**Haeg:** So you know what they would have filed still had the old charges in it. It just had a cover letter stating different ones and is that – I mean it just seems to me like it's unprofessional.

**Cole:** Well that's because he probably did a poor job doing it.

**Haeg:** That's what I'm saying it's very unprofessional. You know I just look through it and I'm like "huh they forgot to change all of them in the body of the document". Um I just – <u>in other words he knew – I mean he was planning on that all along and then "voop" last minute – you know.</u>

**Cole:** I don't think he was planning on it - but anyway.

Haeg: Well we were. I was.

Cole: RIGHT.

Haeg: <u>I don't know if anybody else was but I sure was.</u> <u>Otherwise I</u> wouldn't have taken my kids out of school and flown Tony up here.

#### Brent-Mm hmm.

Haeg: Um if we go to a jury trial is it in McGrath?

Cole: Yep

Haeg: You know I think that's a done deal <u>because we already have given them</u> <u>everything [they] need to persecute us</u> and now if we go that way it's pretty – anyway I would like to you think about just a really abbreviated trial.

Cole: Ok.

## 11/22/04 meeting with Cole while he was still Haeg's attorney

#### Present Brent Cole, Dave Haeg & Tom Stepnosky

**Haeg:** [O]ne of the Board of Game Members – current Board of Game Members told me in Fairbanks literally about a week before went out there he said, "Dave if you end up shooting animals outside of the area just make sure you mark them on your GPS inside the area". Well I – you know - I doubt if I can ever get him to say that on the stand. And a whole bunch of the other...

Cole: You know I don't know what to tell you on that one because I don't think that a State official can tell you to violate the law quite frankly. I really don't. I don't know that it's a defense.

**Haeg:** I already gave up a whole years worth of income.

**Cole:** *I know that David.* 

**Haeg:** Doesn't that account for anything?

**Cole:** Yeah it does – that's – that's what we negotiated.  $\cdot$ 

**Haeg:** I understand.

**Cole:** *It doesn't make any difference, to them.* I'm just saying that's the way they see things, that's the message they send to Leaders.

**Haeg:** Yep but you know it I also remember why didn't *— why didn't Leaders let us go out to McGrath when it was eleven counts and let the judge decide that?* 

**Cole:** I don't know why he didn't do that. That pisses me off. He just –he has caused me to have to sit here and explain this to you 25 times he did it because he wanted to be a dick and it pisses me off. It caused me so much problems in my dealing with you and I as much told him.

Haeg: Yep.

**Cole:** *It pisses me off. He had no concept of what it has done to your and my relationship.* 

**Haeg:** Like I told you to me it's important to get the plane back somehow. You don't – I guess what you're saying we're not goanna do that short of a trial probably – I mean he's not goanna let the plane be decided by a judge or magistrate?

**Cole:** *It doesn't sound like it to me.* 

**Haeg:** So he just put his foot down even though that isn't his job to administer punishment. It is his job to determine guilt or innocence as far as I'm concerned.

**Cole:** They can do all that – they do it all the time – I mean you can say that but...

**Haeg:** Well that's what I read through the Constitution.

**Cole:** They do it day in and day out - they set penalties.

**Mr. Haeg:** How did we get to where we're at now? From where we were at before – where you – how did we get from there to here?

**Cole:** Well I just keep talking to him, we keep working it, we keep throwing out suggestions, the pressure comes down, *there's a day that we're suppose to go out there*, they've got to put on evidence, they concede a little bit, we concede a little bit, we are where we're at.

**Cole:** Hey Scot it's Brent I have uh David Haeg and Tom in here and they're asked again about whether the Troopers are willing to talk about the plane. Can you call me back and if you talk to them if you could give me the name of the trooper whose making this decision and maybe I can talk to him personally seeing that this is such a difficult thing for them to make a decision on. Call me 277-8001. Bye

Haeg: Um have you run it by Leaders that I'm thinking about going to a jury trial?

Cole: Yep.

**Haeg:** What does he say about that – great?

Cole: <u>I don't have good client control</u>. <u>He can't believe that I would do that</u>. <u>And</u> I just say, ''well...''

Haeg: <u>*Ok.*</u>

Cole: "...he wanted to go open sentencing - yeah I know I don't understand it but".

Stepnosky: : You should have a sit down talk with Scot Leaders and say, "hey Scot come on now – I mean just like he said – gave up the hunting season, had him stewing over this god damn moose thing, now then spilled his guts from – at the deposition like he was asked to, all of that and you know what are you doing – ok – you've got him nailed to the cross already well lets put some more spikes in him – I mean – come on Scot" and say "hey (inaudible). You know it's been going on for 8 goddamn months already – I mean goddamn – life is to freaking short to be going through this shit. Resolve it and get the man on with his freaking life.

Cole: And – and you know – <u>maybe I shouldn't be so concerned out of your welfare.</u> <u>It certainly isn't in my best interest.</u> <u>My best interest is for you to litigate the shit out of this thing and just keep paying me a whole ton of money.</u>

Stepnosky: *I thought he did that already*.

Cole: No I haven't even started that - down that trail. I don't want to start down that trial. You mean -I - you know -I - I - unlike what people say about attorneys I want to see you get taken care of. <u>I mean I - under these circumstances you're never goanna feel good about this thing, regardless.</u>

Stepnosky: : <u>And with nothing in writing that I can see at this point I mean freaking Leaders can go in there before January 7<sup>th</sup> and amend that thing anyway he wants.</u>

**Haeg:** Ok well if you could just at some point try to figure out yes or no. Also we - <u>I have yet to get a full copy of my interview with the State that we did in your room.</u>

**Cole:** I understand that to -I have asked them to send a tape into the Troopers - the tape is out in McGrath -I've asked them to send it in to here so that I can have it redone.

Phone Call Between Investigator Joe Malatesta &

## Can between investigator due maiatesta &

## **Brent Cole 1/4/05 - Transcribed**

Malatesta: <u>Did you have any agreements with the State where you know sentencing was open?</u> That you folks agreed to and then the State backed out?

Cole: Well I – I that's a difficult question. The State gave us a number of options on a number of different occasions and I've gone through all that with David on a number of occasions. You mean a straight open sentencing?

Malatesta: <u>Yeah an open sentencing you know where you agreed and then they - the State backed out.</u> <u>He was telling me something about he had to bring witnesses in and all and then the State backed...</u>

Cole: Going to go to be arraigned at an open sentencing, yes.

Malatesta: And why did they back out?

## Cole: THEY DIDN'T BACK OUT THEY CHANGED THE DEAL.

Malatesta: Well that's basically backing out, right?

**Cole:** Just – just listen for a second.

**Malatesta:** I am – I have been listening. Go ahead.

Cole: So I said - he said, "If he will forfeit the plane he can have open sentencing".

**Malatesta:** Ok – I'm still with you.

Cole: "If he is unwilling to forfeit the plane and we have to have a hearing about that then I'm goanna file an amended information charging him with AS 08 54 720 A 15". Which makes him lose his license for a minimum 3 years.

**Malatesta:** I gotcha – I'm still with you.

Cole: And I said, "Hey you know that doesn't make sense to me". And he said, "Well that's the way its goanna be". AND I SAID, "OK".

Malatesta: That's great.

Cole: So then we said -um- what happened then. Then on Monday I met with David and we were scheduled to go to McGrath on Tuesday morning. So we worked and I presented all the different scenarios that David had in front of him. He was unhappy about what the DA had changed. And I was too.

**Malatesta:** Well it's – it's important.

Cole: Listen to me it doesn't make that much difference.

Malatesta: But I'm looking at this that <u>you've been honest with me this morning and I knew</u> you would be cause I work with so many lawyers and everybody told me you would be. It sounds to me like you had a rule 11 agreement verbally.

**Cole:** We had a couple different – opt - options.

Malatesta: And Scot Leaders reneged. He just backed out on those – on that agreement.

Cole: <u>HE DID.</u>

Malatesta: Ok well then he's got a problem.

Cole: Then – then <u>on Monday afternoon we reached another deal.</u> (Long pause) And <u>that deal</u> was what I thought David was goanna be willing to plea to.

Malatesta: Ok - but the whole crust of this thing is you did a good job for him, you got him an agreement, and the DA backed out.

Cole: If you guys want to look at it that way yeah you can...

**Malatesta:** All right I'm sure that Chuck may want to talk to you later but I'll try to pass this on. Took notes and I think I understand and you explained about the discovery.

Cole: One thing that the DA did back out on though is originally he said same counts that he was facing that are in that note that he sent to me "open sentencing".

**Malatesta:** And that's the point that I'm interested in.

Cole: Right.

Malatesta: And he backed out.

Cole: *Then he changed that.* 

Malatesta: Ok.

Cole: But the only thing the DA said is "if he is not goanna give up his plane then I'm going to change this from 720A08 to 720A15" which he did the very next morning anyway.

**Malatesta:** Make sure I don't mix it up. You had an agreement regardless of what all the parameters were you had an agreement with opening –

Cole: the options.

Malatesta: Right all the options <u>you had an agreement with an open sentence and basically the</u> DA backed out.

Cole: RIGHT.

# Cole's Sworn Fee arbitration testimony 4/12/06, 4/13/06, 7/11/06, and 7/12/06

**Cole:** He killed a number of wolves outside the area. Nobody knew about it except the DA, myself, some of the troopers and I thought – I thought he was going to receive a significant punishment because it made the governor look bad, it made the executive branch look bad, it put in - at risk the whole wolf hunting - airborne wolf hunting policy.

**Haeg:** Ok when I asked Brent Cole if I could have tried to get the magistrate to enforce the Rule 11 Agreement he replied quote, "She would have told you anything you say can and will be used against you and that would have been the end of it". When I insisted that Brent Cole try to enforce the Rule 11 Agreement he stated quote, "I can't force Leaders to do anything because after you are finished I still have to be able to make deals with him". During a meeting with Brent Cole & Tom Stepnosky on 11/22/04 - and that's a tape that we – my wife transcribed and I don't know if we can admit that or how that works but?

**Shaw:** Mr. Cole have you looked at that?

Cole: -Um-I-my recollection and I'm not sure about this is that this was taped without my knowledge and so I don't know that I've ever heard this tape. So I don't know.

**Shaw:** So you've got an objection to its admission?

Cole: Yes.

**Haeg:** Can I offer the tape?

**Shaw:** On – on what grounds? I don't mean to sound...

**Cole:** I have no reason – I – I have – I have no -um- lack of foundation -um- authenticity I guess.

**Cole:** I guess my question was did you – did you think that you had any defenses to the – the charges that were being discussed between you and your attorney?

**Zellers:** -Uh- yeah. -Um- the – the mere fact that the State was – they had -uh- -um- you know they had 4 of the 9 wolves locations -um- kill sites. They didn't have them all. -Um- and we were you know at that time I mean we weren't charged with anything. That was the whole thing. Is we weren't charged with anything before our interview with the State.

Cole: Ok. Do you remember –uh- that you talked about this statement that I – that I – that you say I made about not wanting to rock the boat with Mr. Leaders. Do you remember that?

Stepnosky: Yes.

**Cole:** And that was done in the context of discussing what it would take to enforce a Rule 11. Do you remember us taking about that?

**Stepnosky:** Yes I believe that's true.

**Cole:** And do you remember me telling Mr. Haeg that in order to enforce a – first of all do you remember me saying I wasn't sure – or no I guess it's your testimony that I said it was a ruling. Do you remember me telling you what it would take to enforce a Rule 11 Agreement?

**Stepnosky:** No I don't recall that.

**Cole:** Do you remember me saying that it would take cross-examining Mr. Leaders, and calling him as a witness?

**Stepnosky:** No I do not recall that.

**Cole:** Do you remember me telling you that I didn't think that it would accomplish what you wanted to accomplish? Or Mr. Haeg I should say – that I was – remember me telling Mr. Haeg that filing this Motion would not accomplish what he wanted to accomplish?

**Stepnosky:** -Uh- no I - I - I don't think so - to my recollection his idea was to go out and have the open sentencing I mean that - that was it. So if that could be accomplished, and that's what he wanted, if that would do it, then that would work for him.

**Cole:** Do you remember me telling him that - that could be filed, that Motion?

Stepnosky: No.

**Cole:** Do you remember me telling that it was goanna cost money to file that Motion?

**Stepnosky:** No I do not.

**Cole:** Do you remember me telling you that we would still have to deal with Mr. Leaders at an open sentencing and he would still be doing the recommending of the sentence if we were successful and were able to enforce a Rule 11 Agreement?

**Stepnosky:** : Do not recall that, no.

**Cole:** That's all the questions I have.

**Cole:** Would it be – I'm sorry – would it be fair to say that -um- Mr. Haeg was under a fairly substantial amount of stress after the house was searched and the airplane was taken?

Mrs. Haeg: Yeah.

**Cole:** Do you recall ever expressing to me any of your concern about his mental well-being?

Mrs. Haeg: I don't recall actually discussing it with you - I could have -um- I know I talked to you a few times on the phone -um- we were both pretty stressed out at that time - I don't - that was a really really hard time for us, with the kids and that happening and people coming to our house and doing what they did.

**Cole:** Did –uh- Mr. Haeg express to you that he was dissatisfied with my services when you drove home?

**Mrs. Haeg:** He was upset with everything that had happened – yes – he wasn't happy.

**Cole:** I guess my question was – was - did he express to you that he was dissatisfied with my services at that point?

**Mrs. Haeg:** Yes he – he didn't understand why you know something wasn't done to make the deal happen and you know it – so yes I - I believe that he was – he did tell me that yes.

**Cole:** Did you encourage him to get another attorney to represent him – in his court case?

**Mrs. Haeg:** We discussed it – he was extremely upset with what had happened – he didn't feel like you had done your job and he started you know he wanted to get another opinion and then -

and I agreed with him that it would be good to do so.

**Cole:** That's –uh- that's all the questions I have.

**Cole:** My understanding is that you looked at the search warrant and it's your opinion that if the search warrant were suppressed that there would have been no evidence against Mr. Haeg and Mr. Zellers in this case. Is that right?

**Jones:** I – what I presented was my – that my knowledge of the search warrant that – that –uh-Trooper Gibbens said that the –uh- wolves under investigations were in Unit 19C on the search warrant when in fact they were not in 19C they were in 19D and that is the criteria with which I was making that statement on.

**Cole:** And would it be your opinion then that that error would constitute a basis for suppressing any evidence found pursuant to any search warrant issued based on that affidavit?

**Jones:** Yes and I have a reason for saying that is because of the way it was structured in the complaint was that it started off with Trophy Lake Lodge is owned by the Haeg family is in 19C then it immediately thereafter the wolves under investigation were in 19C. And then when in the course of the trial –uh- under - well under the rule 11 agreement that –uh- you were negotiating with Mr. Leaders it was pointed out to his very strongly that –uh- that in fact those wolves were in 19D not 19C and so then when he comes back in to court he says those wolves are in 19C again when – when it's pointed out to him that they are not and that shows malice all the way through it that to me you know and I would think that would be a reasonable assumption with anybody that read it.

**Cole:** Have you ever heard of situations where people are made offers to plead conditioned upon them not filing search warrants —uh- motions to suppress evidence? You ever heard of that before?

**Jones:** Well I would suppose that would be available but at the same time –uh- if you're talking about negotiations towards a rule 11 and then that rule 11 got pulled away then – then it was not a valid arrangement was it?

**Cole:** Now as a defense attorney when you – when you initially heard some of the facts of this case did it raise any concerns -um- about the type of case this could become?

**Fitzgerald:** So -um- I was somewhat familiar with the kind of political climate, I was aware that Friends of Animals as they continue to do today -uh- are strongly oppose any Wolf Control

Program -um- and initially I was laboring, as I believe Mr. Cole might have been laboring, under a misperception that the State authorities because they had authorized a State Wolf Control Program that – that there might be some sympathy or adhere from the State officials regarding - um- the plight that - that Mr. Haeg and Mr. Zellers found themselves in. And almost immediately Mr. Leaders who was representing the State dispelled that – that belief among Mr. Cole and I by emphatically indicating to me repeatedly that this was not the kind of case that we were goanna find any sympathy for in fact the State was goanna in my view bend over backwards to make sure that for political reasons if nothing else that -uh- in some measure -uh- these gentleman - um- were - the matter was goanna be addressed very sternly.

**Cole:** Do you recall talking to me about you - our understanding of our client going in – clients going in and giving statements to the officers in this case?

**Fitzgerald:** Well I can tell you my clear understanding from having talked to Mr. Leaders and I will represent here as an officer of the Court and Mr. Leaders indicated that -uh- my client Mr. Zellers was goanna be given immunity that there was nothing about that interview which I characterize as a "king for a day" – there was nothing about that interview that could be used against Mr. Zellers.

**Shaw:** Excuse me. What did you mean a "king for a day"?

**Fitzgerald:** -Um- it's – it's something that – that I frankly – you don't see -um- as frequently in State Prosecutions but in Federal Prosecutions it's – it's what I describe as -um- the immunity that – that usually is accompanied a letter -um- where you bring your client in and -um- the -uh-protections that are afforded -uh- your client are essentially use immunity protections. The – because the State and the Feds interpret immunity differently I've always interpreted that if you bought that same kind of offer and protection in -um- the States side that it would be transactional immunity.

Cole: In your discussions with Mr. Leaders did you learn that he needed Mr. Zellers testimony because he didn't have evidence of some of the counts because he couldn't use Mr. Haeg's statement?

**Fitzgerald:** I know that – that – that was discussed. I know that – that was discussed between you and Mr. Leaders; it was discussed between Mr. Leaders and myself, and -um- -uh- it Was clear to me that by virtue of the immunity provided that – that Mr. Leaders believed maybe early on that he might have – he wasn't goanna have because of the immunity agreement.... May I just make a point of clarification? I was asked about the correspondence -uh- that I believe was exhibit 1. I have a

entry on December 23<sup>rd</sup> that I'm just looking at now and says reviewed correspondence regarding king for a day from Brent Cole – conference with Cole regarding the same.

**Haeg:** Ok so what you're telling me is you've given the State everything, your client has given up both his wife and him a whole years income, flown in people from around the whole countryside, and you're telling me you wouldn't even try because it aint goanna do any good? Why do you have a lawyer?

Cole: Can I object for just a second because this has - I understand what he's trying to say but Mr. Haeg has a fundamental misunderstanding of the criminal justice system.

**Shaw:** (...)

**Fitzgerald:** I think you've asked me a number of questions Mr. Haeg and -um- I - I've described to you what I believe the appropriate steps would be and the appropriate assessment of risks would be with regard to whatever – whatever steps you took.

**Haeg:** Ok. Can you explain the risks involved in trying to enforce the agreement?

**Fitzgerald:** The risk Mr. Haeg or that if you're not successful before the – if – if you attempt and indeed do for instance file a motion with the Court and the Court rules as I think it would with regard to any kind of oral terms that it does not have the jurisdiction or ability to intercede and define those terms then what you've done is you've really drawn a line in the sand with regard to the Prosecutor and that what you've done is you've made an enemy out of frankly the last person you want to make an enemy of. Whether we like it or not Mr. Haeg us in the defense bar realize quickly that you are not infrequently in a position where you don't have the leverage and so what relationships you can develop and what ability you can develop with regard to obtaining good term for your client you want to keep in tact because when the rubber meets the road and you're a criminal defendant it's typically not a pretty picture.

**Haeg:** Well you're correct as I was the rubber that met the road. Ok – in your opinion if you advocate for your client – excuse me – are you making an enemy out of the Prosecutor?

**Fitzgerald:** It depends on the circumstances.

**Haeg:** I told you the circumstances. I'll repeat them again. Your client gave a 5 hour interview to the State, gave the State maps, gave up a whole years income not for just the client but also the clients wife most peoples arithmetic that's two years income, then for the Rule 11 Agreement you fly people in from around the country from Illinois, from a remote lodge Silver Salmon

Creek, take kids out of school, people away from work, drive up here to comply with the Rule 11 Agreement and then the Prosecutor breaks it by filing harsher charges because I believe he -uh-changed his mind. Wasn't even a mistake just changed his mind – didn't make a mistake. And your attorney can't ask for the Rule 11 Agreement to be honored because that would oh make an enemy out of the Prosecutor. Is that what you're telling me?

**Fitzgerald:** I think sir I described my answer to the best of my ability. I've described the – what I believe to be the appropriate steps.

**Haeg:** Raises my point exactly. In your opinion [is] a lawyer legally allowed to represent a client if he has a conflict of interest – a direct conflict of interest in representing that client?

**Fitzgerald:** Well this is the – well I can tell – tell you ethically that there are ethical rules that – that prevent representations when you have a conflict of interests.

**Haeg:** So is that yes or no? Just kind of be a little more clear for me please.

**Fitzgerald:** I don't know that it's good practice and there are rules that govern that thing.

**Haeg:** Ok. -Um- -uh- Mr. Fitzgerald in your opinion when a Prosecutor breaks a Rule 11 Agreement does he become the enemy of the client and his client – and that clients attorney?

**Fitzgerald:** I don't think at that stage no. If the Prosecutors the one that's breaking the agreement.

**Haeg:** (exhales) Why not?

**Fitzgerald:** Well there's nothing for the Prosecutor to be upset about if the Prosecutors the one that breaching the agreement.

**Haeg:** What I asked is if the Prosecutor breaks the agreement that he made with the client and his attorney – so the client and the attorney are one unit – there like the white – (...) cowboys and the Prosecutor is like the Indians and the Indians break the rule 11 agreement does that – that doesn't make them enemies of the cowboys. Is that what you're saying?

**Fitzgerald:** Yeah that's what I'm saying.

**Haeg:** And can you elaborate on that for me please?

**Fitzgerald:** As I said the Prosecutor – if the Prosecutor is the one that's -uh- breaching the agreement they've got – shouldn't have any hardship with regard to -uh- their prospective towards the client and the defense counsel.

**Haeg:** Don't you think that there might be a little bit a (laughs) at least irritation?

**Cole:** Objection argumentative.

**Haeg:** Have you upheld or overruled or what?

**Shaw:** (...) Mr. Fitzgerald to answer that question.

**Fitzgerald:** I – I think if the Prosecutor is the one breaching the agreement then there's little likelihood that they would be upset about that breach and hold it against the client or the defense attorney.

**Haeg:** But wouldn't the client and defense attorney hold it against the Prosecutor?

**Fitzgerald:** Oh I – yeah – I – I – I think that's -uh- breach of the bond and yeah that's – that's a – in – in my measure – I my view that's a very serious matter.

**Haeg:** So why do you say to me then if – since they're now enemies – we'll just use the word enemies cause you brought it up – why do you then say if the client and his attorney wanted to enforce the Rule 11 Agreement they couldn't because they couldn't make an enemy of the Prosecutor? But the Prosecutor – you guys see where I'm going with this? It's – to me it's pretty apparent.

**Fitzgerald:** Mr. Haeg what I said is that the issue – the real issue is one of enforceability and if the attempt at getting a Court to intervene on your behalf and enforce the Rule 11 – if that's goanna be a futile exercise then you probably damaged your clients interests or certainly not served them by making the attempt. So –

**Haeg:** So what you're saying – what you're say - in your opinion what you're saying is – is you can make an agreement and pay oh in my case maybe \$700,000.00 dollars for it and just blow it off and let the Prosecutor do whatever he wants?

**Fitzgerald:** No I'm not advocating that sir. I'm – I've said that it – it was something that needed to be carefully deliberated and considered and the consequences of the same had to be considered carefully.

**Haeg:** Ok. -Um- have you ever heard -uh- you had said that detrimental reliance on a Rule 11 Agreement rarely happens in criminal cases – yet have you ever researched that?

**Fitzgerald:** I can't say I've ever research it sir I've experienced it.

Haeg: Ok. -Um- do you trust Prosecutor Scot Leaders in all clients dealings?

**Fitzgerald:** That was really my first -uh- significant contact with Mr. Leaders I had no reason to believe he wasn't a person of his word. There are certainly that occurred in this particular case that would leave me subsequently to be more careful about his representations.

**Haeg:** Ok. -Um- did you trust Mr. – you answered now that you. At the time I made my deal did you trust Mr. Leaders?

Fitzgerald: I don't know at the point that -um- you made the deal and – and what deal you might have been talking about. I can tell you that I became concerned about Mr. Leaders representations when I was informed by Mr. Cole that he had some hesitation or wouldn't be honoring the -um- the immunity – the "king for a day".

**Haeg:** Ok. I was thinking of something else. Can you explain you said you had questions about what – what was that exactly?

**Fitzgerald:** At various points I had concerns about Mr. Leaders word.

**Haeg:** Ok and you'd said December and then November  $8^{th}$  and  $10^{th}$ . Can you say December of what year?

**Fitzgerald:** I think it was December of – December -uh- it – it - it's consistent with exhibit 1. - Um- December 23 with regard to the review of correspondence regarding "king for a day" from Mr. Cole and it - it leads me to believe that – that he and I had a discussion around that time with regard to whether Mr. Leaders was goanna honor the "king for a day".

**Haeg:** Well is there something other than the king – and I don't know what king for a day but is there something other than this that describes the "king for a day" that you say Mr. Leaders didn't want to honor?

**Metzger:** When you say this you're (...) exhibit number 1?

**Haeg:** Ok – yep.

**Fitzgerald:** At – at various junctures in the proceeding I had concerns about Mr. Leaders word. This is an example of one of them. Is that in approximately December when I believe Mr. Cole informed me that Mr. Leaders was [not] goanna honor

the "king for a day" that I had some real concerns based on my own discussions with Mr. Leaders with regard to his bond.

**Haeg:** Ok if – if a Judge heard either myself or Mr. Cole say that there was a Rule 11 Agreement and they wished it to be upheld would she have been required to hold an evidentiary hearing to decide this question?

Fitzgerald: No.

**Haeg:** And why is that?

**Fitzgerald:** Because when you wear a black robe you can do a lot of things that pretty much almost anything you want to and you're asking a Judge – my experience tells me sir that a Judge would say "that sounds like a problem of communication between you and the prosecution. Work it out – I'm not goanna in arbitration with regard to what those terms were".

**Haeg:** Ok so I'm new into the field here so in your opinion if essentially what you're putting forth is if the Prosecutor holds out a carrot to get somebody to do something he never has to give them the carrot?

**Fitzgerald:** No – I'm not saying that. The question that was asked of me with regard to whether the Judge would have to hold an evidentiary hearing. I don't believe that the Judge is legally obligated to hold an evidentiary hearing about that particular matter.

**Haeg:** Would it have been likely?

**Fitzgerald:** I don't believe so for the reasons I've articulated.

**Haeg:** Boy (hmm). So in your opinion you should never ever make a Rule 11 Agreement because there's no way of enforcing it.

Cole: I told David from the beginning – he's correct, his wife is correct – I told them at the beginning I thought there would be significant political fallout from this. I thought he might be used as an example. And my logic was very simple. Governor Knowles had stopped wolf hunting in Alaska. Even though the ga - Game Board and everybody else wanted it to go forward – that's one of the things he did and he stopped it. When Governor Murkowski came back in he reinstated it. He took a tremendous amount of grief for that. The governor did, the governor's office, the State of Alaska, tourist and – and I just saw this as just terrible publicity toward the governor if someone who was a guide intentionally took a privilege that the State gave him to kill wolves out of an airplane – which is about as unfair chase as you can get – unless you do it with a helicopter – and goes outside of his area and shoots wolves and then they're close to the area where he happens to hunt moose – I just saw a lot of very negative facts in that respect and I

saw someone that if the State wanted to make a - an example out of anybody this was a prime case. And I initially thought, - and - and I talked about this with Kevin, if we got a reduction anywhere below 5 years in this I thought we were goanna be doing well, initially.

**Shaw:** Five years to serve?

Cole: No five years on his license. They – they really don't give too much jail time on these things. I mean they like to give a little bit but the kicker is – but – and the troopers know it – it's the license. That's what's valuable, that's what – that's what hits home, that's what scares all the guides around the State is all of a sudden they could be out of business and they know you know being out of business means you know and for 5 years it is almost impossible to come back. -Um- and so -um- I started talking to I – I (exhales) -um- David has raised this deal with Scot Leaders – I did not have that much experience with Scot Leaders – he had not been a DA that long. He was the fish and wildlife DA but I had a number of cases of with him. -Um- 4 to 5 at least – 3 – 3 that I can think of right off the bat. Two of which resolved themselves in the course of this and – and – and were another part of the reason why I kept telling David -um- that he needed to get the DA onboard.

**Shaw:** Have you had cases in which judges made the license suspension retroactive...

Cole: Oh yeah.

**Shaw:** ... to a date when somebody voluntarily stopped hunting?

**Cole:** And they – and he was goanna do it in this case too.

**Shaw:** So what was the -um- understanding that you had as Mr. Haeg went into this -um-conversation where he provided information to the prosecutors?

Cole: We were – we were falling on our sword. Ok. It was a deal that his statement would not be used against him – kind of a king for a day.

**Shaw:** It was a deal? That was the deal?

**Cole:** That was my understanding, yeah. Cause it says July  $1^{st}$  – now that was because we had represented that David was not going to be guiding in the fall of 2004 and so he put that date in there. It was goanna be going back to July  $1^{st}$  – it's right there.

**Shaw:** Does it say that?

Cole: Yeah "parties agree that each years term will end effective July 1<sup>st</sup>".

**Shaw:** Uumm hmm.

**Cole:** That's what that means.

**Shaw:** I think it begins July 1<sup>st</sup> 2004.

Cole: Well yes that's - that's what it means. Is that if he gets one year it will end on July 1<sup>st</sup> 2005. If he gets 2 years it will end on July 1<sup>st</sup> 2006. And that was to minimize the impact because as I had argued to him "we're not hunting this coming year so you should give us credit for that." David comes to the office on Monday – he brings everybody there – we are planning on going out – I handed him – I think I told him "this is you know here's the deal". I just disagree with David when I said – when he says I never told him before. I did tell him before that Leaders had informed me that if he wanted to go open sentencing they were goanna to change the charges and it was goanna require a 3 year loss of license. I said it's not fair – I don't like it – but I don't have any discretion over what the prosecutor files as charges. And I said – and I have my notes here – we went through and talked about it – the options that he had with the group of people in there.

Metzger: Ok.

Cole: And we – you know – I – I prepared this thing, your trial options, we went through the 12 counts and I said "you know these are all the concerns that I have – I mean you know some of these – you don't have any defenses to and all you've got to do is be convicted of 1 at trial" and did we discuss motion to suppress – no I really didn't think we did because I never felt that was a good option. It's like Judge Rolland once told me on a preemption of a judge "If you're goanna shoot at the king you'd better kill him - cause if you don't your heads goanna get lopped off" and that's the way I felt with this.

Shaw: Sure.

Cole: I need to talk about one other thing if that's ok and then I'll let you go. -Um- this – this discussion about the sentencing of David – ok -um- David wanted me – the – the – originally the sentencing I believe was set for right around September 1st 2005. When he was – after he was convicted. I -um- was goanna be unavailable at that time – I was going hunting myself. I go hunting every year. So I called Mr. Robinson and said "yeah you know I got this notice that you want me to" – you know his secretary I think was calling me and telling me about the sentencing or something like that. I called him up and I said – I said "first of all you understand, Chuck" – I've known Chuck for a long time – done a lot of a cases with him – I said "if I get put on the stand – it's goanna waive the attorney – I – I – I am assuming that –uh- waives

the attorney client privilege and I'm not so sure that David wants me on the stand. -Um- there are things that he has told me that would not be helpful to him at a sentencing - so first of all I'm not goanna be there in September and second of all think real hard about this" and he said "yeah – yeah I know I'll talk to you later". Then the second sentencing came along and I got – I received a subpoena – I – I admit I received a subpoena from David – I got the ticket – I called up Robinson - it's in my notes – and again I talked to him and I said "look I don't – you know I'll do whatever you want but I really don't want to go out there for a full day just to sit around at a sentencing. You know David when he's already not paid me -umbut and you know has basically told me that he's not goanna pay me." But I said on top of that even more important -um- "I don't think I'm goanna be a good witness for you. I'm happy to talk to you about it but I really don't think so" and he said, "Yeah I'm trying to tell David that you shouldn't do it."

**Haeg:** -Um- Mr. Fitzgerald testified that Mr. Leaders had told both of you immediately and emphatically that there was going to be no sympathy for Mr. Haeg and Mr. Zellers. Is that true?

Cole: I don't know what he told Kevin. I know what he told me and -um- none of it was real good for you -um- he was pretty emphatic about what was goanna happen if – if you were goanna – I mean he – he looked at you and said the troopers looked at you as a bandit and didn't think that you should be a guide and wanted you out of the business and -um- thought that anybody who shot wolves under permission of the State when they were a guide didn't have the qualities of being a guide, shouldn't be a guide, -um- and that the troopers -um- were not sympathetic to you in essence he wasn't very sympathetic to you.

**Metzger:** Mr. Haeg I'm listening to these and being – trying to be patient but I don't really I'm having trouble trying to figure out what these have to do with the fee that you were charged?

**Haeg:** -Um- I'm goanna try to show that there was gross prosecutorial misconduct and Mr. Cole did absolutely nothing in my favor to stop it.

**Haeg:** And you're saying that I told you that I did not want to file the motion?

Cole: You told me that you didn't want to lose your license for 5 years. I concluded from that that if it was – if this was goanna result in your license you didn't want it. I can't remember exactly what was said. I just said, "These are you options". I explained them time and time again. But I always told you "if you do this you have to be willing to accept that you're goanna lose your license for 5 years, if you lose, is that a risk you're willing to take?" I never heard you say that "yes it is – I want to take that risk".

**Haeg:** If I have evidence refuting that do point it out now?

**Shaw:** If you have evidence that Mr. Cole gave you advice that was different, sure. If it contradicts what he's telling you now.

**Metzger:** If you have something in writing you can show it to him and say, "Did you write this?"

**Haeg:** Ok I don't have anything in writing but I have the conversations taped that explain exactly what went on and they're transcriptions so they're not writing.

**Metzger:** Transcriptions of statements with whom.

**Shaw:** That Mr. Cole had made?

Haeg: Yes.

**Shaw:** Oh – ok sure.

**Haeg:** Ok -um- I'd asked you yesterday about why I didn't want the motion to enforce the agreement –uh- moved forward and, I believe you had said that it was because I did not want to risk a 5-year suspension of my guide license. Is that correct?

**Cole:** We talked about this on several occasions. I explained to you that it was - it would be against my advice to have you file that motion because, again, I could not understand how it would benefit you. All it did is get us back in front of the judge open sentencing, which I did not understand, I - I put it to you several times. I went back and reviewed the tapes that you made without telling me, of the conversation on the  $10^{th}$  and on the  $22^{nd}$ , which they now have transcripts of it, specifically I asked you in one of those, "Do you want me to file this?"

**Haeg:** And what did I - respond...

Cole: You didn't say - you didn't say anything about it.

**Haeg:** That...

**Cole:** You did not tell me, "Brent, I want you to file this. I don't care about anything else." We specifically talked about this. I specifically told you this. So –uh- every time we talked, you ultimately said, "You're right, I don't think I want to lose my license for 5 years" and we talked about the fact that we had it down to 1 year.

**Haeg:** Can I direct the panel's attention to some evidence?

Shaw: Ok.

**Haeg:** ...think of a better way. -Um- I'd like you to -uh- I guess read maybe -uh- yeah kind of the bottom of page 10 and then top of page 11. Is that what I had said at that time? And I guess I'd like you to look at the spot where it says, "That is what I wanted at the time, and that is still what I want, because I feel that they maliciously took that away from me".

Cole: This is what I said. "I mean, you know, I've gotta deal with these people, but if you tell me, 'that's the deal I want, I'm not stopping until I get it' I'm goanna send you a letter saying this is absolutely, in my own mind, crazy. But I will do it if you tell me." That's what I told you. You said, "Well, I'm not happy they took away my opportunity, that I thought we had set - had set away from me." "Ok, tell me right now, is that what you want me to do? Do you want to go back and take the risk, when you've got things in place." You said, "You mean, go back to original agreement?" which, "Yes, a minimum one year. A minimum one year. The plane is up for - for the judge to decided is that what the time because I feel they maliciously...." I say "okay." You don't say anything about "that's what I want to do, Brent, I want you to file the motion."

**Haeg:** Does anybody read where I say, "that is what I wanted at the time and that it's still what I want"?

Cole: I read that. Because I feel they maliciously...but you didn't say, "Brent I want you to file the motion."

**Haeg:** Ok. Can we go to somewhere else here, also, page 7 please? If you notice, nowhere does it say "motion". Because I didn't know I could file a motion.

**Cole:** Objection, testimony.

**Haeg:** Can you go over, I guess, kind of wherever on page 6.

**Cole:** I don't know what you want me to look at. You need to tell me what you want me to look at, David.

**Haeg:** I guess reading page 6 and the top of 7, does it appear like I wanted - the only thing I wanted was to enforce that agreement and the only thing you wanted was to keep me from enforcing it?

Cole: No. I don't read it that way at all. I don't read the whole thing that way. I kept telling you, you had options.

**Haeg:** -Um- where does it say that I didn't consider them valid options, Jackie? I need to just use this one, I'm used to this one. And I'm sorry, I guess, I apologize for my nervousness. Ok, I have page 9, I don't know exactly where it'll be on your pages, Jackie will try to find it here - it would be page 8.

**Cole:** You want to look at what it says on page 6 and go over what we talked about on page 6 and 7? Because I think you're...

Shaw: Mr. Cole.

Haeg: Sure.

**Cole:** ...it supports my position.

**Haeg:** Ok. I have no problem with that.

**Shaw:** -Um- Mr. Cole let Mr. Haeg ask his questions. Why don't you invite us to look at the page that you're looking at.

**Haeg:** Ok, -um-.

**Shaw:** Is it – is it page 8?

**Haeg:** Ok - page, I guess page - starting - starting on the bottom of page 7, can I read it, or should just people read it, or should Mr. Cole read it?

**Shaw:** -Um- this is your time to ask Mr. Cole the questions, so.

**Haeg:** Oh, it's actually on the bottom of page 6, I guess Jackie pointed out, I'm sorry I got - I had notes on this one and..

**Metzger:** You can – you can ask Mr. Cole if this transcript accurately reflects the conversation that took place.

**Haeg:** Ok, does this accurately reflect the conversation that took place?

Cole: As far as I can remember, you have the tape, I didn't know it was being tran - uh, tape recorded. But as far as I remember this is what was said in our meeting. I'm not denying it.

**Haeg:** Ok and do you agree that if you keep reading from whatever on the bottom of page 6 to the top of page 7, you said "That's because you're goanna lose your license for 5 years" and I said, "Well, I was willing to take that chance".

**Cole:** In the past. Was. That's right. You're not telling me I am. You were not telling me that then. And I told you to think about it because I was telling you that you could not affo - you would not handle the risk. And it's become apparent to me that you haven't handled the risk.

Haeg: Um, and then continuing on, I don't - where is this stuff here. Do you agree that where I say, "I'm not blaming you for telling me what you think, that's what I'm paying you for - I think you're taking it that I'm attacking you. I'm not. I want to somehow bring forth, that in good faith, I decided what I wanted to do with my family with your advice, and I take your advice sometimes, sometimes I don't. That's my privilege." You say, "That's right". I say, "That's my privilege". You say, "That's exactly right". I say, "In my perspective we had an agreement, like for 2 weeks, and I made all the arrangements to in good faith go to McGrath, you follow me so for - so far?" I believe you say "Yes, yeah". Then I say, "After we invested - invested a lot of time, effort and money, committed to that venture to settle it, because my life is getting eaten up by worry, among other things, and I had great expectations to leave McGrath either without a license for 5 years, no airplane, going to jail for 6 months and a two hundred thousand dollar fine, or something a little less. Nothing to do with you. I knew the judge was the one going to be deciding that, but all of that was taken away from me at the last minute by that agreement. Do you agree with that? Or I mean not at the last minute but whatever it was – well beyond when we could have changed anything, saved all the money in the hotel and airfares, etc, etc." You say "the thing that was taken away was the option to go open sentencing total. There were other options that were available that would allow us to go to McGrath, but to go totally open sentencing", and I say, "Well to me they weren't viable options" and you say, "The only thing that's different was the loss of the plane". And I say, "Yep, and is that – is that ethical for them to do, say 'yep, give us the plane and you have the same day, or the same day in front of a judge', is that how the game is played, all the time?" And you say "yep".

**Shaw:** Mr. Haeg, why don't you pause for a moment and have a drink of water.

**Haeg:** Is that correct? (Very upset) I didn't care what happened, I wanted a judge to listen to me. (Pause)

**Shaw:** Do you want to step out for a moment? You certainly can.

**Haeg:** Ok. Do you also remember right after that going, "Um, when Magistrate Murphy was on the phone would it have been appropriate, or could I, could I have said, 'Hey judge, before you leave could I put in my two cents worth that I came with the understanding that this was a deal, and then they pulled the rug out from underneath my feet'. Could I have done that at the time?" And do you remember what you said, Mr. Cole?

Cole: I - I - I don't exactly remember, this looks like the right thing, it's what I would have told any defendant. The judges normally stop defendants from saying anything, um, and warn them.

**Haeg:** Can you tell me what I could have said that could have been used against me that I did not say in 5 hours of a confession to an Assistant Attorney General and a - an Alaskan State Trooper for 5 hours?

**Cole:** I tell - I tell you what I tell everybody. The judges warn everybody. Whether you are going to incriminate yourself or not. They tell that as a matter of routine, every time a defendant appears with an attorney, and starts trying to say something. In fact, my experience has been the judges like attorneys to keep their clients under control and to not say things. That's not to say you couldn't have. But I would - I definitely would not have encouraged you, you're right. I was trying...

Haeg: And would that - would that....

**Cole:** ...to get our deal done.

**Haeg:** ...would that essentially make me feel threatened so I wouldn't do it?

**Cole:** No I – I can't speak for how you felt David. It shouldn't have. We sat in there...

Haeg: It very much did.

Cole: ...we decided not to go the night before. Everybody went to dinner, we went and had drinks at the hotel, people were happy about the situation, about not having to travel out to McGrath, that the situation was in place that you were goanna be guiding within the year. When we left on the 8<sup>th</sup> everybody was happy. When we left on the 9<sup>th</sup> people were happy.

**Haeg:** I don't remember being happy at all. But I guess I can't testify. -Um- do you also remember telling me that if I would have continued to insist "she probably would have listened and that would have been the end of it" is that – is that correct?

Cole: Are we get - it - it says what it says. I think I said that. We goanna go through the whole thing?

**Haeg:** So I – what you're saying is I could've laid out that I cooperated with the State from the beginning, gave them a 5-hour interview which led to over double the amount of charges filed against me, gave up guiding for an entire year – the money was already gone, the season was already over, which dang near bankrupt Jackie & I because we still had to pay all the leases and all the insurance and all the bonding and we didn't get any income. Now that – that hurt – hurts. Your saying that that judge would've heard that and said "Mr. Leaders you can just do whatever you want. You could promise this man the moon and when he takes action and sacrifices his life you can just go..."

Mrs. Haeg: David.

Shaw: Mr. Haeg.

**Haeg:** I'm sorry. Been through a lot.

**Shaw:** I know that you've been through a lot but...

Haeg: I'm sorry.

**Shaw:** ... we – we've got to do this hearing in a way...

Haeg: Ok.

**Shaw:** ...that makes us all feel comfortable so you really need to collect yourself.

**Haeg:** Ok. I'm sorry you know but is that what you're saying Mr. Cole is that and we already had all the witnesses flown in from Illinois, Silver Salmon Creek, took my kids out of school, took people from work, came up here so that they could all testify and the judge would have listened to all that – with – what's called detrimental reliance and she would not have required specific – specific performance of that agreement?

Cole: I will tell you again, David, I told you before the hearing that they were not going to allow you to plead open sentence to the first charges and go – and be able to get your plane back.

**Haeg:** You still – could you answer my question that the judge "would have listened and that would've been the end of it".

**Cole:** I – I really don't think the judge would've done anything, David.

**Haeg:** Have you ever told me that that is my right to make that decision?

Cole: I think it was your right to make that decision. I made that very clear. You go through that transcript. I say it on time and time again. "What do you want me to do?" I never read in here "Brent I don't care what happens. I don't care what the risk is I want you to file the motion to enforce my judgment."

**Haeg:** How can I say, "file a motion" when you never mentioned that I could do so?

Cole: I did to David. I mentioned it. I talked to you about it.

**Haeg:** Are you – I don't know – this might be whatever argumentative or whatever. Are you telling me that you can read all this, honestly sit there and tell me that if you'd have said you could of filed a motion that I would have not said "hammer down – let's go – let's get it"?

**Cole:** I told you that – I had it in my notes. I told you that when we met with Mr. McCommas I had it in my notes and I'm sure I told you this before. I know I told you this before.

**Haeg:** That's what it says – since Jackie did the transcriptions here – I know they're exact and then it goes on "you know that probably is you know in most the time and probably in my case too but I – that happens to be a point that I beg to differ." And then you say "ok" and I say "if I wanted to – uh – to complain – or you complain I mean - did you ever contact Leaders boss or ever get in touch with her?" and you say "I left a message. I haven't been in touch"...

Cole: Right.

**Haeg:** Mr. Cole if – if you'd told me about a motion would I have been – would I have maybe asked about it there?

**Cole:** I don't – I didn't talk to [you] about it there.

Haeg: Oh.

Cole: We had a number of conversations, David...

Haeg: Ok. So...

**Cole:** Well lets read what it says before...

Haeg: Ok.

**Cole:** Let's read what it says right after that...

Haeg: Ok.

**Cole:** "I mean how much – how much do you really want me to push it? Is that what you want? Is that really what you want me to do David?" And what do you say?

Haeg: "Well..."

**Cole:** "Well" you don't say "yes" you, say "well". "I mean you know I've got to deal with these people but if you tell me, 'that's the deal I want and I'm not stopping until I get it', I'm goanna send you a letter saying this is absolutely in my mind crazy but I will do it if you

tell me." "Well I'm not happy they took it away from me." "Ok tell me right now is that what you want me to do? Do you want me to go back and take the risk when now you've got things in place?" "You mean back to the origin..." You never come out and say...

**Haeg:** Keep going...

Cole: "Brent Cole..."

Haeg: ...keep going.

Cole: "...yes a minimum one year..."

Haeg: Yep keep going.

Cole: "a minimum year – the plane is up for..." "yes" "the judge to decide. That is what I wanted at the time and that is still what I want. Because I feel that they personally took it..."

**Haeg:** Now I may be stupid because I'm not an attorney but Mr. Cole do you feel that when someone says, "that's what I wanted at the time and that is still what I want" – they said yes?

**Cole:** No I don't, David. You have to read this whole thing in context; you have to read the whole thing.

**Haeg:** Ok. -Um- -um- I need to just go back to the main ones - cause if we're goanna get smoked on time. -Um- I don't know if I ever got a clear answer about why I did not want to file the motion, if indeed I didn't want to file the motion. Can you tell me clearly what my reasons were for not filing a motion?

**Cole:** The reasons were it was not in your best interest to do it.

Haeg: Ok. Well I guess that's arguing. And you are while under oath and on record here before the Alaska Bar Association goanna tell me that when I tell you "that is what I wanted at the time and that is still what I want" that I said, "no"?

Cole: I'm goanna tell you that if you read this whole thing it doesn't say "I want you to reject every offer and go in and do whatever we have to

do to get this original deal" David that's what I'm goanna tell you. If you read this from front cover to back you will not get that sense.

**Haeg:** So when I tell you "well to me they weren't viable options" that – that – that means that there were options that were viable - is that what you're telling me?

Cole: I can't speak for what you were thinking, David. You were not half of the time rational in my mind.

Haeg: So you can look at these...

**Shaw:** Mr. – Mr. Haeg I – I think that you've – you've covered this one...

**Haeg:** I've hit that one enough?

**Shaw:** I think you have.

**Haeg:** Ok now why would Mr. Leaders file the original information on November 4<sup>th</sup>...

Cole: You need to ask him - I have no idea.

**Haeg:** ...if he knew I wanted something else?

**Cole:** I – you have to ask him – I have no idea. I – I don't know...

**Haeg:** Do you understand what I'm saying there?

Cole: I - I - I see what your inference is David but I don't think it's as big of deal as you think.

Haeg: Ok.

**Cole:** They file those things time and time again.

**Haeg:** So what you're saying is he filed by mistake?

Cole: I'm not saying that at all. I think he filed it (laughs) the way he did. But he changed his mind.

**Haeg:** Ok so he changed his mind before or after November 4<sup>th</sup>?

Cole: You need to talk to him. I have no idea. I had no control over what pleadings he files.

Haeg: Now...

Cole: What charges he makes...

**Haeg:** Ok. Ok -um- is it likely for him to have filed the wrong information when he was going to file the amended one...

**Cole:** I have no.

**Haeg:** ...before filing the original information?

**Cole:** You need to talk to Scot Leaders. I cannot explain to you why he did that. I was not happy with it cause I thought we had a deal. You can hear it in my statement to the judge on the 9<sup>th</sup>.

**Haeg:** Ok. Can you look at I think it's exhibit number 1?

**Metzger:** It's the December 23<sup>rd</sup> 04 letter?

Haeg: Yes.

**Metzger:** That's exhibit number 7.

**Haeg:** (laughs) sorry. -Um- I make a very poor attorney. Ok now this letter being in July 6<sup>th</sup> 2005 would it be a clearer version of your recollection or a less clear version?

Cole: Now I see what you're saying. It was done cl- done closer to time, I told you that I thought it was later in the middle of October or later in September. The reason I said August 29<sup>th</sup> is because my specific recollection is that – my notes from the time slips that I keep says that I inquired about it on August 29<sup>th</sup> and I felt that it was about 7 days later. My recollection is that it actually happened later on but I – I don't have – I wasn't specific enough in my time slips. This says that "sometime after the middle of October you inquired about pleading open sentence to the filed charges so that you could argue. I indicted I would make that inquiry, which I did, he initially did not have a problem with this. About a week a later however I received a call from him indicated he was amendable to allowing you to plea open sentencing but he was going to change the information to require a minimum 3 year license revocation."

**Haeg:** Ok. What's...

**Cole:** I believe this happened on or about this happened on or about November 5<sup>th</sup>.

Haeg: Ok.

Cole: I apologize...

Haeg: Ok.

Cole: ...maybe it did happen later to that time.

Haeg: Ok. Ok.

Cole: Hmm.

**Haeg:** And did – do you think that before you talked to Mr. Leaders on November 5<sup>th</sup> that in my mind and in all the witnesses mind, that I called at your request, that we thought we had a deal on November 5<sup>th</sup> when you talked to Mr. Leaders?

**Cole:** No I don't think so David. Maybe you know I can't speak for you. I didn't think that that was ever going to be the deal. I never had it in my mind that you wanted open sentencing. I apologize about you know when this actually occurred.

**Haeg:** Why would you make the statement that you just did that you never thought it was going to be the deal?

Cole: Cause I never thought you would plead – in your own mind - I never – I told you time and time again "it was goanna be over my dead body, I thought, that you would plead open sentencing". I could never imagine a scenario where you would do that. Why would you put yourself in a worse position then you had?

**Haeg:** So – so what you're telling me is you – you inquired of Mr. Leaders on November  $5^{th}$  if I could have open sentencing - is that it?

Cole: I don't think so. Uhh-uhh.

**Haeg:** Is that it?

Cole: No. No.

Haeg: -Um- on number 4 can you read number 4 out loud, please, slowly and clearly?

**Metzger:** Are – are you talking about exhibit number 7 again?

Haeg: Yes.

Cole: "On Monday, November 8<sup>th</sup> you and your family came to our office to meet in preparation for the arraignment and change of plea scheduled to occur in McGrath. It was at that time I informed you of Mr. Leaders' decision and outlined your legal options."

**Haeg:** Ok do you agree that on November  $8^{th}$  indeed myself and more then several witnesses – I believe there were – well I don't know – I think there was 8 in our entire party. Some of them flying in from –uh- Illinois. Do you agree that we all came there as you say in preparation for arrangement – arraignment and change of plea scheduled to occur McGrath the next day? Do you agree that that's what happened?

**Cole:** I – I don't know what your intention was. I know what my intention was. I know what this says.

**Haeg:** Ok explain to me again what your intention was.

**Cole:** My intention was that we were goanna fly to McGrath to do the deal for 1 to 3 years.

**Haeg:** Ok yep. I...

**Cole:** And all the other terms were fixed.

Haeg: Oh ok. Ok.

**Cole:** It was not that you were going to go open sentence.

**Haeg:** Ok. Ok. -Um- do you also agree that the next line it says "It was at that time...

Cole: Yep.

**Haeg:** I informed you of Mr. Leaders' decision and outlined your legal options"?

**Cole:** I informed you of Mr. Leaders' decision to file the amended complaint.

**Haeg:** Now I'm interested in the word "that". It was at "that" time.

Cole: Yep.

Haeg: Ok. So you waited until I had spent \$6000.00 dollars gathering witnesses. You waited until literally hours before we were supposed to do it to let me know that it wasn't goanna happen?

Cole: I didn't find out about the amended information until Friday morning when I was going to Dillingham. I didn't get back from

Dillingham until Friday night. I didn't call you the next 2 days and I talked to you when you got to my office.

**Haeg:** Yesterday you were so adamant that you called me weeks before...

**Cole:** I – I think I did.

**Haeg:** Now that's on the record.

**Cole:** I do think that's right.

**Haeg:** How can he state two things?

**Shaw:** Well the purpose of your cross-examination...

Haeg: -Um- ok.

Shaw: ...is to show that his testimony is contradictory...

Haeg: Mr. Cole did you ever try to get my plane back by bonding it out?

Cole: No.

Haeg: And why not?

Cole: -Um- I don't ever remember you asking me to do that.

**Haeg:** I didn't express an – an interest in getting my plane back?

**Cole:** You always had an interest in getting your plane back.

**Haeg:** Are you telling me that I would've had to <u>ask</u> you about bonding?

Cole: I don't think you can get it back when it was subject to a search warrant. My recollection is the State statutes don't allow you to get it back

Haeg: Ok. Would you say that that plane was important for my livelihood?

**Cole:** You thought it was. I didn't.

**Haeg:** Ok. So – did I ever tell you that it was important for my livelihood?

Cole: You did.

Haeg: Ok. Did the State offer a hearing so I could get my plane back?

Cole: Nope.

**Haeg:** Why not?

**Cole:** Cause they intended to forfeit it from the very beginning. It was used in the commission of a crime.

**Haeg:** Ok. You guys need to be taking notes here.

**Shaw:** (...) take some...

**Haeg:** Ok. So ok. As my attorney are you supposed to know the law? Or when you were my attorney were you supposed to know law that would help me?

Cole: Yes.

**Haeg:** And if you didn't know the law were you supposed to research it?

**Cole:** If I thought it was applicable I tried, yes.

**Haeg:** Ok – applicable. Now this is kind of a question. If the State was required to give me a hearing and you never told me and I was deprived of my constitutional rights of due process - um- oh if the State was required to give me a hearing and you never told me was I deprived of my constitutional right of due process?

**Cole:** Show me where the State's required to give you a hearing.

**Haeg:** –Uh- look at your exhibit that you brought in.

Cole: Ok.

**Haeg:** –Uh- yes. It's American Eagle versus State 620 P.2d 657... Ok would you agree that it – this is also the correct "When the seized property is used by its owner in earning a livelihood," and seized property is used by its owner in earning

a livelihood "notice & an unconditioned opportunity to contest the state's reasons for seizing the property must follow the seizure within days, if not hours, to satisfy due process guarantees. Due process does not require that any owner of the vessel seized by the State for suspected use in illegal activity has an absolute right to obtain release of the property – it just means that he has an opportunity to contest the State's reasons for seizing the property." And my understanding in the case and let me ask you if this is your understanding? Was that the State with commercial fishing violations could not seize a persons boat which in the next month they would make their entire years income. They couldn't seize it and just kind of sit on it and wipe out that year. The people could say, "Hey we'll put up bonding. We'll – we'll get it out. We make our living for the year...

Cole: Right.

Haeg: ...and then we fight about it"?

Cole: Right.

**Haeg:** Was that – was that close to what happened to me?

**Cole:** David the time to make that decision was in April – you were almost comatose because you were so depressed about the State walking in and taking all this stuff.

## EXCERPT OF HAEG'S IMMUNIZED STATEMENT

## Mr. Haeg 6/11/04 Interview w/Trooper Gibbens, Prosecutor Leaders, and Attorney Brent Cole

**Trooper Gibbens:** In killing wolves in <u>19D</u> wouldn't specifically had necessarily directly benefited your business?

**Haeg:** Yeah I don't hunt in – I don't guide in 19D and that's where...

**Trooper Gibbens:** 19D right. And so when you got the permit did you intend on hunting in 19D?

**Prosecutor Leaders**: Well a little bit. I mean you know when you get to it at some point obviously at some point your intent to hunt in 19D changed.

**Trooper Gibbens:** At this point we're at permit issuance right now.

**Haeg:** But if you look at where most the wolves are taken, most of them were taken in 19D. Maybe not inside the permit boundaries but not where I guide.

**Trooper Gibbens:** Uh I guess we could move on right to that. Um we had a faxed copy of that map and maybe David could use that map and could we – could we mark them on a – or do you have the map – do you have the map that was marked? Ok. Maybe David could mark them and then kind of chronologically take me through the plan.

**Haeg:** Yep. It was somewhere...

**Trooper Gibbens:** Why don't we mark them out with a digit, chronologically?

Haeg: yeah

**Trooper Gibbens:** or a 1 where it was with a pen and it will show up just a little better.

Haeg: Yep.

**Trooper Gibbens:** Rifle or shotgun?

Haeg: Shotgun.

**Trooper Gibbens:** Ok. Tony as the gunner?

Haeg: Yep.

**Trooper Gibbens:** Ok that was on the 5<sup>th</sup>?

Haeg: Yep.

**Trooper Gibbens:** Ok you can go ahead and write the 5<sup>th</sup> next to that. Go ahead and establish our order here. Ok.

## Exhibit 6

# ABA FEE ARBITRATION TESTIMONY THAT ZELLERS COOPERATION WAS A FRUIT OF HAEG'S STATEMENT WITNESS - TONY ZELLERS 4/12/06

**Haeg:** -Um- if Brent Cole had not had me give my statement to the prosecution would you have ever done so?

Zellers: No.

**Metzger**: So is it your perception at this point that your decision to - at some point you decided if I understand it right you coo[perated] - you decided to cooperate with the law enforcement authorities. Is that right?

**Zellers**: Based in the fact that Mr. Haeg had already cooperated with the law enforcement and from advice from my attorney and stuff I was basically left – left out or I felt like I had to cooperate also or otherwise I would be deemed as - as not cooperating obviously.

#### Witness - Kevin Fitzgerald 4/13/06

**Haeg:** Would you have Tony Zellers give – would you have had Tony Zellers give a statement to prosecution without anything in writing if Brent Cole had not have me first give a statement implicating Tony?

**Fitzgerald**: I think I would have for the reasons that I've articulated but *certainly the fact that* you had already gone to the State was a factor in the decision made with regard to whether Mr. Zeller's was goanna follow suit.

#### ZELLER'S IMMUNIZED STATEMENT

Tony Zellers 6/23/04 Wolf Interview with trooper Gibbens, trooper Doerr, Prosecutor Leaders, and Attorney Kevin Fitzgerald

**Trooper Gibbens:** Right in the heart of your guide area there? Cause that is pretty centrally located in the county you guys hunt right?

**Zellers:** Actually where them wolves were killed is to the west outside of our – where we guide.

**Trooper Gibbens:** If we drew a (**Tony interrupts**)

**Zellers:** The people who benefited from them wolves being killed, is Lime Village. It's right next to the Lime Village management area. We cannot guide in the Lime Village management area. It's in 19D we don't hunt in 19D.

**Trooper Gibbens:** Knowing what we know about the way wolves move if we drew even a 15-mile circle around where those wolves were killed would we encompass any of your hunt areas?

**Zellers:** You would encompass – yeah you – hunt areas that we watch I don't think you'd encompass any of our camps if you drew a 15-mile circle.

**Trooper Gibbens:** This is where the Stony River wolf was killed; this is where the big batch of 5 was killed.

**Zellers:** Did Dave tell you that's where he was killed?

**Trooper Gibbens:** Dave put that mark there, yes.

**Zellers:** I mean it's hard to tell. There – there was a pretty good bend on that so.

**Trooper Gibbens:** Right so with his lodge here, with all these wolves right here – which are – did I write down the mileage apart there? No I forgot it didn't I. Anyway it's I think 24 miles maybe from his lodge to all of these kills. Uh so you're saying you guys don't hunt this country in a 15-mile arch?

**Zellers:** We can't hunt here.

**Trooper Gibbens:** Right but you can --

**Zellers:** Because that's Lime Village management area

**Trooper Gibbens:** Right. Well real quick while I've got the map out I'll have you look it here and I'll show you marks that David made and you tell me if you concur or unconcur basically.

**Zellers:** That looks about right.

**Trooper Gibbens:** Ok and back to my previously made mess. Um generally look like what the boundary looked like to you? This.

**Zellers:** Ok 19D doesn't that come to where the Babel flows in?

**Trooper Gibbens:** Actually

**Zellers:** Where the Babel hits the Swift. Isn't that the point?

**Trooper Gibbens:** Uh boy I'd have to read it. I don't know if this pencil mark was made by me as part of this or not. This is the sectional I got out of my office. All the pen and all the highlighted for sure would be.

**Zellers:** Well I just remember when you know we got the affidavit through the search warrants at David's - read through that and it said 19C and we both questioned it and looked that up and its like no, there's 19D where - like we thought.

Trooper Gibbens: Yeah.

**Zellers:** Because it's the point that David – well we read at David's that where the Babel flows into the Swift River that intersection is the deciding line between 19C and 19D.

**Trooper Gibbens:** Yeah and I'd have to look at that again to - to remember what that definition is but so you think that David's lodge is in...

Zellers: It's in C

**Trooper Gibbens:** It's in C, right.

**Zellers:** But I'm saying these wolves...

**Trooper Gibbens:** You're saying that you think these wolves are in D?

Zellers: Yeah.

**Trooper Gibbens:** Ok. The definition of which way all these drainages flow it was all the drainages flowing this direction for D.

**Zellers:** Upstream of where the Babel dumps into the Swift.

Trooper Gibbens: I don't remember

Zellers: Or downstream from where the Babel dumps into the Swift.

**Trooper Gibbens:** And I don't remember if it's - if it's the Babel or not - I don't - without having that in front of me.

## Exhibit 8

## **COLE'S BILLINGS**

Marston & Cole, P.C. 745 West Fourth Avenue, Suite 502 Anchorage, AK 99501 (907) 277-8001 Fed. Tax Id. No. 92-0152597

## June 29, 2004 - Invoice 19750

Case matter	$\varepsilon$			<u>ursAmount</u>	
6/11/2004		Prepare for and attend meeting with client and ant district attorney and trooper	5.20	1,040.00	
August 30,	2004 -	Invoice 19961			
8/19/2004	BRC	Telephone conference with client regarding offer	1.40	280.00	
	BRC	Review plea agreement	0.30	60.00	
8/27/2004	BRC	Telephone conference with opposing counsel regarding plea agreement and opportunity for open sentencing	0.30	60.00	
October 7,	2004 - 1	Invoice 20119			
9/29/2004	BRC	Meeting with assistant district attorney regarding sentencing date	0.30	60.00	

## October 29, 2004 - Invoice 20133

10/15/2004 LC	Docket change of plea hearing; make flight arrangements for same	0.20	20.00
10/22/2004 BRC	Telephone conference with client regarding sentencing date, moose hunt, transcribed interviews, and review of letter	0.60	120.00

November 4, 2004: In the District/Superior Court for the State of Alaska Fourth Judicial District at McGrath. Case No. 4MC-S04-Cr.

STATE OF ALASKA, Plaintiff vs.
David Haeg, Defendant

STATE OF ALASKA, Plaintiff vs.
Tony Zellers, Defendant

#### **INFORMATION**

#### THE STATE OF ALASKA CHARGES:

#### Count I

That on or about March 5, 2004, at or near McGrath in the Fourth Judicial District, State of Alaska, David Haeg, a licensed registered guide, and Tony Zellers, "a licensed assistant guide, did knowingly commit a violation of a state game regulation; to wit: did take a wolf while airborne.

All of which is a Class A Misdemeanor offense being contrary to and in violation of AS 8.54.720(a)(8)(A) and 5 AAC 92.085(8) and against the peace and dignity of the State of Alaska.

#### Count II

That on or about March 6, 2004, at or near McGrath in the Fourth Judicial District, State of Alaska, David Haeg, a licensed registered guide, and Tony Zellers, a licensed assistant guide, did knowingly commit a violation of a state game regulation; to wit: did take a wolf while airborne.

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All of which is a Class A Misdemeanor offense being contrary to and in violation of AS 8.54.720(a)(8)(A) and 5 AAC 92.085(8) and against the peace and dignity of the State of Alaska.

#### Count VI

That on or about March 5, 2004 through March 6, 2004, at or near McGrath in the Fourth Judicial District, State of Alaska, David Haeg and Tony Zellers knowingly possessed wolf hides which they knew or should have known were taken in violation state game laws.

All of which is a Class A Misdemeanor offense being contrary to and in violation of 5 AAC 92.140(a) and against the peace and dignity of the State of Alaska.

#### Count VII

That on or about March 21, 2004 through March 23, 2004, at or near McGrath in the Fourth Judicial District, State of Alaska, David Haeg and Tony Zellers knowingly possessed wolf hides which they knew or should have known were taken in violation state game laws.

All of which is a Class A Misdemeanor offense being contrary to and in violation of 5 AAC 92.140(a) and against the peace and dignity of the State of Alaska.

#### Count VIII

That on or about March 21, 2004, at or near McGrath in the Fourth Judicial District, State of Alaska, David Haeg, with the intent to mislead a public servant in the course of performance of a duty, did submit a false written statement which the person does not believe to be true on a form bearing notice, authorized by law, that false statements made in it are punishable; to wit: did make a false statement on an Alaska Department of Fish and Game Furbearer Sealing Certificate.

All of which is a Class A Misdemeanor offense being contrary to and in violation of AS 11.56.210(a)(2) and against the peace and dignity of the State of Alaska.

#### Count IX

That on or about March 26, 2004, at or near McGrath in the Fourth Judicial District, State of Alaska, Tony Zellers, with the intent to mislead a public servant in the course of performance of a duty, did submit a false written statement which the person does not believe to be true on a form bearing notice, authorized by law, that false statements made in it are punishable; to wit: did make a false statement on an Alaska Department of Fish and Game Furbearer Sealing Certificate.

All of which is a Class A Misdemeanor offense being contrary to and in violation of AS 11.56.210(a)(2) and against the peace and dignity of the State of Alaska.

#### Count X

That on or about April 1, 2004 through April 2, 2004, at or near McGrath in the Fourth Judicial District, State of Alaska, David Haeg, did negligently trap for wolverines with leg hold traps when trapping season for wolverines was closed.

All of which is a Class A Misdemeanor offense being contrary to and in violation of 5 AAC 84.270(14) and against the peace and dignity of the State of Alaska.

#### Count XI

That on or about May 1, 2004 through May 4, 2004, at or near McGrath in the Fourth Judicial District, State of Alaska, David Haeg, did negligently trap for wolves with snares when trapping season for wolves was closed.

All of which is a Class A Misdemeanor offense being contrary to and in violation of 5 AAC 84.270(13) and against the peace and dignity of the State of Alaska.

#### Count XII

That on or about May 1, 2004 through May 4, 2004, at or near McGrath in the Fourth Judicial District, State of Alaska, David Haeg, did negligently fail to salvage the hide of a wolf taken in a snare he had set.

All of which is a Class A Misdemeanor offense being contrary to and in violation of 5 AAC 92.220(a)(1) and against the peace and dignity of the State of Alaska.

David S. Haeg was interviewed in Anchorage on 6/11/04, and Tony R. Zellers was interviewed in Anchorage on 6/23/04. During the interviews, the timelines and events given were almost exactly identical, and a summary of the statements of the two men follows:

The two men applied for and were issued a permit to hunt wolves with the use of an airplane in a specific area near McGrath. Zellers bought a new Binelli twelve gauge shotgun, and a large amount of several kinds of buckshot ammunition.

On 3/5/04, the two men flew in N4011M (Bat Cub) to McGrath where they were issued permits at the Fish and game office, during which they were given maps and written descriptions of the legal hunting area. After leaving McGrath, the two flew upstream along the Big River. Several wolves were located about one or two miles outside the hunt area, and they shot one gray wolf, with Zellers doing the, shooting with the shotgun from the air while Haeg was flying the plane. The wolf was hauled back to trophy Lake Lodge whole and was skinned that night.

On 3/6/04, they flew to the Big River where they had shot the wolf the day before. They could not locate the remaining wolves, so they proceeded upstream on the Big River (further outside the legal area). Twenty-four miles upstream from the hunt area boundary on the Big River, they spotted two gray wolves on a ridge near a moose kill. Both wolves were shot from the air with a shotgun by Zellers with Haeg again flying the plane. One of the wolves then had to be shot from the ground with the .223 by Zellers. The two wolves were hauled back to the lodge, and were skinned that night.

On 3/6/04, Haeg called on his satellite phone and reported to McGrath Fish and Game that he and Zellers had harvested three wolves within the permitted hunt area on the Big river, at which time he gave false coordinates for the kill sites.

After calling in the report, Haeg and Zellers returned to Soldotna, taking the three-wolf hides with them. On 3/15/04, they received a call from Fish and Game in McGrath telling them that the three hides had to be sealed in McGrath.

On 3/20/04, Haeg and Zellers flew from Soldotna to Trophy Lake Lodge, where they spent the night. They had brought the three wolf hides back with them to take to McGrath for sealing.

On the morning of 3/21/04, Haeg and Zellers decided to fly South (further from the legal area) to the upper Stony River to look for wolves and check out local moose populations. Several wolves were spotted on the Stony River, and a gray male was shot from the air with the shotgun. Zellers did the shooting from the air while Haeg flew. One of the wolves was wounded and Zellers shot the wounded wolf again from the ground with the .223. Multiple shots were taken at the other wolves, but none were killed. The dead wolf was taken back to the lodge where it was dropped off whole.

During their interviews, Haeg and Zellers pointed out the location of the kill on a map. The location described as the kill location for this wolf was more than eighty miles from the nearest border of the legal hunt area.

Haeg and Zellers then flew to McGrath with the three wolf hides from earlier in the month. Upon arrival in McGrath, the two men met with Biologist Toby Boudreau, to have the

wolves sealed. Haeg provided the information for the sealing of the wolves, knowing that it was false at the time he signed the form. He had claimed that the wolves had been shot inside the permit area because he wanted to be known as a successful participant in the aerial wolf hunt.

On 3/22/04, Haeg and Zellers flew along the Swift River to check on moose numbers in the local area. They still had the shotgun and rifle in the plane. They found a dead moose, which had been recently killed by wolves. They spotted two different wolves near the moose kill. The second wolf they saw was a large gray male, and was shot from the air by Zellers with the shotgun while Haeg was flying the plane. The wolf was hauled back to the lodge, and the two men gathered traps and snares from the lodge, and two other sites in the field where traps and snares were being stored. They returned to the moose kill site and set in excess of forty wolf snares, and some traps. Each man set about half of the snares, and Haeg set the leg hold traps. There were no diagrams made of where the snares and traps were set, and neither man wrote down exactly how many snares had been set.

On 3/23/04, Haeg and Zellers decided to fly back to the Swift River to see if any wolves had been caught in the traps or snares. After finding no animals at the set, the two men began to fly upstream along the Swift River when they spotted, shot and killed four wolves running on the river. They also located more wolves scattered in the trees. Four gray wolves were shot from the air, with Zellers doing all of the shooting, while Haeg flew the plane. Multiple shots were taken at other wolves in the pack, without success. All wolves were hauled from the field whole and skinned at the lodge later that day.

The area where all five of the wolves were killed on the Swift River is fifty miles from the nearest boundary of the legal hunt area, and separated by major terrain features.

On 3/24/04, Haeg and Zellers flew to Soldotna with all nine wolf hides. They had a discussion about having Zellers get the six new wolves sealed in his name, and giving a false location so that they would not draw extra attention to the Swift River area. Zellers took all nine wolf hides to Anchorage, where on 3/26/04, he had the six new wolves sealed at the Fish and Game office. Zellers knew that the information he provided during sealing was false at the time he signed the signed the certificate. After getting the wolf hides sealed, he took all nine to Alpha Fur Dressers to have them tanned.

During their interviews, both Haeg and Zellers admitted that they knew that the wolves they shot from the airplane were outside the permit area when they were shot.

Both Haeg and Zellers stated that they did not know that the leg hold traps had to be pulled before March 31st, and that they never went back to the trap and snare set. Haeg stated that Tony Lee had pulled some of the animals from the set during April, and he thought that Lee was going to pull all of the traps and snares. When Gibbens asked Haeg if he thought that the snares which were left out were his responsibility, he said that he did not think so, since he thought that Tony Lee was going to take care of them. Gibbens asked him if he told Tony Lee exactly how many snares were at the site, and he said that he did not know.

DATED this 4th day of November, 2004 at Anchorage, Alaska.

GREGG D. RENKES ATTORNEY GENERAL by: "s/" Scot H. Leaders Assistant Attorney General Alaska Bar No. 971 1067

#### November 8, 2004:

# DAVE HAEG'S WOLF STATEMENT THAT WAS ENTERED INTO THE COURT RECORD AND THEN REMOVED

ADF&G told me the 4 teams who had hunted all winter had taken less than ½ of the wolves specified and that there was a concern the program might be terminated if more wolves were not killed.

<u>Probably because of this a current Board of Game member at the February meeting told me that if we ended up shooting wolves outside the open area to just report them taken inside the area.</u>

A former State biologist said he couldn't believe people were not poisoning the wolves out there and went on to explain <u>exactly</u> the poison that works best and how to obtain it. Several other Board of Game members along with high level ADF&G personnel and many others testifying at the February BOG meeting all had the same comment to me: It is much more important for a pilot as good as you to be out killing wolves then to be here testifying at this meeting.

Several ADF&G people at the BOG meeting again made the comment that there was a big concern that since so few wolves had been taken in the previous 4 months the program would be seen as a failure and terminated

# <u>I don't know if I was exactly brainwashed at this point but I was feeling immense pressure</u> from all sides to kill wolves.

The cancelled fall hunts would have provided ¾'s of our total years income to Jackie and I. Yet we still had to pay the State thousands in land leases and permits for our lodge and hunting camps even though we did not use them this past season. We had to cancel all my summer flightseeing trips because the plane I used for this was seized. Our legal bills are growing and we lost not only my income for this past season but also my wife's. Neither of us have any other income.

We assisted in the investigation in everyway possible including rushing a map with kill locations to Mr. Leaders ASAP at his request.

I ask you to again look carefully at my intentions, at my lack of <u>any</u> prior offenses except 2 speeding tickets, at the Board of Games intention of expanding aerial wolf control to include the area where we took wolves, at the circumstances involved, at how much we both have suffered already financially, at what motivated me, that I felt under pressure to make the program a success, that I was told by a current Board of Game member that if we shot wolves outside the area to just report that they were taken inside the area, that I was encouraged to poison wolves by

a former State biologist, that several current Board of Game members told me it was much more important to kill wolves than to testify at the Board of Game , that we were told by ADF&G that if more wolves weren't taken the program may be cancelled,

Dave Haeg

<u>November 8, 2004</u>: In the District/Superior Court for the State of Alaska Fourth Judicial District at McGrath. Case No. 4MC-S04-Cr.

STATE OF ALASKA, Plaintiff vs.
David Haeg, Defendant

STATE OF ALASKA, Plaintiff vs.
Tony Zellars, Defendant

## **1<sup>ST</sup> AMENDED INFORMATION**

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#### Count I

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All of which is a Class A Misdemeanor offense being contrary to and in violation of AS 8.54.720(a)(8)(A) and 5 AAC 92.085(8) and against the peace and dignity of the State of Alaska.

#### Count II

That on or about March 6, 2004, at or near McGrath in the Fourth Judicial District, State of Alaska, David Haeg, a licensed registered guide, and Tony Zellars, a licensed assistant guide, did knowingly commit a violation of a state game regulation; to wit: did take a wolf while airborne.

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DATED this 8th day of November, 2004 at Anchorage, Alaska.

GREGG D. RENKES ATTORNEY GENERAL by: "s/" Scot H. Leaders Assistant Attorney General Alaska Bar No. 9711067

<u>April 25, 2005</u>: In the District/Superior Court for the State of Alaska Fourth Judicial District at McGrath. Case No. 4MC-S04-Cr.

STATE OF ALASKA, Plaintiff vs.
David Haeg, Defendant

## **SECOND AMENDED INFORMATION**

#### THE STATE OF ALASKA CHARGES:

#### Count I

That on or about March 5, 2004, at or near McGrath in the Fourth Judicial District, State of Alaska, David Haeg, a licensed registered guide, did knowingly commit a violation of a state game regulation; to wit: did take a wolf while airborne.

All of which is a Class A Misdemeanor offense being contrary to and in violation of AS 8.54.720(a)(15) and 5 AAC 92.085(8) and against the peace and dignity of the State of Alaska.

#### Count II

That on or about March 6, 2004, at or near McGrath in the Fourth Judicial District, State of Alaska, David Haeg, a licensed registered guide, did knowingly commit a violation of a state game regulation; to wit: did take a wolf while airborne.

All of which is a Class A Misdemeanor offense being contrary to and in violation of AS 8.54.720(a)(15) and 5 AAC 92.085(8) and against the peace and dignity of the State of Alaska.

#### Count III

That on or about March 21, 2004, at or near McGrath in the Fourth Judicial District, State of Alaska, David Haeg, a licensed registered guide, did knowingly commit a violation of a state game regulation; to wit: did take a wolf while airborne.

All of which is a Class A Misdemeanor offense being contrary to and in violation of AS 8.54.720(a) (15) and 5 AAC 92.085(8) and against the peace and dignity of the State of Alaska.

#### Count IV

That on or about March 22, 2004, at or near McGrath in the Fourth Judicial District, State of Alaska, David Haeg, a licensed registered guide, did knowingly commit a violation of a state game regulation; to wit: did take a wolf while airborne.

All of which is a Class A Misdemeanor offense being contrary to and in violation of AS 8.54.720(a)(15) and 5 AAC 92.085(8) and against the peace and dignity of the State of Alaska.

#### Count V

That on or about March 23, 2004, at or near McGrath in the Fourth Judicial District, State of Alaska, David Haeg, a licensed registered guide, did knowingly commit a violation of a state game regulation; to wit: did take a wolf while airborne.

All of which is a Class A Misdemeanor offense being contrary to and in violation of AS 8.54.720(a)(15) and 5 AAC 92.085(8) and against the peace and dignity of the State of Alaska.

#### Count VI

That on or about March 5, 2004 through March 6, 2004, at or near McGrath in the Fourth Judicial District, State of Alaska, David Haeg knowingly possessed wolf hides which they knew or should have known were taken in violation state game laws.

All of which is a Class A Misdemeanor offense being contrary to and in violation of 5 AAC 92.140(a) and against the peace and dignity of the State of Alaska.

#### Count VII

That on or about March 21, 2004 through March 23, 2004, at or near McGrath in the Fourth Judicial District, State of Alaska, David Haeg knowingly possessed wolf hides which they knew or should have known were taken in violation state game laws.

All of which is a Class A Misdemeanor offense being contrary to and in violation of 5 AAC 92.140(a) and against the peace and dignity of the State of Alaska.

#### Count VIII

That on or about March 21, 2004, at or near McGrath in the Fourth Judicial District, State of Alaska, David Haeg, with the intent to mislead a public servant in the course of performance of a duty, did submit a false written statement which the person does not believe to be true on a form bearing notice, authorized by law, that false statements made in it are punishable; to wit: did make a false statement on an Alaska Department of Fish and Game Furbearer Sealing Certificate.

All of which is a Class A Misdemeanor offense being contrary to and in violation of AS 11.56.210(a)(2) and against the peace and dignity of the State of Alaska.

#### Count IX

That on or about April 1, 2004 through April 2, 2004, at or near McGrath in the Fourth Judicial District, State of Alaska, David Haeg, did negligently trap for wolverines with leg hold traps when trapping season for wolverines was closed.

All of which is a Class A Misdemeanor offense being contrary to and in violation of 5 AAC 84.270(14) and against the peace and dignity of the State of Alaska.

#### Count X

That on or about May 1, 2004 through May 4, 2004, at or near McGrath in the Fourth Judicial District, State of Alaska, David Haeg, did negligently trap for wolves with snares when trapping season for wolves was closed.

All of which is a Class A Misdemeanor offense being contrary to and in violation of 5 AAC 84.270(13) and against the peace and dignity of the State of Alaska.

#### Count XI

That on or about May 1, 2004 through May 4, 2004, at or near McGrath in the Fourth Judicial District, State of Alaska, David Haeg, did negligently fail to salvage the hide of a wolf taken in a snare he had set.

All of which is a Class A Misdemeanor offense being contrary to and in violation of 5 AAC 92.220(a)(1) and against the peace and dignity of the State of Alaska.

David S. Haeg was interviewed in Anchorage on 6/11/04, and Tony R. Zellars was interviewed in Anchorage on 6/23/04. During the interviews, the timelines and events given were almost exactly identical, and a summary of the statements of the two men follows:

The two men applied for and were issued a permit to hunt wolves with the use of an airplane in a specific area near McGrath. Zellars bought a new Binelli twelve gauge shotgun, and a large amount of several kinds of buckshot ammunition.

On 3/5/04, the two men flew in N4011M (Bat Cub) to McGrath where they were issued permits at the Fish and game office, during which they were given maps and written descriptions of the legal hunting area. After leaving McGrath, the two flew upstream along the Big River. Several wolves were located about one or two miles outside the hunt area, and they shot one gray wolf, with Zellars doing the, shooting with the shotgun from the air while Haeg was flying the plane. The wolf was hauled back to trophy Lake Lodge whole and was skinned that night.

On 3/6/04, they flew to the Big River where they had shot the wolf the day before. They could not locate the remaining wolves, so they proceeded upstream on the Big River (further outside the legal area). Twenty-four miles upstream from the hunt area boundary on the Big River, they spotted two gray wolves on a ridge near a moose kill. Both wolves were shot from the air with a shotgun by Zellars with Haeg again flying the plane. One of the wolves then had to be shot from the ground with the .223 by Zellars. The two wolves were hauled back to the lodge, and were skinned that night.

On 3/6/04, Haeg called on his satellite phone and reported to McGrath Fish and Game that he and Zellars had harvested three wolves within the permitted hunt area on the Big river, at which time he gave false coordinates for the kill sites.

After calling in the report, Haeg and Zellars returned to Soldotna, taking the three-wolf hides with them. On 3/15/04, they received a call from Fish and Game in McGrath telling them that the three hides had to be sealed in McGrath.

On 3/20/04, Haeg and Zellars flew from Soldotna to Trophy Lake Lodge, where they spent the night. They had brought the three wolf hides back with them to take to McGrath for sealing.

On the morning of 3/21/04, Haeg and Zellars decided to fly South (further from the legal area) to the upper Stony River to look for wolves and check out local moose populations. Several wolves were spotted on the Stony River, and a gray male was shot from the air with the shotgun. Zellars did the shooting from the air while Haeg flew. One of the wolves was wounded and Zellars shot the wounded wolf again from the ground with the .223. Multiple shots were taken at the other wolves, but none were killed. The dead wolf was taken back to the lodge where it was dropped off whole.

During their interviews, Haeg and Zellars pointed out the location of the kill on a map. The location described as the kill location for this wolf was more than eighty miles from the nearest border of the legal hunt area.

Haeg and Zellars then flew to McGrath with the three wolf hides from earlier in the month. Upon arrival in McGrath, the two men met with Biologist Toby Boudreau, to have the wolves sealed. Haeg provided the information for the sealing of the wolves, knowing that it was false at the time he signed the form. He had claimed that the wolves had been shot inside the permit area because he wanted to be known as a successful participant in the aerial wolf hunt.

On 3/22/04, Haeg and Zellars flew along the Swift River to check on moose numbers in the local area. They still had the shotgun and rifle in the plane. They found a dead moose, which had been recently killed by wolves. They spotted two different wolves near the moose kill. The second wolf they saw was a large gray male, and was shot from the air by Zellars with the shotgun while Haeg was flying the plane. The wolf was hauled back to the lodge, and the two men gathered traps and snares from the lodge, and two other sites in the field where traps and snares were being stored. They returned to the moose kill site and set in excess of forty wolf snares, and some traps. Each man set about half of the snares, and Haeg set the leg hold traps. There were no diagrams made of where the snares and traps were set, and neither man wrote down exactly how many snares had been set.

On 3/23/04, Haeg and Zellars decided to fly back to the Swift River to see if any wolves had been caught in the traps or snares. After finding no animals at the set, the two men began to fly upstream along the Swift River when they spotted, shot and killed four wolves running on the river. They also located more wolves scattered in the trees. Four gray wolves were shot from the air, with Zellars doing all of the shooting, while Haeg flew the plane. Multiple shots were taken at other wolves in the pack, without success. All wolves were hauled from the field whole and skinned at the lodge later that day.

The area where all five of the wolves were killed on the Swift River is fifty miles from the nearest boundary of the legal hunt area, and separated by major terrain features.

On 3/24/04, Haeg and Zellars flew to Soldotna with all nine wolf hides. They had a discussion about having Zellars get the six new wolves sealed in his name, and giving a false location so that they would not draw extra attention to the Swift River area. Zellars took all nine wolf hides to Anchorage, where on 3/26/04, he had the six new wolves sealed at the Fish and Game office. Zellars knew that the information he provided during sealing was false at the time he signed the signed the certificate. After getting the wolf hides sealed, he took all nine to Alpha Fur Dressers to have them tanned.

During their interviews, both Haeg and Zellars admitted that they knew that the wolves they shot from the airplane were outside the permit area when they were shot.

Both Haeg and Zellars stated that they did not know that the leg hold traps had to be pulled before March 31st, and that they never went back to the trap and snare set. Haeg stated that Tony Lee had pulled some of the animals from the set during April, and he thought that Lee was going to pull all of the traps and snares. When Gibbens asked Haeg if he thought that the snares which were left out were his responsibility, he said that he did not think so, since he thought that Tony Lee was going to take care of them. Gibbens asked him if he told Tony Lee exactly how many snares were at the site, and he said that he did not know.

DATED this 25<sup>th</sup> day of April, 2005 at Kenai, Alaska.

David W. MARQUEZ ATTORNEY GENERAL by: "s/" Scot H. Leaders Assistant Attorney General Alaska Bar No. 9711067

# PROOF, WHICH IS STILL IN THE RECORD, THAT HAEG'S SENTENCING STATEMENT WAS PLACED IN COURT RECORD AND LATER REMOVED – WHICH INCLUDED PROOF THAT STATE TOLD AND INDUCED HAEG TO TAKE WOLVES OUTSIDE THE AREA BUT CLAIM THEY WERE TAKEN INSIDE THE AREA

November 8, 2004: In the District/Superior Court for the State of Alaska Third Judicial District at McGrath. State v. Haeg, Case No. 4MC-S04- Cr. Notice of Supplemental Letter.

#### NOTICE OF SUPPLEMENTAL LETTER FOR SENTENCING HEARING

David Haeg, by and through his counsel, hereby submits his supplemental letter for consideration during the sentencing hearing in the above-captioned case scheduled before Magistrate Murphy in McGrath on November 9, 2004, at 10:30 a.m.

Dated this 9<sup>th</sup> day of November 2004, at Anchorage, Alaska.

MARSTON & Cole, P.C. Attorneys for Defendant

By: "s/" Brent R. Cole AK Bar No. 860674

I certify that a copy of the foregoing document w/attachment was faxed to Scot H. Leaders.

By: "s/" 11/8/04

FILED In the District Court State of Alaska At McGrath Date 11-8-04

"s/" MLM Magistrate/Clerk

# <u>PROOF HAEG'S IMMUNIZED STATEMENT WAS PUBLISHED BY THE MEDIA – ALSO PROOF THAT WHAT HAEG SAID WAS FALSIFIED</u>

# Anchorage Daily News

# Aerial Wolf Hunters Face Charges Tataboline Brant / Anchorage Daily News / November 10, 2004

Two men contracted to kill wolves in a state predator-control program near McGrath have been slapped with numerous criminal charges that accuse them of shooting the animals from their planes outside the prescribed area, according to court papers.

David Haeg, 38, of Soldotna, and Tony Zellers, 41, of Eagle River, each face five counts of shooting wolves from a plane, two counts of unlawful possession of game, and one count of lying about where they shot the wolves.

Haeg, owner and operator of Trophy Lake Lodge, is also charged with two counts of trapping in closed season and one count of failure to salvage game.

Each charge against them is a class A misdemeanor, punishable by up to a year in jail and a \$10,000 fine.

According to Alaska State Troopers, Haeg and Zellers last March applied for and were granted a state permit allowing them to kill wolves on the same day the two hunters were airborne in an area near McGrath -- a practice that is usually forbidden under state law.

The tactic, part of a predator-control program approved by the Alaska Board of Game in 2003, was designed to eliminate wolves in a 3,300-square-mile area surrounding McGrath to help the moose population there grow.

But charges say Haeg and Zellers on numerous occasions shot wolves outside the prescribed area -- in one case, as far as 80 miles from the nearest border of the legal hunt zone -- and then falsified paperwork to the state about where the wolves were killed.

Troopers also believe the two men caught wolverines out of season in snares and in one case failed to return to the snares, leaving a salvageable wolf to rot, according to the court papers filed last week and this week.

Both men have pleaded not guilty to the charges against them, prosecutor Scot Leaders said Tuesday. Haeg could not be reached for comment. Zellers declined to talk about the case when reached at his home in Eagle River.

Charging documents say both men admitted to troopers they had killed or wounded nine wolves from their airplane outside the legal hunt zone in March. In all of the cases, Haeg flew the airplane while Zellers shot at the wolves with a shotgun.

The wolves were fired upon as they ran along riverbanks, spread out in trees or stood along a ridge-line near a moose kill, charges say. In some cases, Zellers shot at multiple wolves but missed. In other cases he wounded the animals and had to finish them off when he landed.

Troopers have seized the plane used by the two men. It could be forfeited to the state permanently if they are convicted.

According to airplane ownership records, the aircraft is owned by Haeg.

Prosecutors say Haeg told troopers he lied to the state about where the wolves were killed "because he wanted to be known as a successful participant in the aerial wolf hunt," the court documents say.

Wildlife enforcement trooper Brett Gibbens, who pieced together the case, could not be reached Tuesday. But his supervisor, Lt. Steve Arlow, deputy commander of the Alaska Bureau of Wildlife Enforcement, wrote in the troopers' fall newsletter that Gibbens, a trapper, had a great deal of personal knowledge of the wolf packs around McGrath -- about their pack sizes and coloring.

Gibbens figured out pretty quickly that something was amiss, Arlow wrote. "The area the permit holders (claimed) to be involved in ... and the color phases of wolves they were harvesting did not add up in his mind," Arlow wrote.

Gibbens interviewed the hunters about the type of ammunition they were using and the areas they were working in.

On March 26, while flying in his personal aircraft on his day off, Gibbens found suspicious airplane ski tracks in the snow along with wolf footprints. He followed the wolf tracks over the next few days, which eventually led him to some of the wolf-kill sites. The same airplane ski tracks were found at the sites, charges say.

At one site, "Running wolf tracks ended abruptly with blood and wolf hair in the track, and there were airplane ski tracks and human foot tracks where someone had loaded the wolf into the airplane and taken off again," according to the charges.

"Because of (trooper) Gibbens' expertise in the area of wolf hunting from aircraft and aircraft ski track patterns in snow, he could read the crime scene like a good novel," Arlow wrote.

Haeg and Zellers have been arraigned on the charges and are not in custody, Leaders said. Their next court date is scheduled for Jan. 7, he said. Daily News reporter Tataboline Brant can be reached at tbrant@adn.com or 257-4321.

#### Peninsula Clarion, November 11, 2004

#### Two men face charges in wolf kill program

Charging documents say both men admitted to troopers they had killed or wounded nine wolves from their airplane outside the legal hunt zone in March.

# Wolf control permittee pleads no contest to illegal kills By MARY PEMBERTON Associatied Press Writer Published: January 14, 2006

Even though Zellers and pilot David Haeg, 38, of Soldotna were permitted under the state's predator control program, they were acting on their own, said Matt Robus, director of the Division of Wildlife Conservation.

"We do not consider this a part of the McGrath wolf control program," Robus said.

Patricia Feral, president of Darien, Conn.-based Friends of Animals, said the behavior by the program participants illustrates how "abominable the entire program is and how little enforcement there can be to make sure it goes the way the state wants it to."

#### Groups taking aim at aerial wolf hunt LEGISLATIVE EFFORT: Support is being sought for a ballot measure to restructure the hunt.

By SEAN COCKERHAM (Published: November 9, 2005)

JUNEAU – The much-touted tourism boycott of Alaska appears to be a flop, and another winter of state-sponsored aerial wolf killing is set to begin in the next month or so.

But the state's controversial predator control program is not out of the woods. Connecticut-based Friends of Animals still hopes to stop it in court. And a group of Alaskans who don't like how the state is running the program are scrambling to collect enough signatures to get an initiative on the 2006 ballot.

The program began around the Interior village of McGrath after local residents complained that moose were scarce and said it was because wolves and bears were eating too many calves. The state expanded the effort last winter to five areas of Alaska. Wolves can be shot from the air in some areas; in others, the airborne hunters must land before shooting.

Initiative sponsor Jans is a hunter and author of "Grizzly Maze," a book about Timothy Treadwell, the bear videographer who was killed, along with his companion, Amie Huguenard, by a grizzly on the Katmai coast in 2003.

He said having private hunters do the state's killing leads to abuses. Soldotna hunting guide David Haeg, who was working with the state's predator control program, was recently convicted of killing nine wolves by shooting them from his aircraft while outside of an allowed area.

Jans said he believes such abuse is widespread but it's just too hard to catch the culprits. State officials called Haeg a "bad apple," and pointed to his harsh sentence, which included spending 35 days in jail, losing his airplane and giving up his guiding license for five years. Wayne Regelin, Fish and Game deputy commissioner, argued that in today's Alaska there's not many places people can hunt from a plane completely unnoticed.

# Wolf Hunters Must Stay in Bounds: No Cowboys Anchorage Daily News November 12, 2004

The two men, David Haeg and Tony Zellers, have pleaded not guilty. They are due their day in court.

But the story already is discouraging. Aerial wolf hunting is controversial enough without even the suspicion of teams far exceeding their state permits. Game biologists disagree on the effectiveness and need for the program, but this much they and all Alaskan's can agree on: Alaska's wolf-control program is not a declaration of open season wherever airborne shooters care to open fire.

What's encouraging is the state's apparent determination to press charges and not turn a blind eye to suspected violations of permit terms and Alaska law.

And what's particularly satisfying in this case is the skookum work of wildlife enforcement trooper Brett Gibbens, a trapper who knows both the area's wolves and the work of aerial hunting. That kind of expertise and dedication is what the state needs to keep the wolf-control program under control.

Aerial wolf hunting is about fish and game management and providing more moose for hunters. It's about cutting competition at the top of the food chain. It is not about fair chase. But that doesn't mean it's management without rules. Those entrusted with this job must be law-abiding Alaskan's who know what they're doing and why – and know when to stop.

Violators should pay a stiff price.

#### Trooper Who Caught Accused Wolf Poachers Should Get Commendation

Anchorage Daily News November 14, 2004

It will very interesting to see how the state handles the case of David Haeg and Tony Zellers, the two men charged with killing at least nine wolves outside the predator control area, one 80 miles outside, leaving wolves to rot after killing them, and catching wolverines out of season ("Aerial wolf hunters face charges," Nov. 10).

We will be watching.

# Alaska's wolf-control program deserves to be killed itself Fairbanks Daily News-Miner November 23, 2004

The unlawful wolf-killings that prompted the criminal charges imposed on one of three hunterpilot teams permitted by the state to shoot wolves near McGrath is utterly predictable. Permitholders may well view the shooting opportunity as open-ended, and go anywhere to kill wolves. It's lucky that David Haeg and Tony Zellers were caught.

Originally, the McGrath area included 1,700 sq. miles. Wolf killers couldn't find any wolves in this area despite Alaska's Department of Fish and Game's (ADF&G's) assurances that the area contained too many wolves, so ADF&G expanded the control area to provide wolves to kill.

The latest control program to be authorized for the Fortymile region may illustrate better than any others the state's dishonesty. From 1997-2001, the state completed a so-called non-lethal wolf control program in that region in which wolves were sterilized and relocated. The promotions surrounding that effort promised that if caribou numbers increased to the specified objective, wolf numbers would be allowed to not only recover but increase above their precontrol level. Fat chance. Although caribou numbers have increased beyond the objective, the state is reneging on its promise and is replacing it with yet another aerial control program.

#### Exhibit 15

#### **RECORDINGS OF ROBINSON**

#### haeg@alaska.net

From: "Chuck Robinson" < chuck@robinsonandassociates.net>

To: "Dave Haeg" <a href="mailto:haeg@alaska.net">haeg@alaska.net</a>
Sent: Saturday, September 10, 2005 12:44 PM

Subject: RE: Question from Dave

#### Dave and Jackie:

I don't think we need Fitzgerald. Brent is sufficient since he was Dave's lawyer and not Fitzgerald. However, as I told you earlier, Brent says that the "open sentencing" deal wasn't that firm. I don't know what utility will come of having to question Brent's credibility as your own witness.

As far new information, I spoke with Jayo the day after out last status hearing. He knows that we have sent a subpoena for him to appear at the sentencing hearing. He's ok with that and he will be available to testify on Sept. 29. He doesn't go on his trip outside of the country until Oct. 3. He is going hunting in Kurdistan.

I think it would be in Dave's best interest to gather right now as many letters he can get about his good character to give to the judge for sentencing. Also, early on I spoke to him about getting a letter from the people of Lime Village in support of him.

#### Chuck

From: Dave Haeg [mailto:haeg@alaska.net]
Sent: Wednesday, September 07, 2005 8:30 AM

To: Chuck Robinson

Subject: Question from Dave

#### Chuck,

Dave called yesterday and was wondering if we should subpoen Kevin Fitzgerald? Dave thinks that he should have known of the deal that Brent and Leaders had to originally go to McGrath and do open sentencing since Tony was going to go out there also and testify and he was Tony's lawyer. He also was wondering if you can question Leaders about the original agreement on the stand - under oath?

Dave also wanted me to ask you if there is anything knew he should know about? You can either give me a call if you like or just send me a note. Thanks.

Jackie 262-9249

#### Meeting with Mr. Robinson Robinson dated 1/5/06

Present at Meeting Chuck Robinson, David Haeg, Bonnie Burger, Jackie Haeg and Greg Stoumbaugh Transcribed Tapes

**Haeg:** Ok. Um - remind me again why we didn't pursue the deal Brent Cole had because I'm – I've been thinking about that and thinking about it.

**Robinson:** Uh you're asking me why you didn't pursue that deal?

**Haeg:** Why we didn't pursue the deal Brent Cole had – we

Robinson: we

Haeg: you and I

**Robinson:** I wasn't part of that deal. You couldn't get me until after Brent Cole.

**Haeg:** Ok - what - ok - I guess - however that is. Um you had an approach that uh the State failed to swear to the charges. And thus the whole proceeding was

**Robinson:** flawed

Haeg: flawed – right and you said that if we continued on we would definitely win with that and

**Robinson:** I never said you would definitely win anything. I've never guaranteed you a thing.

Haeg: You said the argument was so compelling you recommended I didn't even put on a defense. Do you remember that?

**Robinson:** That was a strategy. That was a strategy suggestion that we had - yes.

**Haeg:** that you made to me. I didn't bring it up, you did.

**Robinson:** I don't understand it. So what is your point?

**Haeg:** My point is you were willing to rely upon your defense to a very great deal. And I spent a lot – you said if we put on evidence it would cost me a lot of money... you said that what Brent Cole did was – was – you were stunned when we told you what Brent Cole had us do by giving the State

Robinson: Yeah I told you ...

Haeg: a complete

**Robinson:** that I may not have recommended that you give that statement to the State. That's what I told you.

**Haeg:** Well my recollections is you were shocked, couldn't believe it, and you were like how could...

**Robinson:** I told you my general rule is don't talk to the police. That's my general rule.

**Haeg:** what happens if you do make a deal.

**Robinson:** Under – under – under – under rare circumstances...

Haeg: ok

**Robinson:** if there is a deal it should be in writing.

**Haeg:** And if you did – and in the rare circumstance you did it would be in writing?

**Robinson:** It should be in writing, yes.

**Haeg:** Ok so when I told you Brent Cole had me give a 5 hour interview to Assistant Attorney General Scot Leaders and Brent Gibbens that worried you, you didn't think that was correct or that was right is that – is that

**Robinson:** if I was in the situation I would say David don't talk to the police, period.

**Haeg:** Ok I'll put it this way how many attorneys out of 100 would do what Brent Cole did?

Robinson: I have no idea how many would attorneys out a hundred

**Haeg:** Take a wild guess.

**Robinson:** I'm not taking any wild guesses. I'm just telling you this. That as my general rule and the general rule of other attorneys I know that practice criminal law that they advise clients not to talk to the police.

Haeg: Yep

**Robinson:** And the deal is let say the deal is ok we want you to come in and give the statement to the police about everything you know about this case, turn State evidence on other people if

you know of anything else – like testify against a co-defendant or whatever – I usually want to get that in writing.

Haeg: Ok

**Robinson:** If the client agrees to do it get that in writing. Just say that this is just – you know this is – we're making this agreement here that we're goanna do this.

**Haeg:** Yep and most other attorneys that you know of would follow the same approach?

**Robinson:** That's a reasonable approach to follow.

**Stoumbaugh:** Dave seems to think that since – uh - Brent Cole had made this deal with the State and then he didn't get anything in writing that - that was a definite clear-cut case of ineffective counsel. I'm mean he actually just pretty much said "here - here's Dave's hide, take it".

**Haeg:** And do you Chuck – do you – do you know what ineffective assistance of counsel means?

**Robinson:** I just want to know whether you're dissatisfied with anything that I've done? Because if you are we need to get that out on the table now.

**Haeg:** Um – have you ever heard of ineffective assistance of counsel?

**Robinson:** Yes I know what ineffective assistance of counsel is – I represent people...

**Haeg:** Do you think that there would be any case against Brent Cole for ineffective assistance of counsel?

**Robinson:** On what issue?

**Haeg:** Him giving – having me – telling me that it was virtually mandatory to give the State a 5-hour interview and maps and having nothing in writing. What do you think about that?

**Robinson:** Having nothing in writing as to what you were going to get in exchange for that?

**Haeg:** Exchange or anything in writing whatsoever for anything.

**Robinson:** Well that you were not going to get something in exchange for it or – or what David? I'm not quite sure I understand where you're going. Well here's the problem is that I wasn't there...Scot's position is "I didn't back out of a deal. David Haeg backed out of the deal".

**Stoumbaugh:** His point here...

**Haeg:** Ok - ok

Robinson: And so...

**Stoumbaugh:** He had this deal or was lead to believe he had this deal...

**Robinson:** right

**Stoumbaugh:** ... and then he spent this great deal of money...

**Robinson:** and time

**Stoumbaugh:** ... and time...

**Robinson:** right

**Haeg:** gave up a whole years hunt

Stoumbaugh: comes the very moment that its time to go there and then Brent tells him "no".

**Robinson:** Well I'm just trying...

**Haeg:** we sent back – how many dollars in deposits?

Mrs. Haeg: I think about \$50,000 dollars.

**Haeg:** We sent back \$50,000 dollars in deposits.

**Robinson:** \$50,000 dollars

**Haeg:** Ok. We do all this because Brent says there's a deal happen – coming along.

**Robinson:** And you didn't hunt during the fall.

**Haeg:** Exactly or the next spring...

Robinson: Do...

**Haeg:** do is - ok we have this deal that Brent has been working on -supposedly working on with Mr. Leaders and we've now - what we - what I would call detrimental reliance on that deal. Do you - Mr. Robinson do you know what detrimental reliance means?

**Robinson:** Well it has a lot of meanings – but the meaning that I understand is that you took some steps that you would not have ordinarily taken in reliance on something else

**Haeg:** ok

**Robinson:** to your detriment

**Haeg:** would you say that by me giving up a whole years income and giving the State a 5-hour interview and a map that I was relying upon something?

**Robinson:** I can only assume that you were relying on something.

**Haeg:** Would a reasonable person do that? Any reasonable person do that?

**Robinson:** I know a lot of reasonable people that have been charged with crimes that do a lot of things in retrospect I think are unreasonable even though I think that they are reasonable people.

**Haeg:** Ok - ok.

**Robinson:** But all I'm saying is that if you...

**Haeg:** Do you think I would of just - I wouldn't - I'll put it this way I would not think your reasonable if you just took off right now and cancelled all of your whole thing and you've got kids in school and stuff and you depend on that money - if you did that I would think boy there's something going on.

**Robinson:** I'm not...

**Haeg:** You have a reason for it.

**Robinson:** I believe that you did that in anticipation of getting something in return from the State.

Haeg: Ok.

**Robinson:** I believe that. Ok.

**Haeg:** And do you also believe that I gave them the interview to get something in return from the State?

**Robinson:** I hope so. I mean I hope you would have.

**Haeg:** Well – well my problem is I never got anything for that stuff.

**Robinson:** But - I know - but the question is – the question is...

**Haeg:** I'd like – I want you to say that again – I KNOW.

**Robinson:** But that doesn't address Ineffective Assistance of Counsel. It may have been something you lost but it doesn't necessarily represent Ineffective Assistance of Counsel.

**Haeg:** Without a doubt it does, Chuck.

Robinson: Well not if...

Haeg: Because

Robinson: not if you

Haeg: because we had a binding deal

Robinson: but not if

**Haeg:** and Brent Cole never raised his hand at arraignment and says we had a deal your honor and anytime your attorney fails...

Robinson: I see

**Haeg:** to represent you he's done – he's finished. Do you agree?

**Robinson:** Well no I can think of times when I would failed to represent somebody and I may be done but not necessarily...

**Haeg:** If your whole life was resting on a deal that you'd had given up everything and your attorney failed to at arraignment before the judge and say that we had a deal you think that would represent a breach of my attorneys representing me?

**Robinson:** I can only say this – if I was convinced that we had a binding agreement with the State and at the moment of pleading or whatever on the charges the State changed it's mind, I would say "your honor I thought we had an agreement".

**Haeg:** Would most reasonable attorneys say that?

Robinson: I think so.

**Haeg:** So when Brent didn't what does that make him – an unreasonable attorney?

**Robinson:** No...

**Haeg:** Ok Chuck that's - that's the catch 22. Either he had a deal or he didn't. If he had not deal he screw – it was Ineffective Assistance of Counsel. Right?

**Robinson:** If he had a deal.

**Haeg:** If he didn't have a deal then...

**Robinson:** No if he didn't have a deal then he – if there was no deal – no reasonable attorney would tell the court that he had a deal – if there was no deal.

**Haeg:** Ok but he told me had a deal. Brent told me he had a deal and then he never raised his hand.

**Robinson:** All right. Whether it's true or not I don't know I'm just saying that's what he says.

**Haeg:** Ok but if Brent told me, his client, there was a deal set in stone and I spent \$6000 dollars dragging everybody from around the countryside and when we showed up on his doorstep and he waved something in our face and he says "he just got that" and now I can prove that he knew for 4 days ahead of time that he - he knew the deal was goanna be broke and then he lied to us there and I told you I think at some point "that" but that I think that adds into the significance of what's is going on – that he just fed us to the – I mean that he just lied to us, and he just let a deal that he had go by without raising his hand. Either he had a deal or he didn't have a deal. He told me he had a deal. If he told me that he had a deal didn't – wasn't his professional obligation when we went before the court to stand up...

**Robinson:** If he thought he had a deal...

**Haeg:** No I'm not saying that. If he told me, who's paying him, that he had a deal he should've stood up whether he had a deal or not...

**Robinson:** No

**Haeg:** ... because if he didn't have deal...

**Robinson:** Then he just lied to you.

**Haeg:** he just lied to me...

**Robinson:** I understand that...

**Haeg:** Now - now

**Robinson:** I understand that but I'm just saying...

**Haeg:** Ok what – now Chuck - Chuck

Robinson: Listen what I'm saying is that what a lawyer reasonably would be required to do is that if there really was a deal and at the last minute the State says "oh we don't want to go through with the deal" then he should stand up and tell the court I thought we had a deal and this is what the deal was.

Haeg: Ok let me ask one question. Is an attorney – when it's proven that an attorney lies directly to his client is that Ineffective Assistance of Counsel? And I want it on the tape.

## Robinson: That and in amongst itself may not be Ineffective Assistance of Counsel.

**Haeg:** So you're telling me you're lying to me right now?

**Robinson:** No I'm not lying to you about anything.

**Haeg:** How do I know if you're not precluded from lying to me? Tell me that.

**Robinson:** Ineffective Assistance of Counsel has to do with at a certain level of competence that deals with defending you in a criminal case.

**Haeg:** I have it right here.

**Robinson:** It deals with competency it doesn't deal with honesty it deals with competency. So the question is whether or not a certain level of competency was afforded to you as a client in a criminal case.

**Haeg:** How can I get any competence from you if you can lie to me?

Robinson: I guess...

Haeg: Bonnie Burger -

**Robinson:** ... it depends on what the lie is...

**Haeg:** Bonnie Burger

**Robinson:** I guess it depends on what the lie is...

Haeg: ... Chuck's paralegal stand up please. You say if I can be your attorney and I can legally lie to you am I giving you Effective Assistance of Counsel? And this is Bonnie Burger on the tape...

**Robinson:** my guess is no

Haeg: yes or no

**Burger:** That would - I'm not an attorney I can't say that...

**Haeg:** Ok would you think you're getting Effective Assistance of Counsel?

**Burger:** As an ordinary person I wouldn't think that it would be effective if he was going to <u>lie</u> to me...

**Robinson:** About what?

Burger: ... but in legal terms I don't know...

Haeg: Anything.

**Robinson:** Well that's the problem.

**Haeg:** That is the problem...

**Robinson:** No I mean that...

**Haeg:** ... either I was lied to

**Robinson:** I understand that but

**Haeg:** ... or I didn't get the deal I agreed to

Stoumbaugh: his point is pretty much one of either Brent or Scot Leader's is lying...

**Robinson:** Right

**Stoumbaugh:** He was thrown to the vultures by his own – he paid the man a fortune to turn on him. I mean this is a guy that actually told Dave "I don't want to ruffle their feathers cause I got to make deals with these people in the future".

**Robinson:** Yeah he told me about that.

**Haeg:** How can my attorney come and tell me – lie to me then – I am guaranteed by the United States Constitution and the Alaska State Constitution to a reasonable - here I will quote it to you "you are – you are – your are uh has a right to reasonably competent assistance of an attorney acting as a diligent conscientious advocate". Now when my attorney lies to me does that mean I have a reasonably competent assistant who is diligently and conscientiously advocating for me? I don't think that I'm getting my United States Constitutional right or Alaska Constitutional rights

**Robinson:** If your attorney is lying to you and said there was an agreement and the State says no there wasn't an agreement – then what?

Haeg: I was just denied my constitutional rights

**Robinson:** I-I understand that  $-\operatorname{if}-\operatorname{but}$  on the other side of this coin

Haeg: don't matter

**Robinson:** it does matter

**Haeg:** does – absolutely does not Chuck. I'm guaranteed to have a competent attorney for my \$250 dollars an hour.

**Robinson:** I understand that you are entitled to competent attorney for whatever amount of money you are paying well even if it is free – like some people don't have to pay for attorney fees.

**Haeg:** Doesn't – I don't give a shit has nothing to do with it – my attorney lied to me and said I have a deal and I spent a shit load of money on it and that deprives me of my right – constitutional right for effective assistance of counsel. It's a done deal Chuck it's over. It's over. You listen to this under the Sixth Amendment of the United States Constitution and article one of the Alaska State Constitution a criminal defendant has a right to reasonably competent assistance of an attorney acting as a

**Robinson:** I understand what assistance of...

**Haeg:** quiet down... as a diligent conscientious advocate – quotes – lots of case history -

**Robinson:** I understand

**Haeg:** A claim of ineffective assistance of counsel

**Robinson:** I've done ineffective assistance of counsel cases David you don't have to read the bible to me.

**Haeg:** You don't mean – ok do we have one against Brent? Tell me that.

**Robinson:** I don't know.

**Haeg:** You tell me that.

**Robinson:** This is what I'm trying to...

**Haeg:** have you ever thought of it?

**Robinson:** Are you goanna to listen to me?

**Haeg:** No – have you ever thought of it? I'm paying you – you're not paying me. Have you ever thought of it?

**Robinson:** Are you listening – but you're not listening to me.

**Haeg:** Have you ever thought of it? My turn – I'm paying.

**Robinson:** Have I thought of what?

**Haeg:** An Ineffective Assistance of Counsel against Brent Cole?

**Robinson:** No I haven't thought of it.

**Haeg:** It never crossed your mind?

**Robinson:** No.

**Haeg:** All the nasty things that he had me give up and had fuzzy deals and all that...

**Robinson:** You're not paying me for Ineffective Assistance claim against Brent Cole.

**Haeg:** Why not when that would reverse...

**Robinson:** No - no - no - no - no - no David

**Haeg:** everything that I'm in and it would take

Robinson: I'm sorry David

**Haeg:** away everything all of the – all of the evidence that they got

Robinson: I'm not saying that he did or he didn't but I'm just saying that you're paying...

**Haeg:** you're supposed to defend me even at his expense.

### **Robinson:** I'm don't I'm not suppose to defend you in an Ineffective Assistance claim against Brent Cole.

Haeg: Yeah you are.

**Robinson:** How am I supposed to do that?

**Haeg:** Because I was denied my rights to have affective assistance of counsel and that's a defense against this whole nightmare that I've been in. Bonnie stand up please.

**Burger:** I knew I was in here for a reason.

**Haeg:** If - if I'm acting as your attorney

Burger: Mm hmm.

**Haeg:** And your whole life is on the line and me the new attorney knew what the old one did and if I file Ineffective Assistance of Counsel all your troubles go away and I never tell you about it. Do you – is that fair of your new attorney am I not suppose to tell you how to make all your troubles go away...-

**Robinson:** I don't – I don't agree necessarily that you have an Ineffective Assistance claim against Brent Cole.

**Haeg:** we have about 100 United States Supreme Courts decisions.

**Robinson:** because you didn't take the deal?

**Haeg:** No because I got screwed by my own attorney.

**Robinson:** So what was the screwing? Because you didn't take the deal?

**Haeg:** No because he lied to me.

**Stoumbaugh:** Cause see he - he got hosed.

**Robinson:** But see I think that part of the problem here however nobody wants to deal with all the circumstances the totality of it.

**Haeg:** Case after case after case

Burger: Mm hmm

**Haeg:** Ineffective Assistance of Counsel

**Burger:** there are a lot of them - yeah.

**Robinson:** David what I'm trying to tell you is that you are convinced that Brent lied to you...-

Haeg: He either lied to me or he didn't object when he should have.

Robinson: ok

**Haeg:** Can you see this Chuck? It's the State and the attorneys are working against me – it's so obvious now that I am ready to freakin blow. Brent Cole was sabotaging me from the beginning and you can't have an attorney sabotaging a client because then he is denied his Constitutional Rights and that's what's happened to me.

**Robinson:** He sabotaged you in the beginning?

**Haeg:** He sabotaged the shit out of me. He gave them – he had me go in there and give them a 5-hour interview, he had me give them maps, he had me do whatever and then when I wanted my return he didn't even stick up his goddamn hand.

**Burger:** I understand but let me ask you this question. Do you want Chuck to advise you on a claim of ineffective assistance of counsel against Brent Cole? Is that what you want?

**Haeg:** I want him to tell me if he ever thought of that?

**Robinson:** If I ever thought that it was an ineffective assistance claim against Brent?

Haeg: Yes.

**Robinson:** No I didn't think of it.

Haeg: Why?

Robinson: Because after I had Joe investigate it

Haeg: Yep

Robinson: After I got the writing from Scot

Haeg: Yep

**Robinson:** I wasn't sure there was a deal.

**Haeg:** Well didn't ever occur to you that ineffective assistance of counsel didn't have to mean that there was a deal...

Robinson: but what else would...

Haeg: ... but when – when Brent told me that there was a deal and there wasn't that's ineffective assistance of counsel. I mean it's as obvious as the nose on your face. You cannot bloweth both hot and cold Chuck.

**Robinson:** I sent my - I put my investigator on it to find out what the situation was

Haeg: yep

**Robinson:** And my investigator found out that it wasn't clear that there was a goddamn deal.

Haeg: it was fuzzy yeah

**Robinson:** so what – so then what – at that point then what am I suppose to say, "oh there's ineffective assistance of counsel because it is vague and uncertain"?

**Haeg:** Nope you should've said – you should've said how did you Brent Cole how did you why did you let Mr. Haeg pay \$6000 dollars to go up tell them that there was deal, exactly what the parameters were, had him fly people in from around the country and then when he gets there tell him then that there's no deal.

**Robinson:** I didn't have an obligation to do that Dave.

Haeg: Yeah you did.

**Robinson:** All I said to you was "it is my general counsel to people" and Ms. Burger can back me up on this. Never talk to the f\*\*\*\*\* police.

Haeg: But there was time after time after time you said god if you just wouldn't have give...-

**Robinson:** But you did! I mean but you had already talked to the police.

**Haeg:** But you kept saying "if Brent wouldn't have had you talk to the police", "if Brent wouldn't have had you talk to the police", "if Brent wouldn't have had you talk to the police". Brent is screwed.

**Robinson:** All I'm saying is that's – that's my philosophy...

**Haeg:** You guys have no idea what I've been through. You have no idea what my family has been through.

Burger: It's been horrible.

Robinson: I can understand what you've been through David but I'm goanna tell you this and I'm goanna tell you what happens in the event of ineffective assistance of counsel claims and (words?) I don't know whether the United States Supreme Court would agree with it but our Supreme Court understands. That unless you can show that you're not guilty of the offense to begin with you really can't do anything against Brent.

**Haeg:** Wanna bet?

**Robinson:** Well no you can't do anything against him – you might be able to do something about your conviction. You can't do anything against him because as far as a malpractice suit is concerned because there has to be proof of innocence. I'll pull that Smith case for you.

**Haeg:** Ok. But I'll put – I'll tell you this right now Chuck this is the wolverine taking to yah

Robinson: Ok.

**Haeg:** there is goanna be a malpractice suit against Brent Cole.

**Robinson:** Ok I'm just telling you that under Alaska's standards in order to prevail you have to show basically that you weren't guilty.

**Haeg:** Ok well the malpractice that's – that's mine...

**Robinson:** It's a screwed up rule – that's a screwed up rule but that's the rule in Alaska.

**Haeg:** Yeah cause how can you...

**Robinson:** I don't know and I don't agree with it but that's the rule.

### Conversation with Robinson: Dated 1/23/06 Transcribed Tapes

**Haeg:** Is there a way to stay the appeal and still have a post conviction relief?

**Robinson:** Probably not.

**Haeg:** Ok -um- how there is absolutely no way that going forward with the appeal on what you have told me with you know charges not sworn to, no jurisdiction, whatever can jeopardize my ability to have post...

Robinson: No.

**Haeg:** ...conviction relief and Ineffective Assistance of Counsel?

**Robinson:** Well if a person must be dropping back to reality on what you said on that telephone conversation I can't remember who it was with...

**Haeg:** Yeah. Why was not an arrest warrant issued right then?

**Robinson:** I guess you don't quite understand how the system...

**Haeg:** No I don't. What would have happened to me Mr. Robinson if I would've lied under oath right there? What would have happened to me? You tell me what would have happened to me.

**Robinson:** Well you're not in the fold David.

**Haeg:** No no no no no I just want you to tell me what would have happened to me.

**Robinson:** You may or may not have been charged with perjury but the point is that you are not in the fold. If you're in the fold...

**Haeg:** What's the fold? Tell me about the fold.

**Robinson:** The group you know...

**Haeg:** Ok what's the group?

**Robinson:** The group they protect and don't do anything against.

**Haeg:** Oh so they can just troop - trot in Trooper after Trooper to lie against me like Gibbens did also. I don't know if you realize that he lied - perjured himself.

**Robinson:** I know but it's the "good old boys system". It's the American way.

**Haeg:** Well how do you get through the "good ol' boy system" Mr. Robinson?

**Robinson:** I don't know.

**Haeg:** Well I'm goanna find out.

**Robinson:** You don't know how our good ole Judge Murphy got around that.

**Haeg:** Yeah yep - yep "oh that didn't enter into it". How can you have 8 hours of testimony at your sentencing and you know - you know what physical frame of mind I was in there at testimony. I was no longer there...

**Robinson:** Right.

**Haeg:** ... I was out to lunch.

**Robinson:** I was barely there.

**Haeg:** I had been up for 30 hours straight.

Robinson: I was barely there by like 11:00. Yeah but I you know I warned her I said like, "look we don't need to go through this is irrelevant, he's not been charged, he's just bringing up this stuff to enhance sentencing, make things look bad, there's nothing here". "Oh well we'll hear it then decide it". So in the "good boy network" you have not only the prosecutors, and the cops, but you also the judges and the magistrates.

**Haeg:** Yep well so are we goanna deal with that same judge when I go to uh am I goanna deal with that same judge if I go to post conviction relief.

**Robinson:** Post conviction relief. Probably - you have too.

**Haeg:** Ok well we'll just see - we'll just see how big of hole she's willing to dig.

**Robinson:** Even she lied about what she said at arraignment.

**Haeg:** So how does - how's this how's this trial goanna look. This whole prosecution and trial from day one I'll be able to just go wham wham. First my attorney feeds me to the wolves, and the prosecutor feeds me to the wolves, the judge lies, the Troopers lie. I'm goanna be interested to see how all this plays out Mr. Robinson. Because you know what I'm goanna play everyone of them cards and they're all goanna be labeled one two three four.

**Robinson:** Right absolutely.

**Haeg:** And then when I start appealing and when I get to the United States Supreme Court and I have all this case history as to Ineffective Assistance of Counsel. You know we have yet to find one as egregious as what happened to me? You know I have looked through...

**Robinson:** You're the worse case scenario?

**Haeg:** I'm the worst case that I have found in probably 400.

**Robinson:** I don't understand why Brent didn't say on November the 9<sup>th</sup>...

**Haeg:** 400.

Robinson: ... "we had a deal judge"? At least you could've had a hearing as to whether you had the deal or not.

Haeg: I mean he wrote me letters that we have this big deal and then he doesn't raise his hand. He's freaking screwed.

**Robinson:** He should've (*indecipherable*)...

**Haeg:** He is screwed.

Robinson: I would've - I would've said, "wait a minute we had an agreement here and this is what we're goanna do".

Haeg: But what I'm saying Mr. Robinson is if you start from day one and I show this whole thing and they say that you're suppose to look at a trial and a Semblance of Justice is suppose to permeate through the whole trial...

**Robinson:** Right.

Haeg: I can show from goddamn day one to day Z that I didn't get justice anywhere nowhere.

Haeg: ... of that - and then you know what's even worse is at the time I wanted to

stand up and say, "I had a deal and Brent Cole sold me out and all this

shit" and I was so freaking down and out I couldn't even speak. I put that

on record. "I'm so wore out I don't even know what the f--- happened to

me here".

**Robinson:** We were all worn out that night.

**Haeg:** Well that should be illegal.

**Robinson:** We didn't get out of there...

**Haeg:** Is that legal for them to run a sentencing like that? I think that deprives me of my

Constitutional Rights. I was up for 30 hours straight there - 30 hours straight and you know the

stress I was under?

**Robinson:** Well normally...

Haeg: You can't - you can't have your defendant there you know what time we got up in

the morning to get there? We got up at 3 in the morning, and we drove to Anchorage, and we

flew out there, and it started at 11:00 am and the goddamn moose thing went till 7:00 pm and

then by the time I got my freaking word in I was gone I was sleeping.

**Robinson:** I think it went till 8:30

**Haeg:** So no matter what she says she deprived me my rights. I should've been fresh and

ready to roll and I was denied that, maliciously. Because you said it should've never been in there

and she overruled you.

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Robinson: Right.

**Haeg:** I had a freaking attorney Mr. Robinson that sold me out. He told me to do all this.

When I pay somebody \$13000.00 dollars for their advice and when I'm paying you Mr.

Robinson you know what I'm paying you for?

**Robinson:** My advice.

Haeg: But when I'm paying you - you know what my moneys going for? One thing and

one - it aint paying for a goddamn hundred thousand dollar airplane to fly around. It's paying for

words to come out of your mouth that I can use.

Robinson: Right.

**Haeg:** I could prove that son of a bitch now lied to me over a multitude and multitude of

things that guys going down. He is - Brent Cole's goanna hit the table so f---ing hard he is

goanna flatten out and spread out and ooze off the edges Mr. Robinson.

**Robinson:** Well like I told you about your hunting when you wanted to go bear hunting

in the spring.

**Haeg:** We already cancelled people. The damage was already done. Most people book

hunts 4 years in advance Mr. Robinson.

**Robinson:** No I'm just telling you...

**Haeg:** I know.

**Robinson:** ...that my position was that you still had your license you should go hunting.

**Haeg:** It's hard to switch gears.

**Robinson:** I just could never understand why he told you not to that was my point.

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Haeg: I just wasted a whole bunch of money that I could spend on attorneys to give me advice is what I did. I just slit my own throat at my own attorneys freaking recommendation that's what happened. And he probably - as far as I see I think they're probably, "F---ing a we got Mr. Haeg really screwed. Hey Leaders we got Mr. Haeg really screwed now we made him give up a year he's gotta be getting broke we can really screw him over now he's got no money to hire

MR. **ROBINSON:** What - how he could then say that you had agreed to bring the moose thing in is ridiculous. I don't understand why you would have ever agreed to that.

**Haeg:** Well it's goanna be so ridiculous I hope to see that man behind bars. That's how ridiculous I think its goanna be.

**Robinson:** I don't understand how you could have ever agreed to that?

**Haeg:** Well we'll see how agreeable he thinks I was when he's looking out between the iron posts that are two inches thick welded top and bottom. We'll see how he thinks about that.

**Robinson:** Don't get too excited about that, David.

nobody now. We broke the deal now we want his plane.

MRS. HAEG: just calm down.

**Robinson:** He's still part of the "old boy system".

**Haeg:** Well the "old boy system" has got me looking at the "old boy system".

**Robinson:** The old boy system - they take care of their own.

#### Phone Call with David Haeg, Chuck Robinson, & Bonnie Burger Dated 2/1/06 (Transcribed Tapes)

**Robinson:** I've got Bonnie here with me. Anyway I got a call from Phil Weidner –uh- last week and he told me you were seeking to file an Ineffective Assistance claim against <u>me</u>. If that's the case we have a conflict of interest, David.

Haeg: Really?

**Robinson:** Yeah we do.

**Haeg:** Why's that?

**Robinson:** (*laughs*) Because I can't represent you if you're goanna be filing a claim against me for Ineffective Assistance of Counsel.

**Haeg:** Well I was just going to I felt like another party to see if that was an option and I don't – you know I never hired Phil Weidner.

**Robinson:** I understand you didn't hire him but if you – but if that's your position we have a conflict of interest.

**Haeg:** Well am I allowed to explore the options that are available to me as a defendant?

**Robinson:** Yeah you can explore whatever options you want to but if one of your options is to Sue me for Ineffective Assistance of Counsel.

**Haeg:** Well I didn't think that was <u>suing</u> you I thought that was a remedy to get me out of my trouble. I never said anything about <u>suing</u> you.

**Robinson:** Well you'd have to bring a claim of Ineffective Assistance of Counsel.

**Haeg:** Well I thought there was a possibility of an Ineffective Assistance of Counsel against Brent Cole and you told me you had no obligation to fix what he did. And I think that in an Ineffective Assistance of Counsel is how one attorney <u>fixes</u> the problems created by another attorney. Is that correct?

**Robinson:** That's what I'm asking you David. What specific problem, with Brent, was I suppose to fix?

**Haeg:** Him essentially selling me out to the - the State and then lying about it like I've showed you and told you over and over again. I guess...

**Robinson:** Well I - I - you've told me that he's lied to...

**Haeg:** You've never asked for proof. I mean I could have showed you proof but if I tell you and alls you'd have to say Chuck is "show me the proof" and I would've laid it right out in front of you but you never even asked about that.

**Robinson:** But as I...

**Haeg:** So now it feels to me like this "good ole boys club" that you talked to me about the - the cops, and the prosecutors, and the judges I feel like there's a "good ole boys club" with the lawyers – that's what I feel. I feel like a hunted animal Chuck and you know what I'm the youngest master guide in the State of Alaska and I know what it is to be hunted.

**Robinson:** I don't know because I don't know what the claim would be. All I'm telling you is that if you have in your mind a suit against me for an Ineffective Assistance of Counsel we have a conflict.

**Haeg:** What I'm saying - I guess what I'm saying is I think that you know and I'm not a lawyer - you know - I just read like a maniac - you know - I'm catching up to you lawyers fast is that I think that there was the possibility of going back and showing ineffectiveness of Brent. I mean I don't see how you can dance around - like you say I'm dancing around whatever - well I'm learning from you guys – dance around the fact that if your attorney's lying to you he could be anything but ineffective and if Brent was ineffective it would've rolled back the clock to before I ever hired him. That's – that's what I feel and that's just me reading the books. That's not me having – you know - experience in it that's me just reading the bare law and what happens to my brain when I read the US Supreme Courts definition of Ineffective Assistance of Counsel -Jackie did find one case where an attorney was proven to lie to his client his case was overturned. I can prove that my first attorney was lying to me, I feel my case should be overturned because he put me in such a bad position that there's no matter how good of an attorney you were Chuck I was still goanna sink and I think that you – you know I think that you should've used that avenue to help me get out but I have my own personal feelings that you know the "old boys club" you talked about with the Troopers, and the Judges, and the DA – I think there's a "old boys club" in the lawyers club. Is there an "old boys club" in the lawyers, Chuck?

**Robinson:** No not that I'm a part of. But...

**Haeg:** So you would not help – you would not pull a few strings to help Brent Cole from being...

**Robinson:** No I wouldn't - as a matter of fact I'm the one that told you the things that I thought he did wrong.

**Haeg:** Well why Chuck then did I pay you for a subpoena for Brent Cole and Brent Cole never showed up? Now that's one that I can't get over.

**Robinson:** Because Brent Cole's testimony was not relevant to the question...

**Haeg:** I demanded him testify, Chuck, and everybody heard it and Brent Cole never showed up and we got on your billing records that he called you right after he got Jackie's ticket that she bought for him so I could look him in the eye. He called you and then he never showed up Chuck!

**Robinson:** Well you knew he wasn't goanna show up David that was no surprise when we went to McGrath.

**Haeg:** I bought him a ticket, Chuck.

Robinson: You bought him a ticket I know.

**Haeg:** And I never talked to him after that and I said I wanted to look him in the eye.

**Robinson:** (indiscernible) a witness fee to but before stepped our foot in that courtroom in McGrath you knew he wasn't coming to testify.

**Haeg:** I don't remember that Chuck. Tell me why I knew that?

**Robinson:** Because – because we talked about it and I told you there was no need to call him because what he had to say is not relevant to your guilt!

**Haeg:** It would have been <u>relevant</u> to my <u>sentence</u> and you know it.

**Robinson:** Why would it have been relevant to your sentence David?

**Haeg:** Because we had a <u>deal</u> that I'd given up a year of my freaking guide license for a bunch of other shit and I wanted that Judge to know that I in good faith just like she told Tony Zellers "you going in and given statements and everything is rehabilitation" and none of that ever came out that I went in and gave them a five hour interview and I wanted that man to be asked that and I wanted him to be asked why he never

stood up for my deal and I wanted that judge to know that I'd been sold down the river. And it never happened and I paid for it.

**Robinson:** Well David I think that you obviously think that I was ineffective so we have a conflict of interest so I am goanna have to withdraw from your case and your appeal date has now been moved – the brief on your appeal date has now been set back to February the 17<sup>th</sup>. It's over 2 weeks from now.

**Robinson:** Well all I'm saying is that David I hope that you don't believe that I have been against you. I have never been against you.

**Haeg:** Well I do believe that you were correct when you told me about the "good ole boys club" and I believe in my heart that there's a "good ole boys club" in the lawyers department too. And you tell me that there isn't – you've told me that there isn't.

**Robinson:** Right as far as I'm concerned I don't belong to that club and I've never been against you but...

**Haeg:** So is there a club like that though out there?

**Robinson:** Well you apparently said there was a club between Brent and – and – and – and Scot Leaders that Brent probably thought – told you something that indicated that at least he was inside some kind of club with Scot.

**Haeg:** Well I don't know – alls I know is Brent told me "when Leaders screwed you he really screwed me" and I don't know if that was in a club or whether they – whether Brent just trusted Leaders to just do the right thing and then when Leaders just took – didn't do the right thing and started just ripping me apart old Brent he should've just stood up to the plate and took the bullet and he freaking ran. He left me there, naked, that's what Brent Cole did and you know it and I know it. I'm a smart man, Chuck. That's like me, as a big game guide, when you wound a brown bear, Chuck, it's my turn to step up to the plate and take that bear out. It aint my place to run and you know what, Chuck Robinson, I don't run, I stand up to the plate and I f---ing take bear out or I die trying and that f---ing Brent Cole he didn't step up to the plate, he f---ing ran and he left me there defenseless and I know it and you know it!

**Robinson:** Well I tried not to leave you defenseless, David.

**Haeg:** You knew Brent Cole left me defenseless and you were just goanna let him alone and that's my honest feeling. You were goanna just do the best you could because you're such a great lawyer and you are a great lawyer, Chuck, but I have my doubts to your integrity at times because we should have went right after Chuck – or right after Brent we should have said that this man abandoned his client he abandoned his client when his client needed him most and

that's what Brent Cole did and you know it. You tell me if he didn't. You say yes or no if Brent Cole abandoned me.

**Robinson:** I thought you abandoned Brent. I thought you were the one that...

**Haeg:** Oh yeah when that deal came up and he didn't make a squeak that's when the bear was charging, Chuck, and you know it. That bear was charging when they charged them harsher charges and I had that deal – Brent Cole ran and he never put up his hand he abandoned me there. After that when I abandoned Brent Cole that's like – that's like you telling me, Chuck, after I let you get mauled by that bear and you paid me twenty grand to freaking stand there and protect you "oh – oh I abandoned my guide" well Jesus Christ Chuck you gotta abandoned a guide that won't stand up and do his job.

**Robinson:** Can I ask you one question?

Haeg: Yes.

**Robinson:** In our relationship whenever I have mentioned a tactic to you and we have disagreed I've considered your tactic and usually followed it, right? Am I right?

Haeg: No.

**Robinson:** (*Chuck laughs*) All right. Well the question is this if you didn't think that Brent was doing the right thing at that time of that arraignment did you say "Brent stand up and tell them about the deal"?

**Haeg:** I was scared shitless but you know what I have eight witnesses, five minutes after the phone was hung up, and I said "Brent Cole I wanted to stand up and say something so bad". You know what he told me?

**Robinson:** Well not that you wanted to stand up and say something that you told Brent Cole...

**Haeg:** What the – what the hell difference is it Chuck?

**Robinson:** I'm just...

**Haeg:** If I feel like have to do my own lawyering what the f--- am I paying him for? And when I asked him if I could've stand up and he told me he says, "well that judge would have told you anything you say can and will be used against you", in other words Brent's threatening me not to do it, and then he says "that judge would have not done anything" she would have not done a single thing and I have witnesses upon witnesses to testify to that. In other words Brent Cole said Dave when you got f---ed over --

**Robinson:** Wait a minute.

**Haeg:** by me and Leaders the judge didn't care, the judge is in on it to, were just out to screw you it doesn't matter that the rules say that different.

**Robinson:** I'm just asking you whether or not you in fact, before Brent told you about what the judge wouldn't do or would do, you asked him to tell the judge about the deal?

**Haeg:** I told him over and over and over again and he told me the <u>only</u> possible thing he could do was to call Leaders boss, some woman. I called Brent probably 25 times after that asking if he had contacted her. I actually asked Brent for <u>her</u> phone number so I could contact her. And you now what Brent told me? "Oh it wouldn't be appropriate for you to contact <u>her</u>". I mean goddamn Chuck I was doing everything I could to

**Robinson:** So you did tell Brent, at court when - when Scot came in with the new charges that you thought were against the deal, that you said "Tell Magistrate Murphy about our deal"?

**Haeg:** I don't remember if it was in court Chuck because my brain was numb with fear but immediately after and Kevin Fitzgerald was there, Tony was there, everybody was there I says "Brent, why didn't you say that we had a deal?" and he's like "well – they – you know I don't know - I just – blah uh – the only – she wouldn't have done anything" and I'm like "well I wanted to jump up and say we had a deal" and he's just "blah – blah – blah" you know god he's a spineless freaking worm. You know gee-whiz I'd like to see Brent Cole when a 10' brown bear charges out of the brush at 5' away, teeth and claws snapping at him. He'd be a good person there because that's what that was. I didn't real – I understood it Chuck because at that moment I had more fear in me then when a 10' bear comes after me.

Robinson: Ok.

**Haeg:** I was incapable of movement but that's why when you hire me Chuck to take you brown bear hunting you will be incapable of thought or movement when that bear jumps out of the brush. But you know what I'm trained in that situation and I take the bear out, I do the job. That's what you hire me for isn't it? When you – when you hire me for a brown bear hunt you hire me to protect you right?

**Robinson:** I imagine I would want you to protect me, if you could.

**Haeg:** Why do I hire an attorney?

**Robinson:** You hire an attorney to...

**Haeg:** To protect my interest - to protect me when he can.

**Robinson:** That's right – when he can, exactly.

**Haeg:** Well Brent Cole could have right there and he ran and I don't care what you say. You can have your head in the sand but

**Robinson:** I'm not saying anything. I'm just saying that when you extrapolate from Brent Cole and make a claim in your mind against <u>me</u> then we've got a problem.

**Haeg:** Yep but you know I just – I don't know – you know I've looked at what your appeal is I think they're goanna say that it's harmless error because I've looked at it so long. You know you're relying on law that's Salter's what 1909 1906? You know some of the other cases that you have to back it up I don't even think really backs up our position –um- what is it Gerstein and Pugh and those other ones. I look at it - I think that's so thin a defense, Chuck and then when I look at Ineffective Assistance of Counsel that's guaranteed by the US Constitution and the State Constitution - I look at that and that's a big freaking brick wall if we can get behind it. That aint just a you know a – you know when the magistrate overturned or you know overruled your motion to dismiss you know I was like well I don't know how she can do this so I'll try to find out if there's anything on her side and there is. I showed up in court – I showed up in Court there with Brent of my own free will and most of the time they say that – that suffices for establishing the courts jurisdiction over you. I mean right there we lost our argument.

**Robinson:** As far as personal jurisdiction is concerned but it still doesn't – the question is subject matter jurisdiction, which I keep <u>telling</u> you about.

**Haeg:** I know and I've look all through that and I don't know – you know there's what is there three types of jurisdiction there's personal, there's area jurisdiction, and subject matter. Well area jurisdiction I am in - I'm in the State of Alaska and the State of Alaska is around me so...

**Robinson:** The question is subject matter jurisdiction. Are there facts that give the court subject matter jurisdiction and without them being sworn to - our position is no.

Haeg: Well I don't know. You know I don't know if there's and Ineffective Assistance of Counsel against Brent because first I'd have to prove that and if there was and you didn't find it and do anything yeah then there is but if there isn't against Brent then there probably aint against you. But I don't know how you can have a goddamn attorney lying to you and expect that you're getting effective assistance of counsel. Maybe – maybe Chuck my problem is that I grew up out in the Bush and I read the goddamn words and what the words say I believe. I don't believe what your interpretation or Brent's interpretation or Magistrate Murphy's or whatever. When I read what the US Supreme Court put down on paper and have you – did you ever read Thurgood Marshall's dissenting opinion on that? Now you read that it is so blazing clear to me that if your attorneys lying to you you're getting f---ing hosed against your Constitutional Rights that it's not even funny. But everybody dances around it blah – blah – blah – well - like you "well just because he's lying to you doesn't mean he wasn't doing you a good job." Well goddamn that's like me saying "well just because the bear was charging and I ran the other way doesn't mean I

was a bad guide". Well what the hell does it mean Chuck? I'm a good guide? Yeah I'm a good guide for me. I think it's a bad guide for the poor son of bitch that's left there by himself shaking with fear when the bear mauls his ass and eats him. Do you know what Chuck Robinson I've been mauled by a bear. It's not a fun thing.

**Robinson:** I've been in an airplane crash it's not a pretty thing to happen either. I understand those things David.

**Haeg:** Well if you're in a plane and your pilot jumps out with a parachute when the engine quits how highly are you goanna think of that pilot when you don't have a parachute?

**Robinson:** Well not very highly at all.

**Haeg:** And you don't have an opinion that when I can prove my first lawyer was lying to me and never stood up for deals he said I had that he's ineffective? You're saying that you're just remaining mum on that subject, is that correct?

**Robinson:** No I'm not saying I'm remaining mum on that subject I'm saying I don't have an opinion as to whether or not he's the pilot that jumped out of the plane and left you without a parachute.

**Haeg:** Do you think he did wrong?

**Robinson:** In what regard, David?

**Haeg:** By lying to me.

**Robinson:** No lawyer should lie to another – to their client.

**Haeg:** So you said Brent Cole did wrong, by me?

**Robinson:** No lawyer should lie to their client.

Haeg: Chuck

**Robinson:** Bottom line.

**Haeg:** Chuck don't do the lawyer shit to me Chuck.

**Robinson:** Oh he may have lied to you about certain things that aren't important but he should never lie to you about something that's important.

**Haeg:** What about lying to me about whether I had a deal or not? Is that important to me and my family, Chuck?

PHONE CALL – 2/06/06 (Excerpt)
Attorney Arthur "Chuck" Robinson & David Haeg

HAEG: -Um- yeah. I -uh- had a couple questions for you. One is -um- the -um- oh the cases that supported your points of appeal... Do you... I think there was like 3 or 4 of them. Can you tell me which ones they were because they're... All my stuff still up at Phil Weidner's office and he's... I kind of got a little miffed at him because you know it's almost been almost a month since I went and seen him and all that... So he's not going to represent me but I'm still trying to work and I don't have some of my stuff – so wasn't it like Salter and stuff and then weren't there Gernstein and Pugh and there was one other one that I can't remember right off the top of my head for your basis for appeal.

ROBINSON: I'd have to... I'm goanna have to go back and look through my stuff and find out exactly which cases you're talking about on the failure to have a – have a – have the information under oath in a misdemeanor case.

HAEG: Ok well I know there was – there was like ex parte Flowers and I know there was Salter but then there was Gernstein and Pugh and there was one other one. -Um- and I... Like I said I don't have that and I've been looking -um- actually wasn't it like Albretch – or bret – Albright or...

ROBINSON: Albright.

HAEG: Ok. Well I... Like I said I don't have any of my stuff and I'm just – we're kind of grinding along here. Also -um- you know I talked to or I've been trying to find somebody - um- you know I've got a couple irons in the fire but most everybody said it's almost impossible to do an appeal without the court record. And if that's the case how – how can you be doing it without the court record?

ROBINSON: What do you mean an appeal without the court record?

HAEG: Well without the – the tapes of the actual trial or...

ROBINSON: The only – but - but the question is the error...

HAEG: ... or the transcript.

ROBINSON: Well I don't know who you're getting your advice from but the entire court record when it... But first of all when it – when – when you're dealing with a district court case to the Court of Appeals they get the whole record. Now they won't get transcripts but they'll get the tapes. The Appeal Court will. But with regards to the points on appeal though the points you want to make on appeal you just have – you refer them to the *parts* of the record that are important for your appeal points. And so the parts of the record for the appeal points really are just the motion practice on the questions about the legality of the prosecution to begin with, then there was that tape we had while we were in McGrath about the 2<sup>nd</sup> amended complaint, - um- the arraignment. But I can't think of any other *part* of the record that necessarily addresses any of the points on appeal.

HAEG: Ok so I get... And I thought that maybe the case. That you – you kind of... you have the records that you think are important and everything else that happened that you don't have records you don't thinks important. Is that – I guess is that fair?

ROBINSON: Don't go to your point on – points on appeal.

HAEG: Ok because you – you don't remember anything happening other then what you now have on record that would have been worth appealing?

ROBINSON: Right.

May 20, 2005

Robinson & Associates Attn.: Chuck 35401 Kenai Spur Hwy. Soldotna, AK 99669

RE: Written Letter to Magistrate

Dear Chuck,

I would like this written to Magistrate Murphy:

After I explained Mr. Leaders motion to Mr. Haeg he requested that Mr. Leaders current motion and your coming decision be put in writing.

Mr. Haeg cannot understand how Mr. Leaders can <u>now</u> claim that the issue of whether he was governed by predator control regulations or hunting regulations is now a <u>legal</u> issue rater than a factual issue when in response to my motion for dismissal Mr. Leaders stated "the mere fact that the defendant could have been charged under AS 16.05.783 does not preclude the State from proceeding under AS 8.54.720. The issue of whether the defendant killed the wolves in question under the authority of the predator control permit is a <u>factual</u> issue to be left up to the trier of <u>fact</u> and is not a basis for pretrial disposition of this case."

Mr. Haeg cannot understand how you can strike down his motion on the basis it is a factual issue for the jury to decide and then even consider granting Mr. Leaders motion on the basis it is now a <u>legal</u> issue <u>not</u> for the jury to decide.

Mr. Haeg again requests Mr. Leaders current motion and your response to be in writing.

Thank you Chuck, if you have any questions please give me a call.

Sincerely,

Dave Haeg P.O. Box 123 Soldotna, AK 99669 262-9249

# Robinson Sentencing Questions for Tom Stepnosky

Did Mr. Haeg ask Mr. Cole what could be done about Mr. Leaders breaking of the rule 11 agreement by filing harsher charges?

What was Mr. Cole's response to Mr. Haeg's question?

Do you remember if Mr. Haeg on multiple occasions asked Mr. Cole if he had been able to lodge a complaint with Mr. Leaders boss?

What was Mr. Cole's response?

Did you drive to Anchorage the afternoon of November 8, 2004 to meet with Mr. Haeg's attorney Brent Cole and with the intention of flying to McGrath at 8:00 am November 9<sup>th</sup> to execute the Rule 11 agreement between Mr. Haeg and Mr. Leaders?

Why were you flying to McGrath?

Did you fly to McGrath the morning of November 9<sup>th</sup>?

Why not?

## Robinson Sentencing Questions for Tony Zellers

Did you fly from Illinois to Anchorage the afternoon of November 8, 2004 to meet with Mr. Haeg's attorney Brent Cole and with the intention of flying to McGrath at 8:00 am November 9<sup>th</sup> to execute the Rule 11 agreement between Mr. Haeg and Mr. Leaders?

Why were you flying to McGrath?

Did you fly to McGrath the morning of November 9<sup>th</sup>?

Why not?

## Robinson Sentencing Questions for Drew H.

Did you fly from Silver Salmon and drive to Anchorage the afternoon of November 8, 2004 to meet with Mr. Haeg's attorney Brent Cole and with the intention of flying to McGrath at 8:00 am November 9<sup>th</sup> to execute the Rule 11 agreement between Mr. Haeg and Mr. Leaders?

Why were you flying to McGrath?

Did you fly to McGrath the morning of November 9<sup>th</sup>?

Why not?

# Robinson Sentencing Questions for Jake Jedlicki

Did you drive to Anchorage the afternoon of November 8, 2004 to meet with Mr. Haeg's attorney Brent Cole and with the intention of flying to McGrath at 8:00 am November 9<sup>th</sup> to execute the Rule 11 agreement between Mr. Haeg and Mr. Leaders?

Why were you flying to McGrath?

Did you fly to McGrath the morning of November 9<sup>th</sup>?

Why not?

## Points to make to the Judge

(For Robinson at sentencing)

- 1. With Mr. Leaders history of failing to positively swear or attach affidavits to 3 different informations, violating Rule 11 agreements, violating Alaska Rules of Criminal Procedure Rule #7 prohibiting the changing of charges when amending an information, lying about who broke the Rule 11 agreement, lying about the State ever informing anyone of an intent to file charges in connection with Doug Jayo's moose hunt, and lying about some deal we made to allow discussions of the moose hunt so that we wouldn't be charged. I understand all to clearly the likelihood of malicious prosecutions.
- 2. Can I sue Mr. Leaders for perjury, the loss of a whole guide season's income, all my lawyer bills, and all the pain, stress, and agony caused to my family and me when the maliciousness of his prosecution is proven? Or, since he never swore to the charges is he exempt? Is then the judge that allowed the prosecution to go forward liable? Who can be held responsible?
- 3. The State in breaking the Rule 11 agreement after obtaining so very much put me in an impossible position. They negated the tens of thousands of dollars I had already paid Brent Cole in negotiating the agreement, they negated the entire seasons hunts I had already cancelled, negated all the thousands spent to get people to McGrath on November 9 to conclude the Rule 11 agreement, and apparently they get to preserve my agreement to discuss Mr. Jayo's moose hunt, and utilize my map and interview at trial.
- 4. How could I ever make another Rule 11 agreement with Mr. Leaders that required me to give up my PA-12 airplane when I knew he could change the deal and yet keep my airplane?

- 5. As soon as Mr. Leaders successfully broke the Rule 11 agreement he knew he held all the cards he had all the information to convict me, had cost me most of the money I had for a legal battle, and could continue to see if I would foolishly give anymore of what I had left in non-binding Rule 11 agreements to avoid trial.
- 6. I cannot believe this is a legal way to conduct a prosecution. The unfairness of it is unbelievable. The only recourse left was to fire my attorney that placed his future dealings with the prosecutor ahead of my interests, hire a new attorney, and go to trial to secure my right to file an appeal to right the injustice done to me.
- 7. Please look at the price I have already paid so far for my actions loss of the income from the airplane I use for all flight seeing, banner towing, and fishing trips for 2 seasons, loss of a whole seasons guide income for both my wife and I while still having to pay State lease fees of \$8000.00, \$40,000.00 in lawyer fees, \$6000.00 in preparing to get to McGrath on November 9, 2004, reputation as a guide and human being torn to shreds by the media, and terrorizing my family life for nearly 2 years. This loss of close to \$200,000.00 has just about bankrupted us.
- 8. Now you get to finally proscribe the punishment I officially am sentenced to. Do you get to consider what we have been through and gave up for the Rule 11 agreement the State broke? I sure hope so. Does the maliciousness of Mr. Leaders matter? I sure hope so. You only have to look at your records to know he was the one who maliciously broke the Rule 11 agreement. Ell me any other reason he would fax you, Mr. Cole, and Mr. Fitzgerald an amended information mere hours before I was supposed to appear before you to settle everything.
- 9. There is absolutely no doubt that after we gave Mr. Leaders everything he decided to increase the punishment I was to receive. Are you going to let him go unpunished or even reward him for this?
- 10. I want you to realize I surrendered my entire defense to Mr. Leaders and knelt before him in submission and he then kicked me in the teeth with a steel-toed boot. You must understand I am fighting for the only way I have to put food in my kids' mouths and that I will fight to the death.

## Notes for Chuck dated October 15, 2005

Chuck,

Here is some more stuff about the appeal:

- 1. In chapter 8 of NC Defender Manual it states "The petition is the official pleading in a juvenile case, and "like an indictment or warrant in a criminal case, confers jurisdiction on the court".
- 2. Also, almost everywhere, it states "an indictment, fair upon its face, is sufficient to confer jurisdiction upon the court". How can an information, without any verification, written and not sworn to by the prosecutor, confer that same jurisdiction upon the court?

## 3. Justice Frankfurter's quote:

The reason for this separation of functions was expressed by Mr. Justice Frankfurter in a similar context:

- "A democratic society, in which respect for the dignity of all men is central, naturally guards against the misuse of the law enforcement process. Zeal in tracking down crime is not in itself an assurance of soberness of judgment. Disinterestedness in law enforcement does not alone prevent disregard of cherished liberties. Experience has therefore counseled that safeguards must be provided against the dangers of the overzealous as well as the despotic. The awful instruments of the criminal law cannot be entrusted to a single functionary. The complicated process of criminal justice is therefore divided into different parts, responsibility for which is separately vested in the various participants upon whom the criminal law relies for its vindication." McNabb v. United States, 318 U.S. 332, 343 (1943).
- 4. Ex parte Flowers #2. INDICTMENT AND INFORMATION Preliminary Proceedings Sufficiency of Affidavit. An information, based upon a sworn affidavit, or sworn testimony filed in the county court, charging the commission of a misdemeanor, is sufficient to give such court jurisdiction of the subject-matter of such charge.
- 5. I also think it significant that leave of court is <u>not</u> needed in Alaska for an information to be filed. This shifts even greater responsibility tot he prosecutor to insure the information is sufficiently verified that jurisdiction will attach.
- 6. Many states <u>require</u> leave of court <u>before</u> an information is filed and that the court must be satisfied there is probable cause before doing so. (see Albrecht v. United States) <a href="http://caselaw.lp.findlaw.com/scripts/getcase.pl?court=US&vol=273&invol=1">http://caselaw.lp.findlaw.com/scripts/getcase.pl?court=US&vol=273&invol=1</a>
- 7. Did Leaders file the information under his "official oath" which in some instances is sufficient to confer jurisdiction? If he didn't we need to point this out!
- 8. Also should we point out again the information uses much of my statement made in plea negotiations?

9. Should we also point out Leaders states he "has provided a sworn factual basis for the charges in the Second Amended Information" yet in fact he failed to do so? This reinforces the idea the information was not made on his "official oath".

# **Appeal Notes dated August 18, 2005**

Dear Chuck.

I very much would like to have this included in our appeal. I strongly feel we should start bringing out how poorly I've been treated by the State and I do feel that this is a legitimate basis for appeal. The one weak item I can see is that we never did assert our right or privilege to go to open sentencing before going to trial. Yet if you follow through with my line of reasoning we were indeed penalized for trying to assert our right or privilege. In a way I think it is much like how you can be penalized for actually committing a crime or attempting to commit a crime. I would almost like to include the hardships that have followed since Mr. Leaders changed charges: going to trial, being convicted of the harsher charges, wear and tear on me and my family, all the expenses, etc, etc... I don't know if this could possibly be included in your appeal but as I said I would like to see it added if you think it has any merit what so ever. I also understand that the annotations are not the actual part of the Constitution but they must have a valid standing in fact somewhere. I even think that it would be nice to include just how many of the States requirements that we fulfilled before the State changed the deal. Such as giving them a map pointing out the wolf kill sites, my & Tony's interview with the State, and canceling my first years hunts. Thanks again.

David

#### IN THE DISTRICT COURT FOR THE STATE OF ALASKA AT BETHEL

## SUBPOENA TO APPEAR/PRODUCE

TO: Brent Cole Other Info:

DOB: SSN:

Home Phone: Work Phone:

Home Address: Work Address: 745 W. 4th Ave.,

Suite 502 Anchorage, AK 99501

You are commanded to appear at the State Courthouse to testify in the case of:

Case Name: State v. David Haeg

Date: 9/1/05 Time:- Case No. 4MC-04-24CR

Court Address: McGrath

If you fail to appear and testify as ordered, a warrant may be issued for your arrest. This subpoena shall remain in effect from the date you are required to appear until you are granted leave to depart by the court or by an officer acting at the direction of the court.

You are ordered to bring with you:

You are entitled to witness fees and (if you live more than 30 miles from the court) travel and living expenses. You are not, however, entitled to advance payment of these fees if this subpoena is issued at the request of the state, city, borough, Public Defender Agency or other courtappointed counsel. Contact the attorney's office listed below to arrange for payment of fees. You must contact the attorney's office before you travel if you want to be paid travel expenses.

This subpoena does not require you to appear anywhere except the court at the above address. However, please call the attorney's office listed below on the afternoon of the working day before your scheduled appearance to find out whether you are still required to appear, the time to appear and other instructions. Failure to call the attorney's office may make you ineligible for payment of witness fees and travel and living expenses.

August 22, 2005 "s/"

Date Natalie Alexie, Clerk of Court

Arthur S. Robinson

Attorney for: David Haeg

Address: 35401 Spur Hwy. Soldotna, AK

Telephone: 262-9164

If you have any questions, please contact

the attorney listed above.

## **RETURN**

I served the above subpoena on the person to whom it is addressed, on \$\, ,20\$, in Alaska. I left a copy of the subpoena with the person named and also tendered mileage and witness fees for one day's court attendance, except as provided in Criminal Rule 17.

Type or Print Name

Signature Title

**RECEIVED** 

AUG 29 2005 Robinson & Associates Lawyers

CR-340 BETHEL (1/02) SUBPOENA TO APPEA	•		Crim. R.17 Admin. R.
COMPLETE THIS SECTA. Signature X - "s/"	ΓΙΟΝ ON DELIVER	Y (x) Agent () Addressee	
B. Received by (Printed Name) "s/"		C. Date of Delivery <u>8/25/05</u>	
D. Is delivery address different from 1? If YES, enter address below:		() Yes () No	
<ul><li>3. Service Type</li><li>(x) Certified Mail</li><li>( ) Registered</li><li>( ) Insured Mail</li></ul>	<ul><li>( ) Express Mail</li><li>(x) Return Receipt for Merchandise</li><li>( ) C.O.D.</li></ul>		
4. Restricted Delivery? (	Extra Fee)		
2. Article Number 7003 (Transfer from service l		<u>6</u>	

From: "Alaska/Horizon Airlines" Alaska.lT@AlaskaAir.com

To: <haeg@alaska.net>

Sent: Friday, January 27, 2006 12:15 PM

Subject: Alaska Airlines/Horizon Air Confirmation Letter for 9/29/05

For questions, changes or cancellations on an Alaska Airlines or Horizon Air purchased or Mileage Plan award ticket, please call 1 -800-ALASKAAIR (1 -800-252-7522) for Alaska Airlines, or 1-800-547-9308 for Horizon Air. (If calling from Mexico, precede these telephone numbers with 001 .) For questions, changes, or cancellations on an American Airlines, British Air, Continental Airlines, Delta Air Lines, Hawaiian Airlines or Northwest Airlines Partner Award ticket, please call the Partner Desk at 1-800-307-69 12.

Confirmation Code: ETDMSD

Name: Cole/BRENT

Ticket Number: 027-2 128444 143

Base Fare: 0.00 Tax: 0.00 Total: 0.00

Mileage Plan: None

## REMINDERS AND RESTRICTIONS

This electronic ticket is not transferable. If you choose to change your itinerary, any fare increases and a change fee will be collected at the time the change is made.

## PAYMENT INFORMATION

The amount of \$0.00 (USD) was charged to the Visa Card \* \*\*\*\* \* \* \* \* \* 1740 held by JACKIE Haeg on 9/28/2005, using electronic ticket number 027-2128444143. This document is your receipt.

#### **ITINERARY**

September 29 2005

PenAir 235

Depart: Anchorage, AK at 8: 15 AM Arrive: McGrath, AK at 9: 15 AM

September 30 2005

PenAir 236

Depart: McGrath, AK at 9:45 AM Arrive: Anchorage, AK at 10:45 AM

# Exhibit 16

# EXCERPT OF RECORD PROVING MURPHY & GIBBENS RODE AROUND TOGETHER DURING HAEG'S CASE

## [TR 1262]

MR. **ROBINSON:** Before we get going again I think we're going to need about a 10-minute break.

THE COURT: At least. I have to get to the store because I need some....

MR. **ROBINSON:** So why don't we take long enough to go to the store and....

THE COURT: Get some diet Coke. And I'm going to commandeer Trooper Gibbens and his vehicle to take me because I don't have any transportation.

MR. **ROBINSON:** All right.

THE COURT: All right, Trooper Gibbens?

TROOPER GIBBENS: Well, yeah.

## Exhibit 17

# <u>DEFENDANT'S REPLY TO OPPOSITION TO MOTION TO DISMISS INFORMATION</u> May 6, 2005

...There is another piece of evidence that needs to be addressed. At the time the first amended information was filed there was no plea between defendant and the state. As is revealed in Mr. Haeg's affidavit, there were plea negotiations that took place between the parties before the filing of the information but the parties failed to carry out any plea agreement. During the plea negotiations defendant made statements to the police that were recited by the prosecutor in his statement in support of the amended information.

The significance of this fact is that defendant's statements made during plea negotiations that do not end in a plea agreement are not usable in any judicial proceeding, including the filing of an information (See Evidence Rule 410). Yet the prosecutor used these statements in support of all three informations in violation of the evidence rule.

DATED at Soldotna, Alaska this 6 day of May 2005.

## **ROBINSON & ASSOCIATES**

By: "s/"

Arthur S. Robinson

ABA No. 7405026

Attorney for David HAEG:

## Certificate of Service

I HERBY CERTIFY that a copy of the forgoing was served on DA Scot Leaders by fax and courier on this 6<sup>th</sup> day of May, 2005.

By: "s/" Laura R. Haiman For: Arthur S. Robinson

May 6, 2005 - In the District Court for the State of Alaska at McGrath, State v. Haeg, Case No. 4MC-04-024 Cr.

# AFFIDAVIT OF DAVID HAEG

- 1. I am defendant in the above captioned case. I have personal knowledge of the matters stated in this affidavit.
- 2. From June 2004 to November 2004 I was engaged in plea negotiations with the State's prosecutor Mr. Leaders concerning the filing of state game charges against me.
- 3. The plea negotiations came to an end on November 8, 2004. The prosecutor, at the last minute, back out of an agreement I thought was reached. The negotiations ended without a PA between myself and the state. The prosecutor thereafter filed an amended information
- 4. I appeared in court on November 9, 2004, for arraignment on the amended information that charges me with numerous violations of state game laws. I pleaded not guilty to all of the charges. The court scheduled a jury trial for me to stand trial on the charges.
- 5. During the plea negotiations, I gave statements to the police regarding accusations of game violations that are in the statements in support of the three informations filed by the prosecutor in my case. These statements from the prosecutor are used to establish probable cause that I committed the crimes alleged in the informations. Without a plea agreement between me and the State these statements shouldn't be used to establish cause to believe I committed any of the crimes charged.

"s/"

David Haeg

SUBSCRIBED AND SWORN to before me this 6<sup>th</sup> day of May 2005.

"s/" Irene Robinson, Notary Public in and for Alaska

# Exhibit 18

# **Trooper Gibbens sworn testimony at trial**

# [TR 418-420]

PROSECUTOR LEADERS: These wolf kills that you investigated there, they were where?

TROOPER GIBBENS: 19-C and B.

PROSECUTOR LEADERS: 19-C and B?

TROOPER GIBBENS: Yes.

PROSECUTOR LEADERS: Okay. So some of it's in 19-C?

TROOPER GIBBENS: Yes.

# TROOPER GIBBENS ADMITTING, ONLY AFTER CONFRONTATION, TO THE STATE'S PERJURY AT

## [TR 478 & 479]

MR. ROBINSON: Now it's your testimony that all four of those kill sites part of which were

in 19-[C] and part of which was in 19-B?

TROOPER GIBBENS: No, sir. Actually I'll -- I'll correct that if you like.

MR. ROBINSON: Sure.

TROOPER GIBBENS: Those -- those four kill sites are in the corner of 19-D.

MR. ROBINSON: All right. So they're all within 19-D?

TROOPER GIBBENS: 19-D -- not 19-D east, 19-D.

MR. ROBINSON: I understand, but within 19-D?

TROOPER GIBBENS: Yes. sir.

MR. ROBINSON: Not 19-C, not 19-B, not 19-A, correct?

TROOPER GIBBENS: No, sir.

MR. ROBINSON: Correct?

TROOPER GIBBENS: Correct.

# Exhibit 19

# TOBY BOUDREAUX'S TESTIMONY PROVING PROSECUTION USE OF HAEG'S IMMUNIZED STATEMENT

TOBY BOUDREAU I found out at the board meeting in March in Fairbanks that David Haeg and Tony Lee had been sent letters or called up and -- and issued permits, or -- or given (indiscernible).

MR. ROBINSON Or given an application?

TOBY BOUDREAU No, that their applications were -- or had been granted, they were going to give out permits.

MR. ROBINSON Okay. So even though they didn't actually get the permit until March of 2004 you knew in December of 2003 that they were going to get a permit?

TOBY BOUDREAU No.

MR. ROBINSON When was this meeting -- the board meeting?

TOBY BOUDREAU The board meeting was in March.

MR. ROBINSON Oh, I'm sorry.

TOBY BOUDREAU The board meeting was in March and I found out from my supervisor's supervisor that he had gone ahead and issued permits to Mr. Haeg and Anthony Lee.

# Exhibit 20

# <u>56 QUESTIONS FOR BRENT COLE TO BE ASKED AT SENTENCING</u>

- 1. Did David Haeg hire you in April 2004 to represent him against the State of Alaska?
- 2. Did you advise him to cooperate with the States investigation so as to obtain a satisfactory plea bargain that Mr. Haeg could live with?
- 3. Did this advice include giving the State a very detailed map of all locations, dates, and times including the over half the State had no knowledge of?
- 4. Did you also advise Mr. Haeg to give the State an interview in which you urged him to give a very detailed description of his activities? Also including the over half the State had no knowledge of?
- 5. Did this interview take place in your office with Mr. Haeg, Stepnosky: , Mr. Gibbens, Mr. Leaders and yourself present?
  - 6. How long did this statement take?
  - 7. Was Mr. Haeg's statement made before Tony Zellers made any such statement?
- 8. Did you advise Mr. Haeg to cancel all magazine advertisements in anticipation of the plea agreement?
- 9. Did you advise Mr. Haeg to cancel all hunts after June 1, 2004 in anticipation of the plea agreement?
- 10. After Mr. Haeg's map and interview was given to the State was this information leaked to the press in violation of the rules governing plea negotiations?
- 11. On or about November 1, 2004 did plea negations end with a Rule 11 agreement between Mr. Leaders and Mr. Haeg in which Mr. Haeg agreed to plead to AS8.54.720 (a)(8)(A) main charges and other lesser charges, with opening sentencing with the agreement Mr. Haeg would discuss Doug Jayo's moose hunt and that he would loose his guide license for 1 to 3 years to be decided by Magistrate Murphy in McGrath on November 8, 2004?
- 12. Did Mr. Haeg in the week between the making of the Rule 11 agreement and the breaking of it ask 3 times whether or not it could be broken?
  - 13. Did you tell Mr. Haeg each time "No, it is a binding agreement"?

- 14. Did Mr. Leaders then break this Rule 11 agreement about a week later when he faxed you, Kevin Fitzgerald, and Magistrate Murphy an amended information at 1:00 pm on November 8, 2004 which changed AS8.54.720(a)(8)(A) charges to AS8.54.720(a)15(A) charges?
  - 15. Did these new charges carry a much more severe penalty?
- 16. Do you think these new charges were filed to penalize Mr. Haeg for exercising his right or privilege to be open-sentenced by Magistrate Murphy?
- 17. Do you think these new charges were filed to penalize Mr. Haeg for exercising his right or privilege to be allowed to complete an agreed to Rule 11 agreement?
- 18. Did the breaking of the Rule 11 agreement by Mr. Leaders happen only 5 business hours before yourself, Mr. Haeg, Mrs. Haeg, Tom Stepnosky, Tony Zellers, Kayla Haeg, Cassie Haeg, Drew Hilterbrand and Jake Jedlicki were committed to fly to McGrath to execute it?
- 19. Did you know Mr. Haeg was flying Mr. Zellers in from Illinois, Drew Hilterbrand from Silver Salmon Creek, taking Mr. Jedlicki from work, Kayla Haeg from school and costing Mr. Haeg nearly \$6000.00 in airfare, hotel, and driving expenses to comply with the Rule 11 agreement?
- 20. Did you inform everyone in the Haeg party when they arrived at your office at 4:00 pm November 8, 2004 that you had just hours before received a fax from Mr. Leaders which contained "bad news"? Did you inform all of them that the bad news was that the charges Mr. Haeg was to plead to in McGrath the next morning had been changed too much harsher ones?
- 21. Did Mr. Haeg ask you how could this be after your assurances in the days before this could not happen?
- 22. Did you tell Mr. Haeg, Mrs. Haeg, Tom Stepnosky, Tony Zellers, Drew Hilterbrand, Jake Jedlicki, Kayla Haeg, and Cassie Haeg that because of the new charges they shouldn't go to McGrath for the completion of the Rule 11 agreement on November 9, 2004?
- 23. Did Mr. Haeg ask you if there was a way to force Mr. Leaders to honor the agreement?
- 24. Did you tell Mr. Haeg the only thing you could do would be to file a complaint with Mr. Leaders boss a woman you had formerly worked with?
  - 25. Did you ever file this complaint?
  - 26. What is the lady's name?
  - 27. Did Mr. Haeg repeatedly ask you if you had filed the complaint?

- 28. What was your response?
- 29. Do you remember saying, "I left her a message and she hasn't got back to me"?
- 30. Why did you fail to enforce Mr. Haeg's right to have the State honor the Rule 11 agreement?
- 31. Did you tell Mr. Haeg "I can't piss Leaders off because after your case is done I still have to make deals with him"?
- 32. In the weeks after Mr. Leaders broke the rule 11 agreement did you make this same statement 2 more times?
- 33. Why did you never tell Mr. Haeg the agreement he had with Mr. Leaders was a binding one called a Rule 11 agreement?
- 34. Are you sure it wasn't because you didn't want to fight for Mr. Haeg's rights against Mr. Leaders?
- 35. Wouldn't you agree the \$200 per hour Mr. Haeg was paying you included defending Mr. Haeg's rights?
  - 36. After you failed to defend Mr. Haeg are you surprised that he fired you?
- 37. Why would you advise anyone to accept a Rule 11 agreement with the State if the State can change the conditions of the deal and then force the defendant to accept it? And if they don't go through with the change of plea with the new conditions set by the State the State gets to claim the defendant broke the deal and still make the defendant pay the price demanded by the State while the State then honors nothing, nothing on their part?
- 38. When Mr. Haeg asked you if he could complain to Magistrate Murphy about Mr. Leaders actions did you reply, "She will tell you anything you say can and will be used against you in a court of law"?
- 39. Was this to discourage Mr. Haeg from complaining of Mr. Leaders breaking of the Rule 11 agreement?
- 40. Would you agree that after you agreed to represent Mr. Haeg for \$200 per hour this included defending Mr. Haeg's rights to conclude the Rule 11 agreement you negotiated?
- 41. Do you think it just that Mr. Haeg is now being forced to comply with the parts of the Rule 11 agreement required by Mr. Leaders yet not receive any of the parts required by Mr. Haeg?

- 42. Do you think it just that Mr. Leaders can ignore the concessions made to the Rule 11 agreement by Mr. Haeg such as providing the map, statement, cancellation of a whole seasons hunts, and all the money and time wasted on the McGrath trip of November 9, 2004?
- 43. At any time did Mr. Leaders indicate he was going to file charges in connection with Doug Jayo's moose hunt in September 2003?
- 44. Was there ever a deal that in return for Mr. Haeg to discuss the moose hunt he would not be charged in connection with the moose hunt?
  - 45. Wasn't the exact opposite true?
- 46. That Mr. Haeg requested he be charged in connection with Mr. Jayo's moose hunt so it could not influence the outcome of the wolf issue?
  - 47. Did you ever state to Mr. Haeg, "When Leaders screwed you he also screwed me"?
- 48. Did you ever make a statement to the effect that Mr. Leaders broke the Rule 11 deal because it was likely Magistrate Murphy would be lenient and not order forfeiture of Mr. Haeg's airplane?
  - 49. Mr. Cole have you ever been a prosecutor for the State of Alaska?
- 50. Do you think Mr. Haeg has been treated legally, fairly and with justice by you, Mr. Leaders, and the system so far?
- 51. You have maintained there were "many deals" yet is it not true there was only one deal that both Mr. Haeg and Mr. Leaders agreed to?
  - 52. The same one Mr. Leaders broke on November 8, 2004?
- 53. Did Mr. Haeg ever agree to forfeit the PA-12 airplane without that being decided by Magistrate Murphy?
- 54. After Mr. Leaders broke the first Rule 11 agreement did he offer to make a new Rule 11 agreement which first required Mr. Haeg to forfeit the PA-12 airplane?
- 55. If the State broke the first Rule 11 agreement yet got to keep what was conceded by Mr. Haeg why would they not break the second Rule 11 agreement and keep the PA-12 airplane?
- 56. What is the sense of anyone making a Rule 11 agreement with the State if the State can break it and keep what was given up and promised by the defendant?

# Exhibit 21

# TROOPER GIBBENS FALSE TESTIMONY THE STATE DID NOT KNOW WHY HAEG HAD GIVEN UP ONE YEAR OF GUIDING

## [TR 1335]

TROOPER GIBBENS: -- the only hunting period that he opted not to guide would be that fall, '04, for whatever reason it was...

# COLE'S ABA TESTIMONY – 7/11/2006

COLE: ... there was some discussion at the – in some of the hearings that – that I told David not to hunt or – and to cancel their hunts -um- in – starting in the summer of 2004. That's correct.

Shaw: ... to a date when somebody voluntarily stopped hunting?

Cole: And they – and he was goanna do it in this case too.

# Exhibit 22

## JUDGE MURPHY'S FALSE SENTENCING JUSTIFICATION

THE COURT: ...since the majority, if not all the wolves were taken in 19-C.... where you were hunting. Others that take into consideration things that you may not think of, such as the politics involved. Such as the affects of the wolf kill program. [TR 1437-1441]

# Exhibit 23

# **ROBINSON'S POINTS OF APPEAL**

COMES NOW David Haeg, appellant in the above referenced case, by and through his attorney, Arthur S. Robinson, of the law firm of Robinson & Associates, and hereby files the following statement of points on appeal:

- 1. Did the trial court err in failing to dismiss the information in this case because the court lacked subject-matter jurisdiction to proceed with the case where information is unsupported by oath or affirmation before judge or magistrate?
- 2. Did the trial court err in failing to dismiss the information in this case because the information on its face was insufficient to charge a crime?
- 3. Did the trial court err in failing to dismiss the information in violation of the Fourth Amendment to the United States Constitution and Article 1, Section 14 of the Alaska Constitution?
- 4. Did the trial court err in failing to dismiss the information in violation of the Due Process clauses of the Fourteenth Amendment to the United States Constitution and Article 1, section 7 of the Alaska Constitution?
- 5. Did the court fail to dismiss the information in violation of the equal protection clauses of the Fourteenth Amendment to the United States Constitution and Article 1, section 1- of the Alaska Constitution?
- 6. Should the information in this case have been dismissed as to Counts I through V of the information because such charges violates defendant's equal protection under the equal protection clauses of both the United States and Alaska Constitutions?
- 6. Did the trial court err in permitting the information in this case to be amended over defendant's objection?

DATED at Soldotna, Alaska this <u>14</u> day of October 2005. ROBINSON & ASSOCIATES By: "s/"

Arthur S. Robinson

# Exhibit 24

# TAPE RECORDINGS OF OSTERMAN – INCLUDING SWORN TESTIMONY AT REPRESENTATION HEARING

## Phone Conversation 3/15/06

Between David Haeg & Mark Osterman

**Haeg:** I think that Brent Cole did me a big disservice by -you know- by having me give the State everything that I had to give and not – then not . . .

Mr. Osterman: I cannot believe any defense attorney in the world would do that and particularly any defense in the world would do that with Scot Leaders.

**Haeg:** Yep. Well I guess where I'm at is I'm . . .

<u>Mr. Osterman</u>: You've got an appeal due on April the 3<sup>rd</sup> on points of appeal that Chuck Robinson filed because obligated to under the Court rules and the rules of ethics and I don't necessarily agree with the points on appeal that he's [Robinson] got.

**Haeg:** You know- and at that time I wasn't really trusting of Chuck and I -you know- I guess my big fear with you or any new attorney -you know- I'm not – I'm not out to bust your chops it's just when I pay you like I paid Robinson \$30,000.00 and I paid Brent Cole \$15,000.00 when I pay you that kind of money I want you in my corner and not -you know- someone else's.

Mr. Osterman: I'm not goanna be with somebody else and then I'll be real honest with you. - Uh- I'm not real happy with Chuck's position not to go after Cole.

**Haeg:** Well I wasn't happy about it either. Especially when he started defending what Brent did and saying that lying to me about the law was not necessarily Ineffective Assistance of Counsel and I mean it may not be but it should have been brought out and then I guess really hurt me the most is at sentencing -you know- I wanted – I actually had Chuck subpoena Brent to my

sentencing because I wanted Brent to explain that I had this Rule 11 Agreement that the State broke.

Mr. Osterman: And he didn't show up.

**Haeg:** And he never showed up and there was a call I got billed for that went to Chuck's office the day before he was supposed to show up and they – they "conferred". I mean it says, "conferred" for a half hour. And I'm like I demanded he be there, I paid for the subpoena, and I have a Constitutional Right to guarantee that witnesses show up and he didn't show.

**Mr. Osterman**: Burns your – yeah.

**Haeg:** It – it – I mean -you know- and if – if -you know- if it seems like I have a hard time with attorneys I think I have cause

Mr. Osterman: I don't think – I – I don't – I don't begrudge you that.

**Haeg:** And I'm not and if I ever start.

Mr. Osterman: I looked at this and it was a disaster in it and what Chuck did was wrong – what Cole did was wrong. There's no two ways about it.

**Haeg:** And is there – do you have any compunction against utilizing that for me?

Mr. Osterman: No. One of the things you should know about Murphy she's never been in

private practice . . .

**Haeg:** She was in the army I believe wasn't she?

Mr. Osterman: Being in the army is so what she's still a government job. She's never – never run her own shop and she's never defended anyone. She's always been a prosecutor, she's always

been a government wacky and she has to have the government job to survive. Cause she'd never make it in the real world not with her attitude.

**Haeg:** Yep well and yeah I understand and there's some other stuff that she did. We proved to her and when I say proved I mean absolute proof that a Trooper perjured himself at my sentencing and we gave her the proof of the CD that had his voice on it that proved it and she didn't lift a finger and I don't know if she's suppose to but my complaint.

**Mr. Osterman**: No let me explain to you how the – the Grievance Commission is – there – there function is to protect the attorney.

**Haeg:** And not me?

**Mr. Osterman**: Not you – you're not a foreseeable problem to them.

**Haeg:** -Um- and may shouldn't even bring him up but he's kind of looked at what's going on and he used to be a criminal attorney he's like David you – you have solid basis for bad news for these attorneys.

# **Mr. Osterman**: Well you do have bad news

**Haeg:** But you can't – you cannot have a malpractice suit unless you're found innocent or not innocent or unless your conviction is overturned – Chuck Robinson told me that.

Mr. Osterman: No Chuck's wrong, ok? He obviously was the malpractice of one attorney that put you in this bind. Cole has a malpractice problem a big malpractice problem.

**Haeg:** Well what Chuck said is that if my conviction stands he's – he was goanna show me the case in Alaska that said that you can't go after attorney on a criminal conviction – if in a criminal trial your conviction is not overturned because of the ineffectiveness of the attorney you can't go after him for malpractice. They said that the precursor . . .

Mr. Osterman: Well there is a (*sic*) out there that says that – I'll grant you but I don't think that that's -uh- I don't think that's the end of the statement. Because see it's not Chuck Robinson I would be focusing on. I would be focusing on Cole because Cole set up a by his conduct absolutely malpractice. You gave the evidence to the District Attorney to use against you because of Cole's conduct.

**Haeg:** Yep. But also what ramifications is it if I can prove that Troopers were perjuring themselves during my trial and sentencing? Will that not help in anyway?

Mr. Osterman: Well you're goanna have to raise the issue to the Court of Appeals -you know-that's one of the things that's goanna happen which is while that I look at the -you know- like I said the issues on appeal that you've got don't really seem to cover the issue on appeal.

Haeg: Yep.

Mr. Osterman: The whole goal would be #1 to stay forfeiture of the airplane and make sure all the other stays are in place with regard to the case, #2 would be to get caught up on the trial transcripts.

Haeg: Ok.

Mr. Osterman: Now in the meantime I don't mind going after Mr. Cole, ok? But I think that Mr. Cole ought to wait until after we've gotten our feet into the first round of appeals and feel comfortable about the issues we're briefing out.

Haeg: Yep ok.

Mr. Osterman: Then we can pursue Mr. Cole. We actually have 2 years from the date of malpractice, which is . . .

Mr. Osterman: Now the first thing we probably aught to do which I think you could do on your own is to write a letter to him informing him that you're making a claim for your attorneys fees

plus the losses you had sustained as a result of his misconduct. That's a hundred thousand dollar

airplane, attorney fees, and at least a year of guiding, ok?

Haeg: Yep.

Mr. Osterman: and that you expect his insurance company to get in touch with you immediately

with regard to this cause see by law he has to have insurance. And if not he has to inform you he

doesn't have any.

**Haeg:** Well he never – I understand that – he never did inform me that so he has insurance so

Mr. Osterman: So right so by that now he's forced to go to his insurance company and make a

claim and now a claims agent gets you on the phone to talk to you about what's happening. Ok?

So in the meantime we can always – we can always say at some point in time we're getting ready

to prepare a case for litigation but the insurance company is talking to you at your satisfaction.

When you're not getting anywhere with them you're goanna drop them in on me.

Haeg: Ok.

Mr. Osterman: Ok and then we're goanna file a complaint for

malpractice against Cole.

**Haeg:** Exactly yep.

Mr. Osterman: Ok? So those two things are beyond the scope of what you hired him for. Cause

when you hired him you had an expectation of criminal sanctions and you were taking the dice

and rolling it in the crap shoot ok and you did not realize he was goanna set it up

so that their dang dice was always loaded.

**Haeg:** Ok I understand that.

Mr. Osterman: They were always goanna win.

Haeg: And you don't think I could go after more than a year because I got sentenced to five

years plus the year that I already . . .

Mr. Osterman: No cause that was at the hand to Chuck Robinson so they're goanna attribute

that to Chuck not to Cole.

Haeg: Ok.

Mr. Osterman: Although you can say -you know- I'm simply saying he's – lets face it he might

- might have a quarter of a million dollars insurance ok. But more than likely he's a 100 300 kind

of guide of guy, ok?

**Haeg:** Well they.

**Mr. Osterman**: Ok all that – all that's available under the malpractice policy.

Haeg: Well Dale Dolifka thought that they would have more than that. He said that most

criminal – I actually asked him about him about it – he said that he would be surprised if they

didn't have a million dollar limit or more. But that was what Dale said.

**Mr. Osterman**: I doubt it very seriously I mean – I'm a criminal malpractice guy and we carry a

half million tops.

Haeg: Ok.

**Mr. Osterman**: A half million – one million.

Haeg: Ok.

Mr. Osterman: Ok so April 1<sup>st</sup> 2006 is his two years –

**Haeg:** that's when I hired him – I thought you said when I fired him is when it would toll?

**Mr. Osterman**: Well you got – you got a in between here – your April 1<sup>st</sup> to when you – when

he committed the malpractice act which was selling the farm and then the fact that you fired him

so we got three contingent dates. And my gut reaction is we shoot to the middle date. The date

that he actually committed the malpractice. So we got the start up date of when you hired him.

He didn't commit malpractice on that day.

Haeg: Ok.

**Mr. Osterman**: But anyway this – here's the situation is that you can do this on your own if you

want. If you don't get any satisfaction with his insurance company then you drop it in my lap. . .

Haeg: Ok.

Mr. Osterman: then I call him on the phone, say hey I'm here what's the policy limits? You now

and I'm goanna hold them by the nose and kick them in the ass for a

while. And we'll bring suit and go after all we can get on the insurance

and then anything else above that. Ok here's what I need – here's what I'm goanna

need from you to consider this appeal.

Haeg: Ok.

Mr. Osterman: Ok? I'm goanna need 12,000.00.

**Haeg:** Really?

Mr. Osterman: Yes sir.

**Haeg:** You're not cheap.

Mr. Osterman: No sir. If you call any – any attorney in town who does appeals and anybody in

Anchorage that does appeals they will tell you it's 3 to 5 thousand dollars an issue.

Ok? -Uh- I've had experience – the case I took into the Alaska Supreme Court should have been

an open and shut case but we prepared huge briefs and huge transcripts and spent thousands of

hours and that case was about \$22,000.00, ok? I'm assuming because #1 we're in the Court of

Appeals and things are goanna move a little faster which I hope is a good thing, second we're

goanna have to get on this thing with a big stick. I mean there's no 2 ways about it. The other

case dragged on forever we had to get this from Phoenix; we had that from there. You've got

most of the stuff, you've got a good synopsis so I figure we're goanna cut a good \$10,000.00 off

of what I charged this last case by having the availability of this stuff in short notice.

Haeg: Yep.

Mr. Osterman: Ok?

Haeg: Well and -you know- I and I don't have -you know- I guess I shouldn't say it my wife

kind of rolled her eyes she heard but it -you know- I'm in so deep -you know- I - I need to go

forward and I appreciate -you know- and I'm willing to -you know- to give you the money I

mean I don't – do you need it all at one time?

Mr. Osterman: Yeah I do. I'm goanna put it in my trust account and have it available to spend.

Cause I don't want to get a phone call from you half way through the

appeal that we're out of money Mark and I can't help you. That's goanna

mean that I've gotta continue appeal for free and I don't like that.

**Haeg:** Ok I understand me.

**Mr. Osterman**: That irritates me.

**Haeg:** I don't have a problem with that -um- and -uh- I guess oh one other thing is. Does it -uh- -

you know- I've put my heart and sole into this and I would like to stay involved just for my own.

**Mr. Osterman**: I want you involved.

3/20/06 MEETING

Attorney Mark Osterman, David Haeg,

Greg Stoumbaugh, Jackie Haeg, & Cassie Haeg

OSTERMAN: Ok we have to review the transcripts of trial.

HAEG: Ok.

OSTERMAN: Second -um- after that we got to develop a timeline in the evidence -

whether there were issues of motions that should've been or could've been

brought that weren't -um- whether there were -uh- the big one the big

give away the ineffective assistance by your first attorney. No doubt

about it. And then second we got to make a strategic move. Let me explain to you we've raised

the issue between PCR and the appeal. PCR is like the ace in the sleeve. We only pull that ace

out when everything else fails, ok?

HAEG: Can you but don't you have to use – to utilize ineffective assistance of counsel

don't you have to go back on a PCR to – to go back into the original trial?

OSTERMAN: Well yeah but see PCR is a post conviction relief that has a – I believe it's

a two year limitation where as the appeal you filed for has a 30 day limitation. So to claim PCR

we've got a while to go you yet and we should expect and anticipate with proper filing before the

Court of Appeals you'll have an answer back before the two years is hit but if your appeal is

pending for that period of time the PCR time folds.

HAEG: Ok.

OSTERMAN: But the issue is if we deal with PCR ineffective assistance now are we

likely to get a reversal by the Court of Appeals? And I think the likelihood is yes. I think

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when the Court of Appeals sees the sell out...

HAEG: Ok.

OSTERMAN: ...that happened here. That your attorney told you to talk and you talked to a huge detriment.

HAEG: Yep.

OSTERMAN: And why in the world this guy never got any kind of a deal in writing (indecipherable) ...

HAEG: I gave up a whole years in[come], gave up guiding for a whole year also because the deal was suppose to happen before the guide season, got drug out and so the deal or the Rule 11 Agreement was suppose to happen before the guide season well I can't have hunters coming and just...

OSTERMAN: Nobody ever tried to enforce the Rule 11

HAEG: Well you know why? Because Chuck (exhales) it's a tangled web but I've – I have – we have it straightened out completely. I mean we've done immense amount of legwork. I can – I actually have it where I demanded Brent Cole come out and testify at my sentencing...

OSTERMAN: I know he didn't show up.

HAEG: And he never showed up but there was a call that I got charged for from Brent Cole to Chuck Robinson and I had 56 questions for Chuck to ask Brent on the witness stand. Fifty-six questions and it would have been shown that he committed malpractice, on the record, and that's why he never showed up. Cause Chuck kept him from hanging himself and giving away his whole business. I mean I have proof of that. I have proof of Jackie buying him a plane ticket - Brent Cole - I have everything and then he doesn't show up, after the phone call was made just hours before he was to fly out, to Chuck Robinson. And I asked Chuck what – "oh well Brent just said that he wasn't coming." Well I thought when you have a subpoena you come.

OSTERMAN: Had you sent it to him?

HAEG: I paid for it. I paid for the witness fee, I paid for - the subpoena was served and I have the green card from Chuck. Cause I said I want the green card in my hand not in yours. Right now I have one felony complaint against the one trooper perjured himself at [test] at my – uh- sentencing. The other –uh- felony complaint against the trooper...

OSTERMAN: Was he under oath?

HAEG: Yeah.

OSTERMAN: Ok. (indecipherable)

HAEG: Yep. The other trooper that falsified the affidavit for search warrants - absolutely false, lied on them, committed perjury there, committed perjury during my trial.

OSTERMAN: It's one thing to hold somebody back. It's another thing to get them down on the ground and stomp on their head with boots. Ok?

HAEG: Yep.

OSTERMAN: And what Scot Leaders did was stomped on your head with boots.

HAEG: Mm hmm.

OSTERMAN: He went way, way, way to far – ok?

HAEG: Yep.

OSTERMAN: And he violated all the rules that would normally apply in these kinds of cases and your attorney allowed him, at that time, to commit these violations.

HAEG: Yep.

OSTERMAN: Now the attorney – the attorney didn't just open the door – ok - he blew the side of the house off, with his conduct... That's the first incident but the timeline of events that we are goanna be able to put our finger in and press the button if we can get – number 1 Is the Court of Appeals going to be willing to completely reverse and send you back for a new trial? Number 1. Number 2 are we going to have - be able to re-litigate and revisit those issues that predate your confession? In the timeline of things I think the Court of Appeals is goanna be willing to back up to when your attorney committed malpractice.

HAEG: Ok.

OSTERMAN: Ok? I don't think the search warrant issues are goanna be necessarily covered and I don't think I can - I can get you to escape the complete and total liability – I don't think the Court of Appeals would be willing to do that.

HAEG: Well when I can show that the search warrants – see I've been doing my research – when the search warrants bad it's called the poisonous tree.

OSTERMAN: Fruit of the poisonous tree. But here's the situation. The Court of Appeals is only going to go back to what they can clearly identify as being the wrong feature in your case that produced all the wrong results.

HAEG: Well wasn't that one of them?

OSTERMAN: No. HAEG: Why not?

OSTERMAN: Because the – the real problem occurred for you when you made all these confessions and handed them –uh- the keys to the crime, ok? And the search warrant was before that.

HAEG: Ok but doesn't – I mean so what your saying is once – but this – hey ...

OSTERMAN: The fruit of the poisonous tree applies...

HAEG: Ok just hang on

OSTERMAN: Ok.

HAEG: If Brent Cole did this ineffective assistance of counsel by having me do that confession it goes back to there – the confession never happened.

OSTERMAN: Right but it's my understanding the search warrant was issued before the confession or am I wrong on the timeline?

HAEG: No that's – that's – you're correct but why can't you – if – if people committed perjury and other things that you could have used at your trial or defenses – we pointed out to Brent Cole that – that the search warrants were wrong over and over again. He didn't use that isn't that – isn't that [ineff] – would a normal attorney...

OSTERMAN: Would it be ineffective assistance of counsel for the attorney to know that the warrant was defective and failed to challenge it? It depends on the – now you – here you got the Court of Appeals thinking.

HAEG: Yeah.

OSTERMAN: Depends upon the strategy – did the strategy of the search warrant (indecipherable) that the challenge could be made effectively that in such a way or is the – the lack of this evidence goanna cause you any greater problems in the long run.

HAEG: Ok.

OSTERMAN: Because lets face it the evidence arising out of the ineffective assistance of counsel...

HAEG: Ok.

OSTERMAN... is fueling all of the complaints from that point on ...

HAEG: Ok yep I agree

OSTERMAN: The Court of Appeals can go back to that point.

HAEG: Ok I didn't – I was never told that. Because I don't know what I was told that was lies and what was true. Now...

OSTERMAN: There's a lot of dickering going on I mean I did not make any phone calls to Chuck.

HAEG: Ok.

OSTERMAN: I did not identify Chuck as a being a problem.

HAEG: He's a big problem – he's a major problem.

OSTERMAN: Ok well – I mean I didn't identify Chuck as being a problem to the people that I've talked to. They don't know who the attorneys are in this case.

HAEG: But see then you can pull in that stuff and put it back into the Court of Appeals before they look at it. Because right now the Court of Appeals doesn't have the – the really bad stuff because Chuck Robinson kept it out of the trial. He fought tooth and nail like Brent Cole – he told me David don't you dare bring up that you had a Rule 11 Agreement and - and Brent Cole and – and –uh- Scot Leaders screwed you out of it. He said don't bring it up. I said why? He said it'll hurt – hurt my deal that you're goanna win on appeal. We might have to appeal all the way to the US Supreme Court and that's the information Scot Leaders filed wasn't sworn to. Well the last time that was upheld was 1906.

OSTERMAN: Over a whiskey bottle.

HAEG: Over a whisky bottle now when I'm betting my life and the way I put food in my family's mouth and I went ...How much paperwork did I read and you print on Chuck's thing? I'm talking stacks like that. And I came to him and I said, "Do you mean you're – you're goanna run me to the US Supreme Court and the last time it was won was in 1906?" And he's like "well we may win". I'm like – this is why I started getting scared of old Chuck Robinson.

OSTERMAN: You're telling me that these police officers committed perjury. If we can convince the Court of Appeals that they did - certainly the Court of Appeals will look back at that situation. It's normally called a collateral attach of the sworn testimony. As far as the Court of Appeals is concerned the testimony in the court was properly sworn to and is for all purposes truthful information. The Court of Appeals can't vary about whether we believe a police officer or not believe a police officer...

HAEG: Then and then – well then I bring it in and show it to Chuck and Chuck's like "It do[n't] - I don't re – I had my investigator". See I now I'm saying, "well you never even looked at what Joe did." Well "Oh yeah I did. To me it appeared there was no deal." Yet over and over Joe says, "there was a deal wasn't there and Leaders broke it" and finally Cole: Brent's trying to weasel out of it oh yeah there was a Rule 11 Agreement and Leaders broke it. He got him pinned down but Chuck now is saying that he never got that information from his investigator and I was there when we gave it to him and he read it. He's – he's trying to keep Brent Cole's law firm from taking a hit.

OSTERMAN: Yeah. I can't figure out why Chuck's protecting him. He screwed up - he screwed up that's the bottom line.

HAEG: That's the bottom line and Chuck by protecting Brent has done what? Screwed up.

JACKIE: Joe even wrote in his findings to Chuck he said. .

HAEG: To file a motion.

JACKIE: ... to do the motion against the Rule 11 Agreement

HAEG: Yep...

JACKIE: and then Chuck said they never found anything out.

OSTERMAN: Mm hmm.

HAEG: The trooper said it was 19C. Well during our interviews you know way, way, way before trial we said "hey on your search warrant you said that all these wolves were in 19D - or 19C where our lodge is. They weren't they were all in 19D and we can prove it". He's like "well I – I haven't read the regulation book." Come on the guy who polices the area he doesn't know where he's at?

OSTERMAN: Mm hmm.

HAEG: He knew but what he knew is it'd be more likely to get a search warrant if he

said the wolves were in...

OSTERMAN: The area of your lodge.

HAEG: the area of my lodge. So he falsified that. Tony, the guy that was shooting the

wolves, told him they were in 19D not 19C, I told him and then we have that on tape – us telling

him that it was in 19D. And 19D was where it was legal to shoot wolves but not in that area of

19D. So – so if it came out that the wolves we shot were in 19D which is where the program was

going on it would kind of be a violation of the permit and not violation as a big game guide

guiding. Because the permit had violations and this is goanna be big one for your appeal.

OSTERMAN: Mm hmm.

Phone Conversation 3/22/06

Between David Haeg & Mark Osterman

Mr. Osterman: And our job is to get to the rainbow first.

**Haeg:** Yep but what I'm saying is you know you keep kind of bringing up this Rule 11

Agreement it wasn't in the trial so to get to the Rule 11 Agreement you might as well go back to

the search warrants not being correct. Do you understand is – is during the trial what you could

appeal on the trial the Rule 11 Agreement wasn't part of that anyway so you know if - if you're

goanna go to the Rule try to say "oh well they broke this agreement" you might as well go all the

way back and use everything that wasn't in the trial and I think the more I look at the law and

everything on and how sticky they are for search warrants. Search warrants are a very – very –

very tightly regulated thing and when – when a trooper lies on it that's a horrendous mistake. -

Um- . . .

Mr. Osterman: Yeah.

**Haeg:** . . . at least from all the law that I've seen. So. .

**Mr. Osterman**: It - it - no - no doubt about it - it is.

PHONE CONVERSATION

Attorney Mark Osterman & David Haeg 4/6/06

HAEG: Well I'm just – as I told you I'm super suspicious of lawyers and I want to see what you guys got going and I want to have my two cents in and I under no circumstances do I

want you guys sending in my brief without me looking at it.

OSTERMAN: Ok.

HAEG: -Uh- and...

OSTERMAN: You would – you would certainly be invited in to review the – the brief that

we had – undoubtedly.

HAEG: You know I want to be – you know I kind of told you when all of this began that

I wanted to be involved in it because I've -uh- I mean I've got stuff here that would just blow

Brent Cole out of the water I don't know how many times over. Over what his actions in my case

and I want to hit upon that so hard that there's no chance of not getting ineffective assistance of

counsel. And I want to bring Chuck Robinson into it because I have him on tape saying you

know Brent Cole lying to me about deals that we had and about all this stuff – I want him in

there so that there's no doubt what's going on. How longs it been since I hired you?

OSTERMAN: 2-1/2 weeks.

HAEG: We've talked I believe maybe about 3 times of any significance where an actual

exchange of ideas occurred. And I mean I know cause I keep track of this crap. And I'm not –

you have to understand that I'm a damaged product because of what happened with the other

attorneys. I know what my rights are. I know that if - if I was - if my own attorneys had an

actual conflict of interest – which there's no doubt. I have absolute proof of that. It gets thrown

out. You bring that up and it will –uh- I think the appellate court has to look at that. And I guess I should let you know that they have a great deal of the stuff that I've already given you. Because when Chuck Robinson started going "well I don't have to look at anything Brent Cole did, everything no matter what he did doesn't matter" – I know understand that's absolutely a lie.

OSTERMAN: Well...

HAEG: I mean you can't have your...

OSTERMAN: Bear with me for a second. David I – I don't disagree with you.

HAEG: Ok.

OSTERMAN: Now I've been pushing my staff to get the draft completed as quickly as possible because I want to make sure we have more then ample time to deal with some of the issues that you've got.

HAEG: Yep well I guess I would just like to be able to -um-...

OSTERMAN: I don't want you to input anything at this point.

HAEG: Ok well then are you – I mean are you also making a claim that my first and second attorney[s] had actual conflicts of interest?

OSTERMAN: I'm not goanna claim that the second attorney had a conflict of interest of any kind.

HAEG: Why not? (10:13)

OSTERMAN: Because the issue of conflict of interest is a right of malpractice. Ok.

HAEG: Yeah well if your own attorney's lying to you – I have not even found – I have not found *yet* where an attorney was lying on a pretty sustained basis to his client. My case of ineffective assistance of counsel and automatic prejudice is greater then any I've found yet.

OSTERMAN: Bear with me for a second.

HAEG: Ok.

OSTERMAN: You've gotta take a look at did – was there any particular conduct of the attorney during the course of trial – *trial* not pretrial –trial that was so eggregarious – it's so –uh-

HAEG: Doesn't have to be trial.

OSTERMAN: Huh?

HAEG: Does not have to be trial.

OSTERMAN: Oh I think you better go back to the Strickland criteria.

HAEG: Nope not at all.

OSTERMAN: The Strickland's focus is on the subjective review of the attorney's conduct. It - it basis it upon –upon objective criteria.

HAEG: Has no – it can be pretrial or anything. Let me just find one here you. This is why I'd like to kind of come in and – and –uh-

OSTERMAN: Your not – bear with me for a second. We're not ready for you.

HAEG: Ok.

OSTERMAN: We're ready...

HAEG: I just...

OSTERMAN: ... when we've got a draft in hand that has the spelling in it corrected – that we want you – that we're ready for you to see and take home and digest and then come back with us. Sit down in meeting form and deal with – where you think the issues are deficient. You've got to remember number 1 you may know the law sir but you have the inability to hang a shingle.

HAEG: You know and I guess you got so of the information but most of what's wrong happened not in the trial. And that's my – I guess my fear is that if we just look at what happened at trial Chuck Robinson is a – is a fantastic attorney. If he wants to manipulate a client – he is one of the best people maybe in the business for doing so. And I don't know did – have you seen some of the stuff that I taped – the conversations I'd taped with him - or did I even give you those? You know when he can tell me that it's effective assistance of counsel to have my own attorney lie to me that we can't even -um- ask the court to uphold a rule 11 agreement for which we'd given up a whole year of our income, had given them –uh- information that allowed them to over double the amount of charges, and spent 6 thousand dollars just for -um- and old case that had been closed to enhance my sentence. And he said that we can't even – there's no way for us to – to try to uphold it. And then when I said, "could I try to ask the judge," he says, "well that judge would have told you 'anything you say can and will be used against you' and that would have been it." Well is that really what would have happened when I told that judge that "for this rule 11 agreement my wife and I had given up a whole years income"?

OSTERMAN: You've – bear with me for a second David.

HAEG: I mean that's just stunning stuff. It's stunning.

OSTERMAN: I understand it's stunning stuff. Giving up a hundred thousand dollar airplane is stunning stuff... ok and I got to tell you right now if you don't trust my firm to perform the function we were hired to do we'll stop it now and rebate whatever we haven't spent. But you...

HAEG: Well I guess make out the check. Because I've done that twice and I've got burned both times. Do you understand that?

OSTERMAN: I - I completely understand that you've been burned by a couple of people.

HAEG: Ok.

OSTERMAN: What we have accomplished so far is I have told you I have nothing to share with you and all you've done is fight me about why I haven't. And all I've explained to you is I'm trying desperately to give you the best I can possibly give you...

HAEG: Ok.

OSTERMAN: ... in the way of support in review of your case to the Court of Appeals and you want me to add information that I don't think is relevant or pertinent or will be admitted by the Court of Appeals. Now I haven't looked at the information and cases that you say you have.

HAEG: Ok.

OSTERMAN: But I say it's not something that I'm interested in bringing –

HAEG: They told me that the brief was almost done – written. And...

OSTERMAN: You...

HAEG: ... that's the information I got. So I'm just sitting here going – I have all this stuff that I think is horribly important and I'm not being taken seriously and I understand how we both have two ways that we look at it but you know I'm – uh I guess I'll put it this way uh – uh Dale Dolifka said "under no circumstances should I hire another attorney in the State of Alaska". He said go outside the State, get a huge firm, come back in, and wipe these guy's ass. Because here I am – he said if it happened once shame on the attorneys, if it happened twice shame on me. He says don't do it again. We tried. I have stacks of information on firms down in the – you know down in Washington state and Oregon and stuff 6 inches high. I've had some of my attorney friends or - or client friends that are multimillionaires have their law firms check into people for me. So...

OSTERMAN: Mmm.

HAEG: ... it isn't just my suspicions. Dale Dolifka told me "David you got royally screwed twice". He said, "it's unbelievable." You know he said in my conversation [for] him he underlined 7 times that Chuck Robinson said it wasn't necessarily ineffective assistance of counsel to have your own attorney lie to you. I mean I don't know if you know Dale but that man has the most integrity any person let alone lawyer that I know and when he says I can't find an attorney in the State to trust I believe him. You know if you guys would have ran the brief in without me seeing it you would have seen me kind of come unglued. And that's just because of what's happened in the past. Do you understand that?

OSTERMAN: I understand.

HAEG: Do you understand that's a drop in the bucket because I've almost killed myself? Because when I found out Brent Cole and Chuck Robinson were lying to me Chuck Robinson was my friend. I've known him since I was like 5 years old. I've taken him halibut fishing. I've flown him around in my plane when I was 16 years old. He put his life into my hands. I put my life into that mans hand – hands and he was goanna screw me into the earth and screw my wife and my kids. You know how egregious that it?

OSTRMAN: Listen – I've – I've listened to a big piece of this trial. Ok and you're right...

### MEETING – 4/11/06 (Excerpt) Attorney Mark Osterman, David Haeg, Wendell Jones & Tom Stepnosky

HAEG: Is that legal to have a judge run your sentencing hearing until 2 am in the morning?

OSTERMAN: Well that's one of the things that we're discussing... I mean we've all agreed that at one point in time you'd flipped your top and the judge said listen we've all had a really long day and I'm goanna excuse the fact that you're being unruly because of the lateness of the hour and we're all really tired...

HAEG: Yeah.

OSTERMAN: ...and the minute that she said that she screwed herself.

HAEG: Really?

OSTERMAN: Well yeah. Recognizing that she – said we're all testy. Well hell if you're all damn testy you shouldn't be doing the damn hearing.

HAEG: Well I wasn't even there. He was actually there – well both you guys were there...

STEPNOSKY: Yep.

HAEG: ...and I was gone...

JONES: He was there in person but not in his mind.

OSTERMAN: Ok they should not have run this thing past any normal decent hour.

JONES: Mm hm.

HAEG: Well and she said over and over we're goanna finish tonight. We are goanna finish tonight...

JONES: Well...

HAEG: ... She kept saying that over and over and over...

JONES: Hmm...

HAEG: And I was like you know I'm – I could be badgered and badgered and I'm a tuff bastard but eventually I break.

OSTERMAN: Here's what...

HAEG: And I was broken. You - you told me about an ineffective assistance of counsel case that you were somewhat enthused about. What happened to it?

OSTERMAN: Work – it' in the hopper (4 different garbage cans with garbage in them). 1

HAEG: Ok well can I see the hopper or can I have a copy and so I can look at this stuff and I can make copies...

OSTERMAN: Give me a second.

HAEG: ... and I can take it home and look at it. (13:54)

OSTERMAN: I thought I gave you that site over the phone.

<sup>&</sup>lt;sup>1</sup> He looked in garbage cans for it for nearly 30 minutes – and billed Haeg for this.

HAEG: Nope.

OSTERMAN: Cause we were talking about it.

HAEG: Nope. I asked for it and never got it.

OSTERMAN: Give me a second here. It was a 2<sup>nd</sup> circuit case.

HAEG: And did you then see what I had about Gibbens with the search warrant being perjury and all that other crap? I mean and that's in – that's in the trial.

OSTERMAN: Wait a second here.

JONES: And the falsification on the search warrant.

HAEG: Perjury because he swore under oath and that's perjury – even though he wasn't in a court.

JONES: Mm hmm.

HAEG: Any statement sworn under penalty of perjury is perjury...

JONES: Yep.

HAEG: ...if it's false.

OSTERMAN: I got a million cases running through my head. Not one of them is yours.

HAEG: (laughs) (Osterman continues to look through garbage cans)

OSTERMAN: ...The first, second and second circuit... Let me get... How to present a defense, search and seizure, sentencing, punishment, confrontation - confrontation, habeas corpus... This would have been a habeas matter as I recall. (Shuffling papers in garbage)

JONES: (clears throat)

OSTERMAN: Search and seizure (flipping papers in garbage) sentencing... That's all Michigan – and Michigan. No this is the old one. Damn.

HAEG: Hmm.

OSTERMAN: Give me a second then let me look in the index. Usually when we download a file we download it to a directory. Give me a second here. -Uh- I'll get you a copy of the case. See if I can find it. Whether I got another one of those sheets handy. Here we go. (Papers shuffling in garbage can)

HAEG: I like that thing. Retire keep enough to live. What? Give to kids.

OSTERMAN: Yeah they're talking about a State planning. (Papers shuffling in garbage can) I got a client he's got a little too much property.

JONES: (clears throat for 10<sup>th</sup> time)

HAEG: Well can you just get it back to me then? Find it and then...

OSTERMAN: Get it back to me that's right. Here we go. Is this it? Seizure, confessions, defenses, search and seizures, search and seizure, prisons, sentencing and punishment, ineffective assistance of counsel. Ok. Nope that aint goanna be the one. Soon as I get it I'll get it to you.

HAEG: Ok.

OSTERMAN: Ok.

HAEG: That will work. -Um- -um- do you have anything that you've made copies so I can take home and look at? Stuff you've been working on or not?

OSTERMAN: Nope. Not goanna give you a draft until we get though it. The reason being is I don't want you demanding and requiring changes until we get a finished product. Cause the...

HAEG: Well how can you...Why would you want a finished product if I'm goanna change it?

OSTERMAN: Number 1 who says you're goanna change it? You hired me for an appeal.

HAEG: I wanted to be involved in it.

OSTERMAN: I understand you want to be involved in it.

HAEG: I paid for it.

OSTERMAN: That's true. You did.

HAEG: I gave... You took my money and you said "yep we're goanna work with you."

OSTERMAN: I did.

HAEG: Well...

OSTERMAN: So why don't I want you in the process right now until we get a product for you to look at? And why have you already determined that the product isn't good enough for you?

HAEG: I want to make sure that the product... I can research and look because I'm pretty good at it and I've been burned 2 other times and I'm scared shitless about attorneys.

OSTERMAN: Ok fair enough.

HAEG: That fair enough?

OSTERMAN: You'll have a product.

HAEG: Can I kind of see as it's coming along?

OSTERMAN: Nope.

HAEG: Ok.

OSTERMAN: As soon as I get it finished and get it to you – you can make whatever changes you deem necessary and then we'll sit down and discuss whether these changes are goanna be very viable or not.

HAEG: Ok. -Um-

OSTERMAN: I'm not pursuing a perjury plea in the middle of this cause it's not goanna go anywhere. The Court of Appeals is goanna say "go to hell" and laugh like hell and throw the damn thing out.

HAEG: So if there's something in the court that – that points its finger behind what's on the record you can pull that in? So that's why Chuck kept out the rule 11 agreement. He just "uhhhh don't say anything that Brent screwed you over" and the whole thing... Didn't he?

OSTERMAN: No. Somebody's goanna say that that was a strategic move on his part.

HAEG: There's no reasoning for it.

OSTERMAN: Now Cole fucked you. Point blank. I don't know that Chuck...I don't know that...

HAEG: Got him on tape saying, "no matter what Cole did – well I couldn't use it." And you said Brent Cole fucked me and Chuck said, "I can't use it."

OSTERMAN: That's right.

HAEG: No it aint right. He could have went right back with ineffective assistance of counsel and just nailed that bastard to the wall.

OSTERMAN: Could – and how would that changed your case in front of this judge at a trial.

HAEG: It rolls it back until before Brent had me give all this stuff and we start fresh.

OSTERMAN: No.

HAEG: And I get a fair trial.

OSTERMAN: But see at trial nobody in the jury's goanna want to hear this. It's not material to the case. You can't point the finger at your former attorney or at the DA and say "these 2 people just screwed me".

HAEG: Yeah they did.

OSTERMAN: Well *I know they did but you can't do it at trial damnit!!* You can't do it in trial. This is where you're goanna have to go – you have to go to the – I mean you know you've been – you've got your balls to the wall on this one... You're – you're being banged on so the only thing you can do is go in and say "this is wrong – it shouldn't have happened".

HAEG: What I'm saying is not before the jury. Why did[n't] he make that arg – motion to the judge to just throw out everything?

OSTERMAN: Cause the judge wouldn't. You don't understand it's the judge's job to cure the evidence not stop it.

HAEG: You still have an obligation to try. When I hire you - you have an obligation to try.

OSTERMAN: Well I know it's true to some extent but let me tell you something...

HAEG: You know it's true the last extent. If you...

OSTERMAN: When...

HAEG: When you – if you take my money and I say something that is wrong and you don't do it – toast.

OSTERMAN: Well that's ineffective assistance.

HAEG: That's toast.

OSTERMAN: But if it's a tactical reason.

HAEG: What tactical reason did he not have the day after they took everything from me to not go in and say, "Throw this out"?

OSTERMAN: Ok.

HAEG: Tell me what the tactic is.

OSTERMAN: Well you're – you're – we're loo – we're dealing with the perjury of this police officer, right?

HAEG: Tell me what the tactic was?

OSTERMAN: Well...

HAEG: For Brent not to try?

OSTERMAN: I...

HAEG: I don't care what... Tell me what his tactic was?

OSTERMAN: Brent's ineffective... I've already dealt — I've already dealt with that subject.

HAEG: So how do we – how do we utilize Brent's ineffectiveness?

OSTERMAN: Well we – we go in and we say he was not effective. He allowed these to occur, he didn't do his job...

HAEG: Then why don't we use that along with him not – me not – him not telling me this other stuff? You see how egregious it is?

OSTERMAN: Well I don't – don't misunderstand me... I see how egregious it is and in fact ineffective assistance is goanna be a big issue in this appeal.

HAEG: So we are goanna file for oral arguments?

OSTERMAN: They give it to us. You don't have to ask for it. They give it to us.

HAEG: They give it to us?

OSTERMAN: Yeah.

HAEG: Ok I'll ask you again this – have you heard from Brent Cole and Chuck Robinson personally?

OSTERMAN: No.

HAEG: Ok -um- why did you tell me if we u – if we try to go after Chuck and Brent the Appellate Court will throw case out? Why'd you tell me that?

OSTERMAN: Because they don't want to get involved in this.

# PHONE CONVERSATION Attorney Mark Osterman & David Haeg 5/1/06

OSTERMAN: You get a chance to look at that draft?

HAEG: Looked through it a little bit and uh you know just I don't know um. So got to look through it a little bit anyway.

OSTERMAN: Ok so here's where we're at. Uh we are pairing down the facts. We're trying to get this thing down to fighting size. If we're goanna be over the limit we are goanna be making applications to the Court of Appeals. We are goanna be over the limit but we are goanna try to get a statement of facts that is clean and clear.

HAEG: So what's that normally then?

OSTERMAN: Well it's normally 20 pages without any additions. But we have the ability to go over. We're not concerned about it. Except that we want it to be – the – the one thing that we want to get away from is being boring.

HAEG: Ok.

OSTERMAN: A dull brief is a dead brief. Ok.

HAEG: Ok - let me jot that down. Boring is dead.

OSTERMAN: That's right. I mean they're like anybody else. They don't want to read garbage.

HAEG: Ok.

OSTERMAN: So we – we – we're trying to spruce up the facts to make it a chitleread (??) As I recall we got about 12 pages of facts and I think after I talked to Joel and – and we looked back at it we're down to about 7 or 8 pages now of facts which is pretty good. Uh... (01:23)

HAEG: And what do you mean the facts? Like of us going out and doing what we did or what?

OSTERMAN: Well yeah if you'll remember the very beginning of that we have to recite to the court what happened. And then what happened in court. Now one of the things that's not necessarily in the statement of facts deals with what happened with Cole – necessarily.

HAEG: Ok.

OSTERMAN: Because the – the whole – the whole fact is we need to convince three members of the Court of Appeals this thing ought to be reversed. That there's been an injustice done. And the only way we can get that flavor to them is that we describe it. Ok. Case law be damned if we don't take care of the facts of the case and make this an easy read for them. They'll sacrifice us at the alter of stupidity. Ok?

HAEG: Ok.

OSTERMAN: So I need your input in this if you can get it to me by the end of the week.

HAEG: Ok.

OSTERMAN: With that we want this thing just simply a matter of the mechanics. We want – we have to go through – let me – bear with me – if you look at the bottom of each footnote you'll see a statement that just simply says citation. Or makes some reference to a hearing transcript. Well we've got to go through it and augment it – supplement it. And we also have to create a table of statutes, a table of um of cases, a table of authorities – generally, an additional table of authorities and then we've got to re-footnote everything and we got to run a master on the footnote for locations under a table of contents. So we've got a lot of mechanical

things that have to be done yet to the brief. Which is why as we're writing if we eliminate things like footnotes we don't necessarily have to renumber and we don't have to recreate the table of contents pages which are real confusing and difficult to do at times. Ok so that is why I'm saying I would like to be able to say by next week we are goanna go to work on the mechanics of putting the brief together.

HAEG: Ok and that's just – like I said that's just the oh the ...

OSTERMAN: All those tables I just talked about.

HAEG: Ok well I've already sent you most everything of what I felt of everybody – so.

OSTERMAN: Now we're ready for you to talk. Remember I told you...

HAEG: Yep.

OSTERMAN: ...until we had a draft we weren't ready for you to say anything. Give us the chance to get a draft together to find out what our shortcomings are for your standards.

HAEG: Well if you've got – I don't even know how many pages it is if you've got to pair it down to 20 pages there's not goanna be much room for anything that I have to say.

OSTERMAN: Now listen there's a – there's a kind of a unwritten limit at 20. Ok. That doesn't mean we're stuck at 20. It means we simply have to file that we're filing a long brief. That's all.

HAEG: Ok.

OSTERMAN: Now I'm not concerned about it being over 20. Except that we're too long winded. When I read this brief I was as leep about the  $6^{th}$  page.

HAEG: Ok.

OSTERMAN: Because the facts didn't reach out and grab me.

HAEG: You said, "Boring is dead".

OSTERMAN: That's right. We cannot be boring. That's why we started pairing down the facts. Trying to get the facts to be a workable fact and the members of the Court of Appeals – let's face it these guys are sitting in there every single day and all they do is read these damned briefs...

HAEG: Yeah. Well that's their job isn't it?

OSTERMAN: Ok if I make it fun for them to read and interesting and hit them right between the eyes immediately with the issues in the case.

HAEG: Ok.

OSTERMAN: I – I will win them over. Believe me we have attended – in fact I can tell you two years ago State Bar convention the entire panel of the Court of Appeals got up in bank and said "the biggest sin committed in briefs is you guys bore us. We're tired of being bored".

HAEG: Ok.

OSTERMAN: "These are lively cases about real people. Write these cases".

HAEG: Ok.

OSTERMAN: Ok. So I sat through their seminars. I've still got their materials. We purchased books and material to understand better how to write briefs. In fact while Joel was writing I found an editorial page in one of the legal magazines and snipped it out and gave it to him about how to liven things up and short circuit things a little bit.

HAEG: Ok.

## MEETING Attorney Mark Osterman & David Haeg 5/6/06

OSTERMAN: Ok. Did you get a chance to look it over?

HAEG: Yep.

OSTERMAN: You got any substantive changes we got to get them made. We've got about 2 weeks left. Actually a lot less then that now. So what didn't you like?

HAEG: Um I don't know – I looked at it and I would of – like I said when I came in here I wanted to – to go after Brent and Chuck because of the stuff that they did lying and - and stuff and there's very little of that in there and I don't know why that is.

OSTERMAN: Because we're appealing the merits. Not your unh[appyness] – your dissatisfaction with your other lawyers.

HAEG: Ok well why do you have - um I know at the first meeting we had you'd said that Brent Cole's ineffectiveness was the biggest thing that we had.

OSTERMAN: Sure.

HAEG: And now it looks like it's one of the...

OSTERMAN: at the end. HAEG: Yep. Why is that?

OSTERMAN: You never put your focus issue at the beginning.

HAEG: What you are saying is don't focus on what you really want to focus on?

OSTERMAN: To some degree yes.

HAEG: Hmm. Ok.

OSTERMAN: You have stronger merits in your case then rubbing Cole and -uh- -uh- Robinson's nose in this case.

HAEG: Ok and what are the stronger merits?

OSTERMAN: What are the issues here? Do you disagree with the issues that we've outlined?

HAEG: Well I've sent – at least Jackie says she's sent you everything that I had problems with.

OSTERMAN: Yeah we had everything that you've had problems with.

HAEG: So you have everything that I have problems with?

OSTERMAN: Right. So do you disagree with the things that we've put in these issues?

HAEG: Well like your – this first argument. Trial court erred in failing to dismiss the first amended information in this case cause the court lacked subject matter jurisdiction to proceed with the case where the original information was unsupported by oath or affirmation before a judge or magistrate. Do you – do you think that has…

OSTERMAN: I think that has some merit.

HAEG: Ok. How much merit?

OSTERMAN: Enough I want the Court of Appeals to see it. There are a lot of things in here I don't want the Court of Appeals to necessarily look at. The statement of facts against you is a very negative statement of facts.

HAEG: Yeah and it was the first – I mean you guys did a pretty good of 15 almost 16 pages of that. I mean isn't that – isn't that the prosecutions job to make me out to be a bad guy? (Over 15 pages of a "very negative statement of facts" in a brief that is limited to 20 pages)

OSTERMAN: Uh huh.

HAEG: I thought you said that the thing – the big enchilada of Brent Cole we're – we're hiding it in the back and goanna spring it on them later?

OSTERMAN: The very first...

HAEG: What if it doesn't get sprung on them?

OSTERMAN: Well lets see if I got a copy of something handy here real quick... Every time the Court of Appeals begins a case – it begins a case with a factual statement. These are the facts of this case. Ok?

HAEG: And look for people that - I mean is that factual basis for what's going on?

OSTERMAN: Listen the factual basis of this case is that they brought you under criminal hunting license violations instead of under civil -um- permit violations.

HAEG: Well it still would have been criminal.

OSTERMAN: No they wouldn't have been.

HAEG: Yeah they would have been.

OSTERMAN: But taking that particular position what I'm saying is the issues that we're facing are not issues of broad general constitutional applications.

HAEG: Yeah they are...

OSTERMAN: No they aren't.

HAEG: ... Ineffective assistance of counsel is – that's one of the mightiest.

OSTERMAN: And it is one of the very last ones that we talk about because there's a...

HAEG: Why don't you on -uh- the ineffective assistance of counsel put in there that Brent Cole was lying to us? And I can prove it. Wouldn't that be something to note?

OSTERMAN: No.

HAEG: Why not?

OSTERMAN: Cause all you're – you're not after the ineffective assistance of counsel claim then. You're after the public humiliation and embarrassment seg - segment of it and it won't work.

HAEG: Ok. So in other words...

OSTERMAN: The issues...

HAEG: ... your attorney lying to you is effective assistance of counsel – is that what you're saying?

OSTERMAN: No. I'm not saying that. I'm saying it's not an effective argument to the Court of Appeals.

HAEG: If I was on the Court of Appeals and this poor bastard comes strolling in and says, man I can prove that my attorney was lying to me to the tune of 50 or 60 thousand dollars or my attorneys – I would say whew boy you didn't get your moneys worth there buddy. I don't think that you might even gotten effective assistance of counsel buddy.

OSTERMAN: Guess what?

HAEG: What?

OSTERMAN: I've written a lot of things for the Court of Appeals and the Supreme Court and you're wrong.

HAEG: So have you wrote to them about attorneys lying to their clients?

OSTERMAN: I have as a matter of fact.

HAEG: And what'd they have to say about it?

OSTERMAN: 9<sup>th</sup> Circuit Court of Appeals says they don't care whether you – whether your attorneys you believe lied to you – you have proof to do it. Did he appear in court and do his job properly for further mandates his oath of off[ice] or his oath of duty? What do you say now?

HAEG: Well I would say when he's lying to you about your rights as guaranteed by law and screwed you out of them I'd be pissed.

OSTERMAN: That's what we talked about in that particular area.

HAEG: I thought I came in here and I think these two people were in here when I came in and I said that Brent Cole and Chuck Robinson screwed me over and I didn't get my right to a fair trial and I wanted to go after them because I had proof – I don't know and I was pretty adamant about it. What do you guys remember?

OSTERMAN: You hired me for the purposes of an appellate brief.

HAEG: Ok well I guess lets just go through this here and I'll show you why this aint goanna work.

OSTERMAN: Ok.

HAEG: It says here in Albrecht versus U.S. the court declared that the 4<sup>th</sup> amendment required that an information be supported by probable cause. Speaking for the court Justice Brandeis - however you want to pronounce it - explained that the probable cause requirement was satisfied when a United States attorney issued an information under his oath of office. Now over here you say that "defendant asserts that all charges against him must be dismissed because no information based upon probable case is supported by oath or affirmation as required by the federal and Alaska constitutions has been filed against him and therefore no Alaska courts has jurisdiction to try him." Do you think that -uh- assistant attorney general Scot Leaders when he took his office do you think he had to swear on oath of office?

OSTERMAN: I don't believe he did.

HAEG: Ok I'll go onto the next one here. This one here trial court – 19 trial court erred in failing to dismiss the first amended information in this case because it was supported in part by statements made by the defendant during plea negotiations that did not end in a plea agreement in violation of Rule blah – blah - blah. Well I looked back through it – Jackie looked back through it – Chuck never asked the trial court to dismiss because it was -uh- the information was given by my statements.

OSTERMAN: So the trial court erred not dismissing the first amended complaint – you're saying there's no motion on the first amended complaint.

HAEG: Yeah there's was none.

OSTERMAN: So how – where did we – were did we get that information from?

HAEG: You tell me.

OSTERMAN: Ok.

HAEG: Well I thought that was kind of strange that he didn't even file a motion and site the law and file a motion to have something done.

OSTERMAN: Well we'll look into that.

HAEG: Well I would have wished that I could have been here and helped from the beginning you know like I'd asked.

OSTERMAN: Well what - what exactly could you have done? What do you think you could've...

HAEG: Oh I don't know...

OSTERMAN: ...done? This is...

HAEG: I don't know.

OSTERMAN: This is basically about the 4<sup>th</sup> draft. And we...

HAEG: This is the first one I've had.

OSTERMAN: Well it's the 4<sup>th</sup> one in this office because we wanted to make sure that you didn't get a bunch of misspelled errors and things like that in the first go around.

HAEG: Well there's a bunch of misspelled errors in here. I don't care about misspelled errors. But this all goes back to I didn't get a fair trial. When – when – when my first attorney, Brent Cole, when I showed him that the - the search warrant affidavit had in – had misleading and false information on it and he didn't stick up his hand. He just said, "It doesn't matter." We've actually went back through and all that has huge teeth. I don't know if you know that. Monster teeth. Why didn't Brent Cole do that? Ok. He says "oh that don't matter." He says "ah opening volley Dave. Give them a 5-hour interview. Oh give them some maps to while you're at it."

OSTERMAN: Yeah. "Show them all these things."

HAEG: Yeah. "Tell them everything."

OSTERMAN: "I'm goanna forget that I - I - I'm goanna forget all about the  $5^{th}$  amendment and the fact that we don't really have a deal."

HAEG: And then it comes along and "Oh Dave oh by the way you know this deal that you've got it's goanna – you're goanna have to - you're losing your guide license for at least a year...

OSTERMAN: Mm hmm.

HAEG: And then it – everything goes along and yeah we gave up the whole year of guiding - then when we can't – we sent back all the deposits. We now are relying on this deal coming along for this. Then "oh well fly everybody in Dave for this deal you know to talk about this moose hunt. And oh - oh by the way" when we show up there "oh it's off now. There's no yeah the prosecutor's filing these other charges and there aint anything you can do about it Dave. We can't file it…"

OSTERMAN: This was (undecipherable) 2005 right?

HAEG: 2000 – I don't know when it was...

OSTERMAN: October 2004?

HAEG: Well...

OSTERMAN: Was it 04?

HAEG: ... why – why would an attorney do that?

OSTERMAN: I don't know. I can't answer that question.

HAEG: Don't you think that's ineffective assistance of counsel when he...

OSTERMAN: I told you that several times, yes.

HAEG: ... when he's telling. Why isn't that in here?

OSTERMAN: It is.

HAEG: No it aint.

OSTERMAN: Last issue.

HAEG: No it aint.

OSTERMAN: It's the last issue.

HAEG: No it aint. That – that issue has that he told me – didn't tell me that my -uh- statements could be used against me. There's nothing in here about him telling me that I couldn't -uh- file a motion. He even told me that I couldn't even tell the judge that I couldn't – that I had a deal and I'd relied upon it.

OSTERMAN: That's a malpractice issues...

HAEG: No it aint.

OSTERMAN: Not ineffective assistance issues.

HAEG: No it aint. I gave up my constitutional right to conduct my business for a year. So did Jackie. I made her - gave up her constitutional right...

OSTERMAN: Hold on for a second.

HAEG: And he pissed it away.

OSTERMAN: We're - we're ...

HAEG: We had a constitutional right to enforce that bargain.

OSTERMAN: Sure. Well you only made a bargain with him though. Cause he's the one that screwed up and never made a bargain with Leaders.

HAEG: He made a bargain with Leaders and I have it in writing.

OSTERMAN: To some degree, yeah.

HAEG: And we had – we had enough – do you know this is what makes me angry about all this...

OSTERMAN: Mm hmm.

HAEG: ... this what makes me very – very – very – very – very angry? Did you know in every case that – that has come up where the courts have -uh- have said that everyone and I mean

everyone I mean all the attorneys and the State and the prosecution is goanna honor this gentlemen's *deal* that he thought he had. When they say *thought* they say that if that thought was reasonable he's goanna get that deal. You know why? Is because people like me don't know the law and don't know things should be in writing but you guys do. And when you guys don't do your job and – and tell me a bunch of stuff "oh do this - do that - the deals coming on - yeah this is what's going on" - I don't know it should be in writing because when it goes south they can all just say "well there was no deal." What do you think happened in my case? What do you think Brent is goanna to claim? There was no deal, right? What do you think Scot Leaders goanna claim? There was no deal. But where does that put me when they've led me to believe there were all these deals and nothings in writing? It leaves me in the shit hole. And the reason why they always reverse because of what was reasonably thought by the defendant is because they know the attorneys and the prosecutors when the – when the rub comes they're goanna run and hide. Cause they don't want to be sued for malpractice. The State doesn't want to have the conviction reversed. And they know that them people are goanna be doing anything they can to save their boat and the person that's goanna pay for them people running and saving their boat is goanna be the defendant. So if that defendant can show that there was any possible way he could think that this was the deal and he started relying on it he gets his deal. Brent Cole knew that, Scot Leaders knew that, and that's why they're all banding together, and Chuck Robinson knew that, that's why they're all lying, that's why they're doing all this shit, and that's why this is goanna come up and it's goanna eat the fucking State's lunch. Its goanna eat Brent Cole's lunch. Its goanna eat Chuck Robinson's lunch. Its goanna eat everybody's lunch that stood in the way of that.

OSTERMAN: Well...

HAEG: You mark my word.

OSTERMAN: I saw a statement in the file that indicated that you – that an offered been made and the – the -uh- you had started some negotiations – the only issue that was the hang up in the deal was the fact that they wanted your airplane. And there was some discussion about going open sentencing on the deal. And before you guys had a chance to make up your mind about how the opening sentencing was goanna be Scot Leaders had amended the complaint – the information.

HAEG: How long did we have the open sentencing agree – how long Jackie was it? When did I make the open – the request for open sentencing?

JACKIE: In August.

OSTERMAN: Everything went south in October.

JACKIE: 18<sup>th</sup> - 19<sup>th</sup> – something like that.

HAEG: Well that's quit a while isn't it?

OSTERMAN: Yeah.

HAEG: November when everything went south.

OSTERMAN: Ok.

HAEG: Now that's quit a while isn't it?

OSTERMAN: It is.

HAEG: And how come Brent Cole's is saying that it was merely *days* before November 9<sup>th</sup> that I changed my mind and wanted to go open sentencing? Now isn't that interesting?

OSTERMAN: It is.

HAEG: But – but on his billing statement that we sent you it says... Now that's why I start getting upset. Is because I knew they told me what I wanted to hear.

OSTERMAN: Ok.

HAEG: And then they all got me all out here, got me to give up my whole guiding season, got me to spend all of my savings, all of my kids college funds, and then they said "fucking we gottcha!" That's what happened.

OSTERMAN: Hmm.

HAEG: And I'm fucking pissed!

OSTERMAN: I understand that.

HAEG: I don't know if you understand it.

OSTERMAN: Probably not. You're right I probably don't understand it.

HAEG: Because they did that in violation of my constitutional rights. And when you have the State and your own attorney conspiring to deprive you of constitutional rights do you think you're in deep shit?

OSTERMAN: Well the only trouble of it is – it's not goanna help you to throw sand on them.

HAEG: Why - why is that not the case?

OSTERMAN: Because you're goanna have to get out of this case on your merits and if you can't...

HAEG: Oh I think I got merit. I think I got constitutional right to effective assistance of counsel.

OSTERMAN: You do. And you did not receive effective assistance of counsel.

HAEG: And why won't that reverse my conviction?

OSTERMAN: Listen *what* Cole *said* is not the issue. What Cole *did* is always the issue. HAEG: Yeah he deni[ed] – he did – he denied me my constitutional rights to uphold that deal and he lied to me about when he found out to cover-up what was going on. And he just... And then he never even squeaked about them using all of my statements to file all these charges. Chuck Robinson could have used Brent Cole's ineffectiveness. It's so glaringly obvious that... And you may be you are correct when you told me that the Court of Appeals is goanna throw my case out if I go after Brent Cole and Chuck Robinson. You may be correct but you know what the Court of – the Alaska Court of Appeals is not my last court – my last court that I'll be seeing is it?

OSTERMAN: That's correct.

HAEG: Just – how that bear on the rest of it? Read the rest of it.

OSTERMAN: Ok. "The statement of the law is always true. The statements inadmissible if made at any point during the discussions in which the defendant seeks to obtain concessions from the government in return for plea, plea bargaining is to be evaluated according to the standard of 'caveat prosecutor'.

HAEG: And what's that mean?

OSTERMAN: Caveat means beware. So beware Mr. Prosecutor. If you offer a deal you better beware.

HAEG: And what – so like when I was offered a deal why didn't he have to beware? Or why didn't he ever get his tit caught in a wringer?

OSTERMAN: Well that's what happens. See that's where Cole's ineffective assistance comes to play. Cole didn't jump on the deal with all four feet the day before trial. Robinson should have jumped on the deal with – I mean he should have come down...

HAEG: So why isn't Robinson's name come in there?

OSTERMAN: Cause why would Robinson be in here? Cole's the one who's negotiating ...

HAEG: Thought you said he should have jumped on it with all four feet?

OSTERMAN: He should have but we're talking about ineffective assistance of counsel not your immunity.

HAEG: Well if Brent, who was ineffective, - ok you answer me this one. If Brent was ineffective and Chuck Robinson never utilized that – isn't Chuck Robinson every bit as much as ineffective as Brent Cole and probably more so?

OSTERMAN: To some extent yes.

HAEG: Well why isn't that in there?

OSTERMAN: Well first of all...

HAEG: Why'd we just go – I told you I wanted to go in there – I just wanted to krrrr – lay down on the light – on the quad 50's and just nuke them.

OSTERMAN: Well why don't you just let me do my job? Thought you wanted to win.

HAEG: Well...

OSTERMAN: I could burn rubber – if you want to smoke – if you want to set things on fire.

HAEG: (laughs)

OSTERMAN: I can do that.

STOUMBAUGH: Is this goanna work?

OSTERMAN: I think it will. I do.

STOUMBAUGH: What are the odds?

OSTERMAN: Good.

#### STOUMBAUGH: 55%?

OSTERMAN: Well I'm not an odds maker. Hell if I were odds maker I wouldn't be here would I? The -uh- well the fact of the matter is – is that's the reason that we took it is because we thought there was some merit to it. Second we took it because it was a Robinson washout and I knew enough of the tales of this particular case and the tales being – not stories being told but the – having heard about this case going on from a lot of other people. -Uh- and I heard Chuck Robinson once stated he really was f-ing pissed that this case had gone the way it had gone. And he blamed Scot Leaders for a lot of bullshit.

HAEG: Well -um- I guess what I keep coming back to is – Jackie how much law have we found that just says that we've been screwed from point A to point Z?

JACKIE: A lot. I mean...

HAEG: Reams of it.

JACKIE: ... we were on Westlaw for two weeks.

OSTERMAN: Yeah.

HAEG: Now why is that and how come we don't utilize that stuff in here?

OSTERMAN: We – we have utilized that stuff.

HAEG: No you haven't.

OSTERMAN: We're not goanna type search terms and push the button and take the first thing that comes along. One of the things that happens in any case – remember I sent you that case – said "this case is an important case – this case is on all fours"?

HAEG: Yeah and...

OSTERMAN: On the effective assistance of counsel ...

HAEG: Yeah.

OSTERMAN: The 2<sup>nd</sup> circuit had reversed the case.

HAEG: Yeah. They hadn't though. It never was reversed.

OSTERMAN: It was – it was affirmed for other reasons.

HAEG: Yeah I mean wouldn't it make sense to utilize one of the *hundreds* that we've found where they *reversed* the decision then to use *one* that it wasn't reversed because of that? But another thing that kind of made me – I - you know - I don't know -um- you know to me it

looked like you know your – I mean to me I sent you the - the stuff that I wanted in or why I was so pissed about Brent Cole and Chuck Robinson.

OSTERMAN: And you're interested...

HAEG: And not hardly any of that - I mean none of it is in here. And I can't be that mistaken. I'm a very – very intelligent person. I read like the freaking wind. You know how much material I've been through?

OSTERMAN: Mm hmm. I understand.

HAEG: You don't think that any of that would rub off on me?

OSTERMAN: I think it would.

HAEG: But...

OSTERMAN: At the same time – here's the realization Dave. In spite of all that you've read - most of which deals with malpractice – not ineffective assistance. Different things. They are a *much* different things.

HAEG: How come there are always tied lied like this?

OSTERMAN: They're tied in the civil context like this. They're not tied that in the criminal context.

HAEG: How come every criminal malpractice claim had to have an ineffective assistance of counsel before it could happen?

### OSTERMAN: True.

HAEG: So – ok because you know that don't you think that every criminal malpractice claim is something very important to look at?

OSTERMAN: Let me give you an example. Ineffective assistance of counsel is I don't call your wife as a witness, I – we leave her at home, we don't give her a subpoena, we don't invite her to come to the trial, she doesn't testify but she's the one who established your alibi – your whereabouts. If I don't call her is that a tactic error or is that a technical error?

HAEG: I don't know.

OSTERMAN: To me it's a fatal error. Ok. Any attorney who doesn't listen to his client's witnesses is a damn fool. So if you tell me here's 15 names. I'm subpoenaing 15 names. Ok. I'm goanna send out subpoenas. Why...

HAEG: What happens if they don't show up?

OSTERMAN: Then I go to the Court and say, "here is the subpoena Your Honor. I want this person arrested now..."

HAEG: Then why didn't – why didn't Chuck Robinson do that – for when Brent Cole never showed up?

OSTERMAN: I can't answer for Chuck Robinson.

HAEG: Ok.

OSTERMAN: I'm not here to speak for him.

HAEG: Ok.

OSTERMAN: In this particular case you did testify at trial.

HAEG: And I'm curious about why Chuck had me testify at this point.

OSTERMAN: I don't know why Chuck had you testify. I would not have permitted it certainly.

HAEG: Oh Brent Cole means a lot to him.

OSTERMAN: No. Chuck has been around far too long number one. He's the old he wolf out there. He runs things around here basically is what he - you get that impression anyway. When he speaks people – listen – he heads up the criminal section of the local Bar, his wife's a former head clerk of the court for the Supreme Court, Chuck's got a lot of political pull, he's received awards for his dedication in defense work. Would I believe Chuck to do it absent some other evidence – no I would not believe it. I would not tend to believe that Chuck would do that.

HAEG: I wouldn't tend to believe it otherwise but I watched my case go from bad to worse – to worse – to worse and finally old Dave Haeg said "I'm goanna see what the fucks going on" – pardon my French.

OSTERMAN: In my opinion Chuck made a tactical error in putting you on the stand. I would have told you not to take the stand.

HAEG: Do you know that before trial – do you know – there is so many things that Chuck Robinson has done wrong that I'm digging up now.

OSTERMAN: Ok. So Chuck's screwed. The point being *Cole* – there's no ineffective assistance claim – or there's nothing in there that will keep you from not suing Cole. Cole was not your trial attorney – he did not cause the [d] – cause the downfall. But Cole did cause...

HAEG: He helped and Chuck never – Chuck – Brent was the beginning of the landslide and Chuck's job was to stop the landslide and all Chuck did was jump on ride the landslide.

OSTERMAN: Cause he thought he could control it.

HAEG: Well not when my constitutional rights and my ability to provide – provide for my family. I'm not goanna let him just jump onto the landslide.

OSTERMAN: Do you think that Chuck could stand in front of a jury and point a finger at Cole?

HAEG: I sure could. If I can why can't Chuck?

OSTERMAN: Not relevant.

HAEG: Why not?

OSTERMAN: Cause that's – irrelevant to a jury – to your jury. He couldn't do that in front of a jury.

HAEG: He doesn't do it in front of a jury – he just – he should have brought it to the – the judge...

OSTERMAN: That's what I said. He thought he could control a landslide. Chuck – Chuck...

HAEG: What is the reason legitimate reason? There is none. Well my attorney that I paid thousands of dollars for comes and tells me "you got screwed and that's the way it is" and it was his job to not let me get screwed.

OSTERMAN: Ok. So the documents contained here you have a lot of trouble with the first two. You have a little trouble with the 3<sup>rd</sup> and you don't think that the 4<sup>th</sup> and 5<sup>th</sup> are tight enough.

HAEG: I didn't get a fair – this whole system. This whole and I guess I'll bring it up because I already brought it up. -Um- would you classify Dale Dolifka as an intelligent man?

OSTERMAN: Fairly - yeah.

HAEG: Would you classify him as an honest man?

OSTERMAN: Yes to the best of his ability. Yeah. I know Dale thinks you got screwed. Dale's not in my business anymore.

HAEG: Then when Dale Dolifka and everybody else comes along... You know what I think has happened here? I think these attorneys thought that I wasn't smart enough and I think it was a big case and I think that the prosecution kind of made it known it was goanna be a big case and they wanted to kind of have their way with me and that anybody standing in their way would not be on the best of terms with the prosecution ever again. And I think what happened is everybody just kind of fell I the line – all except me.

OSTERMAN: Mistake yeah you won't do that again will yah?

HAEG: Well you know what? Before that you know people on the Alaska Board of Game were telling me "David you end up shooting wolves outside the area you just make sure you say they were inside."

OSTERMAN: I don't doubt it. Of course I'm just a (undecipherable)...

HAEG: ...Brent Cole and Chuck Robinson had no input in this?

OSTERMAN: No.

HAEG: So you mean to tell me that Brent Cole or Chuck Robinson never called you to have any input? And you never called them?

OSTERMAN: No. We called – we called Robinson's office to get information about things that were missing in their file.

HAEG: Ok.

OSTERMAN: That's it.

HAEG: That's it? So when you wrote this – because to me this looks like something that was written to keep Brent Cole and Chuck Robinson out of trouble.

OSTERMAN: No.

HAEG: And you said you didn't write this – so who wrote it?

OSTERMAN: That was actually – the writing of the – the document was done by Joel. He's an attorney that works out of this office. He works 2 doors down.

HAEG: So there's no chance of Chuck Robinson and Brent Cole talking to him without you knowing about it?

OSTERMAN: No. We *will* be tuning this brief up.

HAEG: Well see this is what kills me – is I came in here and told you that I'd been – I felt that I'd been screwed by two attorneys and I've done all this research and I had all this evidence and case law...and I don't see any of that in here and if – if now you're saying you want my input in here well I've...

OSTERMAN: I wanted to hear...

HAEG: ... given you from the beginning my input.

OSTERMAN: The Strickland test is the test that every - every court goes to - to function on ineffective assistance. There is not an ineffective assistance case that does not focus on Strickland. And the first thing Strickland says is you have to look at the overall totality of the circumstances or totality of the performance by the attorney as contrasted against...

HAEG: Or attorneys...

OSTERMAN: ...or attorneys as contrasted against what a normal routine attorney would do.

HAEG: It's so vastly different. It's unbelievable what would happen.

OSTERMAN: I cannot input ineffective assistance to Chuck Robinson.

HAEG: (exasperated laugh) Why not?

OSTERMAN: Cause it's not his performance.

HAEG: You want to bet?

OSTERMAN: It is not his performance. His failure to act against Cole is

malpractice.

HAEG: Isn't that equated?

OSTERMAN: No.

HAEG: Chuck has an obligation to utilize all legal defenses for me, correct? That'll help

me?

OSTERMAN: No.

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HAEG: Ok.

OSTERMAN: He's obligated to the standards of an attorney under the circumstances. Under the circumstances how many attorneys would jump up and point the finger at Cole and say "ineffective assistance"?

HAEG: Every attorney.

OSTERMAN: See he can't do anything. If he stands... Look Cole...

HAEG: Anytime he realized it he should have said it. And what got... What's goanna come back and eat Chuck's lunch is when he said he had no obligation to utilize any of that. And I believe both of you were there when he said that with two tape recorders running.

OSTERMAN: See I don't think he has an obligation.

HAEG: He has every obligation to tell me the defenses I have. And he deliberately mislead me and didn't tell me and then when I brought it up he says "oh well I don't have to tell you that" and "an attorney has no obligation to tell the client the truth about the law". He actually told me that.

OSTERMAN: I think that's a little too far fetched.

HAEG: Well I'm thinking that's real far fetched. And then when he -uh- anyway you know and then when I [subpoena] Brent Cole to be there to testify that I had done all this stuff for this rule 11 agreement...

OSTERMAN: Mm hmm. He doesn't show.

HAEG: And Chuck Robinson tells him he doesn't have to show.

OSTERMAN: Mm hmm.

HAEG: Is that my right or is that your right?

OSTERMAN: Bear with me – it's not an issue in this appeal.

OSTERMAN: He didn't tell you that whatever you said would be admissible?

HAEG: Well and truth be told they couldn't have been used against me according to Evidence Rule 410.

OSTERMAN: Yeah. But they were.

HAEG: So I don't even see why he would have had advise me one way or the other because he would have known that they...

OSTERMAN: But they used them against you.

HAEG: I know but doesn't that just - doesn't the - the - the mass of snow coming down just keep getting bigger especially when he tells me he can't do anything about it?

OSTERMAN: I'm looking at your case and saying, "my god these 2 damn things collided – I've never seen anything like this before." I've never have. This is the strangest damn case I've ever seen. I mean talk about a pile up here. This is a pile up man. Ok. This collision occurred. Wasn't on my watch. I'm standing there going what the hell happened here?

HAEG: I asked them day one Jackie and I were in there I says, "the information or the search warrant affidavits had information that says all the funny stuff occurred in the same unit as my lodge and they have a map and coordinates and they don't jive." Well don't you think that was intentional of that Trooper? Don't you think a Trooper should know – you think a Trooper should know if he busts you for speeding here – do you think that he should say that he busted you on the Kenai Peninsula and not in the MatSu Valley?

OSTERMAN: Mm hmm.

HAEG: Yeah but don't you think that when you get a search warrant affidavit – search warrant and you have an affidavit that has to be sworn to under penalty of perjury. Correct to get a search warrant? You got to go before a judge and you have to pretty much say yeah this is the truth the whole truth and nothing but the truth. And there's some of the stuff on there that aint the truth and it could be an innocent mistake but there were many other areas where he could have put on there that were closer to where this actually happened but the one he happens to pick is where my lodge is. Don't you think that that's getting out there - don't you think that should have been looked at?

OSTERMAN: Again I can't tell you about the tactics of other lawyers. I can only tell you what my tactics are.

HAEG: What would have been the – what would have been the advantage of attacking that for

me?

OSTERMAN: -Um- it might have possibly eliminated evidence.

HAEG: (laughs) yeah.

OSTERMAN: Ok.

HAEG: Eliminated – almost all of it would have been eliminated. They would have been

left with a couple of red spots in the snow...

OSTERMAN: Most likelihood you would have been

reversed. Most likelihood you would have won on a motion.

And how do I know this? I've tried this it in front of that very

judge.

HAEG: Don't you think it would have been nice to have that bullet in our pockets?

OSTERMAN: It's not ineffective assistance of counsel claim. You

didn't bring the motion. There's nothing the Court of Appeals can do. You didn't raise the

objection during the trial court level and they won't hear it.

HAEG: Don't you think that that shows Brent Cole's ineffectiveness right there?

OSTERMAN: No. It would show his ineffectiveness in a malpractice suit.

HAEG: So why wouldn't... So what you're saying is Brent Cole didn't object to that

because he had some tactic?

OSTERMAN: He might have had some tactical reason that he did not share with you or

share with me.

HAEG: And is begging for mercy from the prosecutor not pissing off the prosecutor? As

he told all of us or me and her and a bunch... Is that a legitimate tactic?

OSTERMAN: I'm sorry?

HAEG: Is not pissing off Leaders a tactic?

OSTERMAN: Yeah.

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HAEG: How come I have Supreme Court decisions that say anytime a [pro] a defense attorney basically hands the prosecution the defendant on a platter there are - that's gross ineffective assistance of counsel?

OSTERMAN: First of all too late in the day to be setting traps. Ok. So go set traps with wolves – don't use me. Ok cause that...

HAEG: Ok.

OSTERMAN: ...the situation is – is it a legitimate tactic? Yes it is...

HAEG: It can't be...

OSTERMAN: It is a legitimate tactic.

HAEG: It can't be. Because why wouldn't everyone of you then...

OSTERMAN: Stop.

HAEG: ...just say... Why wouldn't every attorney...

OSTERMAN: Stop and listen Dave.

HAEG: ...feeds - feed ...

OSTERMAN: Stop and listen.

HAEG: ...their clients into the prosecution?

STOUMBAUGH: Stop.

OSTERMAN: Stop and listen.

HAEG: And I'm not trying to trap you.

OSTERMAN: It is and you tried. Stop and listen for a sec...

HAEG: Not on this one.

OSTERMAN: Yeah. See when you combine...

HAEG: (laughs) no.

OSTERMAN: ...you combine what else he did then it is not a tactic and I agree with you. It is absolute evidence that there's ineffective assistance claim.

HAEG: So and like you said earlier why don't...

OSTERMAN: By itself...

HAEG: ... you said it's cumulative. So why don't you add in all the cumulative things? It's a nail in the coffin. Like essentially what the Supreme Court said is that rarely do you nail your attorney in the coffin of ineffective assistance of counsel with one nail.

OSTERMAN: That's right.

HAEG: That's what their saying. It's cumulative. But you have – you have this big handful of 8-penny nails.

OSTERMAN: By itself...

HAEG: Do you just use one?

OSTERMAN: By itself...

HAEG: No I just go all around and I nail him in there.

OSTERMAN: That's right but by itself...

HAEG: And that is one of them. So why isn't that brought up?

OSTERMAN: By itself it is a tactic. Ok. By itself.

HAEG: But you just said it's not a tactic when it is shown with everything else.

OSTERMAN: No by itself it cannot be tac[tic] – by itself it can be a tactic. Ok. But with everything else it is not a tactic.

HAEG: Can I ask you this question? What is subject matter jurisdiction?

OSTERMAN: Subject matter jurisdiction is a matter that specifically set forward by its statute. That says you have jurisdiction in this case because. So let me put it – so a judge – a crime committed -uh- burglary is a felony. A felony is subject matter jurisdiction to a Superior Court.

HAEG: But not to a District Court.

OSTERMAN: Correct.

HAEG: Ok. But mine wasn't a felony so it...

OSTERMAN: a misdemeanor...

HAEG: ... was subject to...

OSTERMAN: Subject to the District Court...

HAEG: So they had subject matter jurisdiction?

OSTERMAN: Right if a crime happen within the confines of the State, within a venue district...

HAEG: So why is that first one in there then?

OSTERMAN: Because they didn't have subject matter jurisdiction.

HAEG: Why didn't they?

OSTERMAN: Because they didn't have the right to the subject matter. You should have been charged with the permit not with a hunting violation.

HAEG: You know the last time it was thrown out on that was in 1909 and it was called Saltzer – well just Saltzer.

OSTERMAN: Mm hmm.

HAEG: It was the last time that ever worked...

OSTERMAN: Mm hmm.

HAEG: How come it hasn't worked since?

OSTERMAN: Nobody has been careful enough to do their dam paperwork correct.

HAEG: (laughs) no not a chance. There's hundreds of cases. It's all been determined that it was -uh- -um- harmless error because it wouldn't have mattered one way or the other if it would have been sworn to or not sworn to. If it would have been sworn to the same thing would have happened. Unsworn same thing.

OSTERMAN: Courts jurisdiction is only given by statutes. Ok anything else you want to talk about?

HAEG: I guess not. -Um- I just you know like I pointed out there's some pretty major problems with that. Your brief or whatever and that if you're goanna go after Brent Cole for ineffective assistance of counsel shouldn't you just nail ever nail in the coffin all the way

around? Rather then just picking one – probably not even a very big one? I mean I think him lying to me about my rights to enforce that agreement, lying to me and everybody else,...

OSTERMAN: What's that get?

HAEG: ...and – and lying... It shows that he's got a conflict of interest. Why else would an attorney lie to a client? Just tell me that.

OSTERMAN: I can think of a number reasons why they would.

HAEG: Would all of them include a conflict of interest?

OSTERMAN: No.

HAEG: And why not?

OSTERMAN: People lie for any reason not just for a conflict. Lawyers no better then anybody else. When they lie they lie. They lie because they didn't do something they were supposed to do,...

HAEG: Don't...

OSTERMAN: ... they lie because they took money they shouldn't have taken, they lie cause they're trying to hide some deficiency on their behalf...

HAEG: Exactly...

OSTERMAN: I can't tell you why they lie.

HAEG: Isn't that... Everyone of them isn't he... When you lie isn't it so that you end up with a better outcome yourself?

OSTERMAN: That I come in with a better outlook – or better outcome?

HAEG: Yeah – every person that lies - isn't normally the lie, in whatever fashion; doesn't it always come back to because it's a benefit to the person who is lying?

OSTERMAN: More then likely, yeah. Or a benefit to some other person or even the third party.

HAEG: Yeah a third party that will in turn will benefit...

OSTERMAN: Mm hmm...

HAEG: ... onto the person that's lying. It might not directly be beneficial to them but eventually it will get there. Right? Well isn't my benefit supposed to come first over the attorneys when I hire him?

OSTERMAN: Not necessarily no.

HAEG: Ok well I guess you've told me what I want to hear.

OSTERMAN: They're only out for their own benefits.

HAEG: Ok now I like this. This is a good – good portion...

OSTERMAN: You think about it for a second. Weidner, McCommas, Robinson – now you said Cole's a big hotshot in guide work ok. Me I'm nobody. Ok. And I'm probably nobody for all the reasons...By the way when was the last time one of these hotshot lawyers sent you back money or cut your bill?

HAEG: Brent Cole did and you did.

OSTERMAN: Mm hmm.

HAEG: -Um- I'm thinking Brent Cole's goanna cut me a lot more money back.

OSTERMAN: Before it's all over with probably.

HAEG: And Chuck Robinson is to.

OSTERMAN: When you look at these attorneys you ask yourself a question – did Jim McCommas really want to help me in my case or did Jim McCommas really want to take my money?

HAEG: Yeah but if you look at it - just look at it the way the truth is. Brent Cole was protecting his relationship with the prosecutor. If anybody says any differently their crazy.

OSTERMAN: I would - don't disagree with you the slightest bit...

HAEG: And then if he's lying to me to protect that relationship so we don't go and force... Do you think it would have angered Leaders to be sworn under and have all of us throwing questions at him that he had to answer under oath about that rule 11 agreement? Think it would have pissed him off?

OSTERMAN: Probably. So anyway I'm not here to defend him. I'm not here to defend other attorneys. I'm here to write a brief on your behalf. You tell me that mine's lacking. We'll get to work on it, we'll get you another copy, we'll schedule a date to get you in and hear from you

about this particular... Cause we're goanna get right to it. We're goanna get right to it and we're

goanna get this brief out to your satisfaction and to my satisfaction. Deal?

HAEG: Well I'll have to think about it.

OSTERMAN: Ok.

HAEG: I'll -uh- ...

OSTERMAN: Fire me at this late game – late date in the game?

HAEG: I'm goanna think about it.

OSTERMAN:

Ok. Court of Appeals will never give you another

extension. Tell you that right up front.

HAEG: Ok.

OSTERMAN: Ok. They won't.

Phone Conversation 5/19/06

Between Dave Haeg & Mark Osterman

Mr. Haeg: Ok well I'm you know like I said I told you I was just goanna think about things -um-

I've just been looking back through my notes and -um- you know it just appears to me like your

attitude has changed over when I first you know started talking to you about you know you you

know so I'm – I just – I don't want you to get too excited about anything we'll keep looking at

what's going on and I guess get back to you on Monday but -um- you know I don't think that you

need to get too excited about anything.

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**Mr. Osterman**: What does that mean?

Mr. Haeg: Well I looked back through my notes and when I came into you I told you what

Chuck Robinson and Brent Cole had done and you had agreed totally with it, said it was a big

disaster, and you couldn't believe Chuck Robinson didn't go right after Cole, and what Cole did

with lying to me and all that stuff, and now none of that's in the brief and you know like I told

you I'm kind of suspicious about it. To me it seems like you had good intentions to begin with

and then as time went on you switched focus and ...

Mr. Osterman: You're concerned that I'm just not spitting fire like I was the first couple of

times we met?

Mr. Haeg: Well and you know part of it is I've been burned before and I'd rather just go on my

own then to be worried about whose with me is you know protecting the other attorneys. We

went you know I just – that brief that you have is absolutely useless and when I first talked to

you – you were like "the sell out that happened was just horrendous. The Court of Appeals is

just goanna just freak out" and then you write this brief and you even said that Chuck Robinson's

statements were or his points of appeal were no good and you didn't like them. Well here your

brief comes and it has nothing but Chuck Robinson's things that I showed you are worthless and

told you and sent you all the stuff. And then ineffectiveness thing about Brent has one very

weak point that probably isn't goanna be upheld and has nothing in there about him lying to me,

about not sticking up for the Rule 11 Agreement, none of that, none of the year I gave up, none

of the important stuff's in there. What would you think?

Mr. Osterman: Well hang on a second now

**Mr. Haeg**: What would you think?

**Mr. Osterman**: Well hang on a second, Dave.

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Mr. Haeg: Yeah I mean just tell me what you would think.

Mr. Osterman: Before you work yourself up into frenzy – what I think ...

Mr. Haeg: No I'm not working – I'm totally calm, cool, and collected.

Mr. Osterman: Ok. So what I think is not important. What's at issue here is what is the Court of Appeals going to think. That's the issue.

**Mr. Haeg**: You don't think – you don't think that you prove that your attorneys lying to you is important?

Mr. Osterman: Well bear with me for a second. You just twisted that handle. Don't do that.

**Mr. Haeg**: What do you mean twisted that handle?

Mr. Osterman: Well you just – you just had twisted the entire argument. You said, "I gave up a year of being a guide don't you think that that's important?"

**Mr. Haeg**: No I said that and the other stuff is important.

**Mr. Osterman**: They could give a shit less. Ok?

**Mr. Haeg**: Really you think so huh?

**Mr. Osterman**: This is not an equity argument, this is a legal argument. You're looking at binding legal president.

Mr. Haeg: Yep ...

Mr. Osterman: (inaudible-talking over each other) problem here.

Mr. Haeg: You ever heard of a thing called Detrimental Reliance?

Mr. Osterman: No, Detrimental Reliance occurs in contracts.

Mr. Haeg: Do you know that when you put Detrimental Reliance on a criminal plea Rule 11 Agreement it must be upheld?

Mr. Osterman: No kidding. That's exactly correct Dave. You're absolutely right.

Mr. Haeg: Why isn't there anything like that in your brief?

Mr. Osterman: Primarily because as I said before we were giving you a

draft to see how these issues were goanna work with you.

Mr. Haeg: Yep and I sent you all the information that we had and you had read it the first time

you came out of the gate all fat and sassy and telling me what I wanted to hear and then as time

went on you ended up in a position ...

Mr. Osterman: Are you accusing me Dave - are you accusing me of - of -um- protecting other

attorneys and not doing the job for you, is that what your accusing me of?

Mr. Haeg: It sure looks like it.

Mr. Osterman: Ok now you gotta tell me what action it is that you think I've taken that has

caused that.

Mr. Haeg: Well telling me all the things that I had found and that you agreed with me right off

the bat, were all excited about it – I mean you were just – you were just freaked – you were like

"I can't believe that Brent Cole sold you out and Chuck Robinson didn't do anything about it - it's

unbelievable". Those -

Mr. Osterman: Right.

Mr. Haeg: those are pretty close to your words. Well where is that in my brief?

Mr. Osterman: Well hang on a second now. That's right but I had not ...

**Mr. Haeg**: Where is that?

Mr. Osterman: Hold on a second Dave ...

**Mr. Haeg**: Where'd it go?

**Mr. Osterman**: Wow Dave it didn't get in there did it?

Mr. Haeg: It sure didn't.

**Mr. Osterman**: Well why do you think that is?

Mr. Haeg: Cause I think if it was in there old Brent Cole and Chuck Robinson they'd be -uh-flipping hamburgers after they got out of the \*\*\*\*\* Federal pen.

Mr. Osterman: Well I got news for you that aint goanna happen here, you're not goanna get that to happen here, and I'm not goanna get that to happen here.

**Mr. Haeg**: Well you don't know me very well do you?

Mr. Osterman: If — if the things you had told me had been true, ok? Or that I could sufficiently document to raise as an issue we would do so. But a lot of the things that you neglected to tell me, including the fact that you testified at trial and confessed to an awful lot of the criminal activity, ok is a serious problem here. We did go back in and we did find that there may be a reference to a ca[se]— to a -um- the -uh- Courts advisement of your rights that may becoming a big issue and I'm — I'm trying to find that issue. We're also missing I think a tape and we need to verify that missing tape with you because there is an important Appellate issue were the Courts required to advise you that you have a right to testify or not to testify and that the entire right is yours and I can't make you, and defense attorneys can't make you, and prosecutors can't make you, and judges cannot make you testify. And that rule must be read or the case is automatically reversible.

Mr. Haeg: you know I remember the Judge telling me that.

Mr. Osterman: Well you didn't the other day in here. You remember – now I can tell you that the Judge said she was goanna talk to you later in the case and we've found a vague reference to something...

Mr. Haeg: Well it don't matter I think - you know it you know now old Weidner saying the same shit as you and Chuck Robinson had me testify because he said "Brent Cole \*\*\*\*\* you when you went in and talked about it". Nobody told me they could have kept all that out. Chucks like you're \*\*\*\*\* screwed get up there and testify you piece of shit. And I went up and testified —anobody told me about Evidence Rule 410.

Mr. Osterman: Now I know...

Mr. Haeg: They all hid that shit from me - so are you.

Mr. Osterman: Well no I'm not.

Mr. Haeg: I'm \*\*\*\*\* pissed.

Mr. Osterman: I'm – I'm goanna tell you that the Court of Appeals is goanna say "he's in the case – he's in the case" because they're not goanna give you anymore time to file a brief.

Mr. Haeg: And I've had people in – you've noticed that every time I come in I have people there to listen so that I'm going

Mr. Osterman: You have a tape recorder in your pocket.

Mr. Haeg: They all – they all say the same thing – we go back through and I said what's going here – what's going on? And everybody – because I've been through this shit enough now. And it just keeps – and even you admitted you said, "I'm goanna go after these attorneys but I sure don't like it – I don't like going after attorneys". Well wouldn't it be better to have somebody

"boy I like going after attorneys", if that's what needed to be done? If you hired me to take you hunting which is what I used to do wouldn't you like somebody that likes to go hunting? Would you – would you hire me if I said, "you know what I really hate hunting. I'll do it if I absolutely have too". Is that who you'd hire?

Mr. Osterman: Hunting what - hunting people or hunting bears? Taking away and depriving people of their livelihoods is that what you enjoy? Are you so crass that that's what you believe? That's what you're asking me in essence to do is you're asking me to go on and interfere with another mans livelihood so I hesitate, I don't think it's the same as hunting a deer out in the woods.

Mr. Haeg: Mark Osterman what

Mr. Osterman: Come to think it's a (inaudible-talking over each other)

Mr. Haeg: what has all - all them attorneys that I showed you what they did what have they been doing to me? They've been hunting me. Exactly ...

 $\underline{Mr. Osterman}$ : No they have  $\underline{not}$  been hunting you.

Mr. Haeg: Want to bet?

Mr. Osterman: By some act of negligence or carelessness they've caused you harm. And granted they should pay for the act of

carelessness or negligence but those people are not out there with a gun trying to shoot you like you're trying to shoot them. As I said before ...

Mr. Haeg: No they've only put so much pressure on me that my wife takes tranquilizers and for every tranquilizer she takes I'll put a bullet in them not through the law but with the Law.

Mr. Osterman: Bear with me for a second. That is going to make me hesitate when I do that – hesitate yes, hesitate to be reflected yes ...

Mr. Haeg: you know what I don't understand Mark is I sent you information that you looked at and you said laid out exactly where the problem was – where – where did any of that information go in that brief?

Mr. Osterman: Ok so now you know the position that I'm in – I keep telling you that – the trouble is sir is that you haven't listened to me about much of anything. You want to tell me how smart you are. I've got news sir I'm not the one seeking a criminal appeal. So don't hand me how smart you are. Hand me how good you and I can cooperate and work together. That is a smart thing to do. That will be to your benefit. But firing me is not goanna be to your benefit. You're goanna be written off as some kind of weirdo kook – you think about that one for a minute and if you don't think it's true I can site you chapter and verse on that issue out of the Court of Appeals and the Supreme Court. Pro se's don't <u>survive</u> – pro se's <u>seldom</u> win.

Mr. Haeg: Well I'd rather go in on my own without thinking that people are out to get me and maybe I'm suspicious but do you know what -

**Mr. Osterman**: I won't think about it – you're dead wrong.

Mr. Haeg: Huh?

Mr. Osterman: You're dead wrong. I've met people 10 times smarter or better than you that persevered under the worst of conditions.

Mr. Haeg: Ok. That's good.

**Mr. Osterman**: No sir the fact of the matter is – is that – that is just the opposite is true. You're not being very intelligent.

Mr. Haeg: Ok.

Mr. Osterman: When the paranoia that you're experiencing can be solved with medication.

Mr. Haeg: Is that what you suggest – do you think I should be on ...

Mr. Osterman: (inaudible-talking over each other) I've got news for you

Mr. Haeg: hey

Mr. Osterman: there's nobody out to get you.

**Mr. Haeg**: Why did you say that they were, at the beginning then?

**Mr. Osterman**: Your attorneys committed – I did not say they

were out to get you - I said they screwed you. There's a difference. You

think these people are hiding in dark corners ...

**Mr. Haeg**: Then why is none of that in my brief now?

<u>Mr. Osterman</u>: You think these people are hiding in dark corners to do you harm.

Mr. Haeg: Well wouldn't that \*\*\*\*\* make you suspicious?

Mr. Osterman: Well you're not dealing with what I consider to be the highest intelligence level in the Universe.

**Mr. Haeg**: Wouldn't anybody intelligent make that – wouldn't that make them suspicious?

Mr. Osterman: Well it ought to make everybody in the world suspicious but as I said you got under(stand) you know

**Mr. Haeg**: So your – you think I'm a kook?

**Mr. Osterman**: No I'm telling you everybody else is goanna think you're one.

Mr. Haeg: Well I guess I'd rather go out a kook when I go to US Supreme Court and show them that Brent Cole did nothing but sabotage my whole case and then Chuck Robinson jumped in and was goanna do a <u>valiant</u> effort. Well it's hard to do a <u>valiant</u> effort when your fighter, your man your advocating for they chopped both his legs off already. It's hard for him to win.

**Mr. Osterman**: I understand.

Mr. Haeg: Well you don't understand. Do you know that in the US Supreme Court they said that it's supposed to be a fight not maybe equal but neither is it the sacrifice of unarmed prisoners to gladiators and what the \*\*\*\* happened to me? They took every defense; they took all my money; they took all my weapons - and I'm goanna go - Brent Cole said that false information on a search warrant didn't matter, Brent Cole says give them a 5-hour interview nothing in writing-nothing, give up a whole year of your life, wipe out your kids college funds and everything, fly in everybody for this moose thing, oh they - they've changed the charges, used all your - your statement against you to file all these charges, oh it don't matter, you go to trial now that you're \*\*\*\*\*\* screwed, defenseless, and penniless. That is not Constitutionally Right. You know it, I know it, and I don't care what the \*\*\*\* you say that you know it's going in there.

I thought you were my man, in my corner, when I called you and you said, "oh man it's so bad the sell out" – you said the sell out is the worse thing you'd ever seen. Well then you pick out one little portion of what the sellout was and water it down and put it in there at the last. –Do you know that I've got -uh- you still there?

Mr. Osterman: Yeah.

Mr. Haeg: Do you know that we've actually got West Law, we signed up to West Law. Now that's pretty dedicated. Do you think that was a smart move?

<u>Mr. Osterman</u>: I don't - I don't necessarily - I think there are better services then West Law, for the price.

Mr. Haeg: Ok well so anyway. We print off how to write a brief. You say "oh we want to hide your main issue until the reply brief". It tells us that you — first impressions are the utmost importance, utmost — do you know what that word means — utmost?

Mr. Osterman: Yes.

Mr. Haeg: Well it says how to write a good brief the utmost importance is first impressions. Do you throw in there what you have first in the brief that's absolutely useless – that the subject matter jurisdiction? We even looked up subject matter jurisdiction. You guys are blowing so much smoke it's not even funny. You're goanna go in there, the Court of Appeals would look at it and they'd go oh this guys \*\*\*\*\*. They won't even get to the Ineffective Assistance of Counsel because that's – they've already got their impressions made. I'm goanna go in there and you said to write a brief that grabs them by the balls. Well when they get this brief they're goanna look at it and you know what I don't even really care if they throw it out because it will

be on the record and when I get to the US Supreme Court they're goanna \*\*\*\*\* sit up and go holy \*\*\*\* what are these attorneys doing to citizens that don't know the law in Alaska? They're goanna \*\*\*\*\* freak, they're goanna send up the aircraft carriers, the destroyers, the tanks, and clean out this nest of \*\*\*\*\*\* lawyers and Department of Law. They are \*\*\*\*\*\* breaking the goddamn citizens Constitutional Rights for Effective Assistance of Counsel and for a fair trial because you know it, I know it with Murphy and Leaders and my own \*\*\*\*\* attorneys working against me how do you get a fair trial? You don't. You end up getting screwed. What happened to me? I got screwed. I'm smart, I'm tough, if it could \*\*\*\*\* happen to me Mark Osterman it would have happened to virtually everybody. No one would come out of it like I did and persevere and figure out the law like I did, but I did. My whole – my whole life I grew up on correspondence, I graduated with a 4.0 grade average, standing scholarships to Stanford, Harvard, and Yale. I can \*\*\*\*\* get the letters for you if you want. I'm not smart – I aint been to college but I \*\*\*\*\*\* read and I understand what I read and that's all you goddamn need. I don't care what you guys interpret. I'm \*\*\*\*\*\* pissed. You guys water everything down and I'll tell you what - you guys better be \*\*\*\*\*\* scared man because when this shit \*\*\*\*\*\* hits the fan there's goanna be some shit \*\*\*\*\*\* flying I'll tell you what so – anyway I'll talk to you Monday and go from there and thank you very much. Bye.

## **Phone Conversation 5/22/06**

## Between Dave Haeg & Mark Osterman

**Mr. Osterman**: I have no conflict of interest with your interests.

**Mr. Haeg**: Yeah you did.

Mr. Osterman: Do not.

Mr. Haeg: You said that you're not willing to interfere with other peoples livelihoods on my behalf even though they committed – what'd you say like -uh- -uh- I don't know what you – they committed horrendous and unbelievable acts.

**Mr. Osterman**: Are you there?

Mr. Haeg: And how do you bring – now this is one thing that really - really gets me. How can you bring up stuff that's not on the record for the ineffective assistance of counsel claim in what you put in there and not bring up the rest of it?

Mr. Osterman: Because the Ineffective Assistance claim goes to the ability of the clients per – I'm sorry – the attorneys performance on behalf of the client in the courtroom. That's the Strickland criteria. The Strickland criteria isn't whether he called you a dunce outside a courtroom or thought you were stupid. That's not Ineffective Assistance.

Mr. Haeg: So when on the - the issue that you did bring up how was that in the courtroom? Tell - just explain that one to me.

Mr. Osterman: Well – well it relates to substantial rights that you have since you have a 4<sup>th</sup> and a 5<sup>th</sup> amendment right those rights are substantial rights and he violated those particular rights on your behalf in judicial matters. In matters before the Court. In the matters before the Court were plea agreements because plea agreements are judicial matter. Don't believe me look up Rule 11 in the criminal rules. It deals with the conduct of plea agreements.

Mr. Haeg: And how come none of the stuff of him not -uh- saying that at my <u>arraignment</u> is in there? Because that was <u>on the record</u>?

**Mr. Osterman**: Well things that were on the record are certainly part of it. Bear with me for a

second. You...

They weren't anywhere to be seen in the brief.

Mr. Osterman: Listen – listen to me. You're the one that came to me and hounded me for a

draft and I told you I wasn't happy about shipping you out a draft but I would see to it that you

got one. Well you got the draft that came back to me from Joel Rothburg, that outlined the

issues that were goanna be discussed in your case. Now you got all bent out of shape about what

the orders goanna be, and what does this mean, and what does that mean, and I don't like this,

and I don't like that, and I don't know what this word means, or that word means. I got news for

you. The brief isn't written. You haven't seen the final product. You only saw the document

provided to me by a person <u>I</u> retained on <u>my</u> behalf to help me with writing your brief.

No because you told me that you told him about the ineffective assistance of Mr. Haeg:

counsel stuff and none of that was in any of the materials I sent you. So you were a part of that

brief. That's what you told me ...

**Mr. Osterman**: That's right.

**Mr. Haeg**: So you telling me now that you weren't?

**Mr. Osterman:** Oh no I – I did very – had very little writing – in fact -uh- probably if you take

- if you took a look at the ineffective assistance portion of that - that was my draft of that

particular issue. Joel never wrote that I did.

Mr. Haeg: Hmm.

**Mr. Osterman**: That particular issue was put in by me ...

Mr. Haeg:

Yep.

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Mr. Osterman: -uh- dealing with that particular issue ...

Mr. Haeg: So ...

Mr. Osterman: ... because it was an important issue.

Mr. Haeg: ... anyway you told me you have a conflict of interest –

**Mr. Osterman**: I do not have a conflict of interest

Mr. Haeg: I don't want you as my attorney anymore. I'm goanna send you a letter, you're fired. You touch any of my stuff, do anymore, like you said you need something in writing it's coming. So as far as you, as far as of this moment right now you are no longer my attorney. Ok is that clear?

Mr. Osterman: -Uh- it's clear I understand it – I will continue until I get a written verification from you – you no longer want it and then I will make application to the Court of Appeals. Now you have to understand that whatever you send me in writing I'm goanna forward to the Court of Appeals.

**Mr. Haeg**: Very good I like that.

Mr. Osterman: Ok. And I got to tell you that if it's derogatory I also have the right to file a affidavit with the Court concerning your conduct.

**Mr. Haeg**: I like that even better.

<u>Mr. Osterman</u>: Ok. So I - I would be very careful sir about what you do because all you need to say is that you no longer have any faith and in trust and confidence in me. But if you go into grave detail about what's goanna happen, or what you think happened, or what I said, or what ...

**Mr. Haeg**: No what I have proof

Mr. Osterman: Or what I did

Mr. Haeg: – no it's what I have proof of happening. That's what I have. I have proof. I have you telling me when you took my money that Brent Cole and Chuck Robinson committed unbelievable acts against me. Unbelievable ...

Mr. Osterman: Right.

Mr. Haeg: and now I have you saying that oh you don't want to deprive people of their livelihoods. Well what happened to me?

Mr. Osterman: No I told you that before ...

**Mr. Haeg**: What happened to me?

Mr. Osterman: I told you before I go in to do something like that I'm very - very careful. I'm very cautious.

**Mr. Haeg**: And do you know why ...

Mr. Osterman: ... that raises the issues ...

**Mr. Haeg**: ... you want – now it's as obvious ---

**Mr. Osterman**: that ought to get your case reversed

Mr. Haeg: its as obvious as the nose on your face that the reason why you want to continue working for me is so that you can control the situation and keep two attorneys from paying the piper for their malpractice in representing me.

Mr. Osterman: I'm not out for malpractice. Told you that. We're not doing a malpractice case. We're doing an Ineffective Assistance of Counsel claim.

**Mr. Haeg**: The two are one in the same.

**Mr. Osterman**: No they're not.

Mr. Haeg: How come ...

Mr. Osterman: I'm not here ...

Mr. Haeg: ... when the Shaw case where the

Mr. Osterman: ... I'm not here for a civil case ...

Mr. Haeg: ... Alaska Supreme Court says that they are two in the – one in the same? I said you don't do two of – you don't do a malpractice case at the same time there's an Ineffective Assistance of Counsel because if you prove Ineffective Assistance of Counsel malpractice is virtually guaranteed.

**Mr. Osterman**: To some extent, yeah.

Mr. Haeg: Well now I start wondering ...

**Mr. Osterman**: But I'm not doing a civil case.

**Mr. Haeg**: Now I start wondering ...

**Mr. Osterman**: My focus is not civil.

Mr. Haeg: I start wondering Mark now if they're essentially one in the same and you don't want to harm these other attorneys and -uh- d - take away their livelihoods yet ineffective assistance which is my strongest claim if - I guess you wouldn't quit have to be a lawyer to understand that if I go after them and I win on Ineffective Assistance of Counsel I am effecting their livelihoods, correct?

Mr. Osterman: I guess – I guess Dave maybe you have all of these very negative and bad motives when you go to work for people like you exhibited with Fish and Game when they gave

you a permit to go shoot wolves within an area and you decided you were smarter then the

people that gave you the permit. The whole situation here is that I'm not trying to protect Chuck

Robinson nor am I trying to protect Mr. Cole. I'm trying to achieve one thing and that is to seek

the reversal in the Court of Appeals for your case on issues because the reversal of that case is

what you hired me to do. If you hired me to pursue Chuck Cole and – I'm sorry – Chuck and Mr.

Cole I would not have been retained by you. And I told you at the outset. I told you

I'm not interested in pursuing a legal malpractice claim against anybody

else out there. But effective assistance of counsel is an issue that I can handle. And I will

take an effective assistance of counsel claim. Now that doesn't mean that I'm goanna go out and

be revengeful, and nasty, and mean on your behalf because that's what you like. I'm telling you

I'm goanna go out and do the job for you to get the reversal that you need in your case so that we

can get the right plea agreement in place, at the right time, or whatever, or get your sentencing

modified to adjust it more like the co-defendant, and possibly not lose the airplane which I think

is probably the most grievous factor here. That your guide license ...

Well don't you - don't you agree that - that Tony Zellers attorney - Tony got Mr. Haeg:

screwed too - Tony's looked at what I've said he says "I got screwed too". My attorney never

stood up for keeping my statements out of my thing either. Well what the hell ...

Mr. Osterman: Well I don't disagree with you

**Mr. Haeg**: ... is going on with these sons of bitches, man?

**Mr. Osterman**: Well I – but see I'm telling you right now ...

**Mr. Haeg**: What the hell is going on?

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Mr. Osterman: ... these sons of bitches have been in this particular area of practice for so long they've been schmoozing so many people that when they hit Scot Leaders the new kid on the block they had no idea what was goanna happen. And it happened to them.

Mr. Haeg: Well wasn't it there duty to say "hey Scot Leaders broke the law"?

Mr. Osterman: Well damn straight they should have said ...

**Mr. Haeg**: Well why didn't they?

Mr. Osterman: ... no – no Scot didn't break the law.

Mr. Haeg: Yeah he did ...

Mr. Osterman: Well he broke a rule ...

Mr. Haeg: ... he broke the law

**Mr. Osterman**: ... he broke a rule – he broke a rule of evidence

**Mr. Haeg**: ... attorney fights it?

**Mr. Osterman**: No he broke a rule of evidence damn it. It's not a law.

 $\underline{\mathbf{Mr. Haeg}}$ : He – he – he broke the evidence rule to harm me and my co-defendant, correct?

Mr. Osterman: Absolutely I don't disagree with you. Ok. But how do I get to him? The only lawful to get to him is to go in and upset the case in the Court of Appeals. But you're tying my hands behind my back and decided you could do a better job and I've t – I've told you that going out there with a flame thrower to melt people down in the Court of Appeals is

not going to work effectively. The Court of Appeals is not going to listen to those kinds of arguments ...

**Mr. Haeg**: Oh they'll ---

Mr. Osterman: They'll throw you out

Mr. Haeg: ... they'll listen to this – they'll listen to this subject matter jurisdiction more so huh that last was upheld in 1909?

**Mr. Osterman**: I'm telling you the propriety of your case hangs on what Cole did to you and perhaps on the fact that Robinson failed to – to back it up. But at the same time I'm also telling you that when it comes to effective assistance of counsel as determined by that little short 2 page article with footnotes for the third page. Ok? If you read that, if you comprehend what effective and ineffective assistance are and measure it by the Strickland test I can't get to Chuck. Can't do anything with Chuck. Maybe something could be done ethically with Chuck. Ok? Through the attorney grievance commission for his conduct for not seeking to back it up. I don't know. I don't know that his – that his decision – Icouldn't find anything in any of my research anywhere that said that his failure to shove that plea agreement down their throats was ineffective assistance. Ok? I couldn't find anything. And I couldn't find any indication or any other indicia that lead me to conclude that. Cause I made that statement to you - I just don't feel like I - that's it's my responsibility to run around and destroy people's livelihoods. And I don't give a damn if they're fishermen, or bankers, or whoever

they are. If I've got clear cut evidence that somebody screwed up they're goanna hang. Mr. Cole I've got clear-cut evidence of, Chuck Robinson I - it's not so clear. Not so obvious.

**Mr. Haeg**: Well what's the clear cut evidence of Brent Cole?

Mr. Osterman: Brent Cole obviously failed to appraise you, that statements made in a plea agreement could possibly come back on you in some fashion. And the fact of the matter is - is that he failed to secure the plea agreement. That is the – the – the – the qualifier. He \*\*\*\*\*

up. He \*\*\*\*\*\* up royally. He \*\*\*\*\*\* up cause you've been ...

**Mr. Haeg**: That's all he did?

Mr. Osterman: Well bear with me for a second he's been out there doing these damn game cases for so long that he – that he thought he was dealing with somebody else not with Scot Leaders. That's what I think was his \*\*\*\* up was his judgment but he hung you out to dry. His bad judgment should not be affecting your life. Ok?

Mr. Haeg: And isn't there – isn't there anymore proof like you said – you told me that -uh-Ineffective Assistance of Counsel was a cumulative thing. Is that correct?

Mr. Osterman: It is a cumulative thing cause it looks at and determines the entire performance. You have – you have objective and subjective criteria in the you – you look at that article and it will give you the answers.

Mr. Haeg: Wouldn't – wouldn't a wise attorney put in every thing that – that showed ...

Mr. Osterman: Listen

**Mr. Haeg**: ... the ineffectiveness?

**Mr. Osterman**: But well – not - bear with me for a second. Perhaps ...

Mr. Haeg: Or - or is that attacking the attorney too much?

Mr. Osterman: Well first of all bear with me for a second. How's the Attorney General in response to your motion on appeal going to claim that Cole's process was not ineffective? He's goanna have to go to the Strickland test and say, "Strickland doesn't apply". Ok?

**Mr. Haeg**: Why's that?

Mr. Osterman: Well bear with me for a second. Strickland is the only measure of Ineffective Assistance of Counsel. The Strickland test coming out of the Strickland vs. US case. Ok? If Strick – if the Strickland criteria is there – the State can go spit in the wind. Once you've established that criteria. If I go into the ad homonym attack. Well he did this, knowing that, and he did this knowing that, I give them fuel to say this is all bullshit judge and you ought to – you ought to just not even consider it. Because see all the emotional baggage in there causes damage to the claim. We want to – we want to face the claim in cold steel eyes and say here it is. In fact slightly understated makes the Court of Appeals understand the nature of the claim.

Mr. Haeg: Yep.

Mr. Osterman: Which is goanna lead us off into the bushes and we're not goanna go this, we're just goanna disregard the claim. But if the claim is on all fours, focusing on Strickland, which is why I sent you that case very early on. That case is on all fours. That case says "failing to

advise a client of the 5<sup>th</sup> amendment repercussions of making statements during plea – during - uh- plea negations is ineffective assistance." Boom that's it. But if I got that on all fours why do I need to elaborate? Why do I have to go beyond?

**Mr. Haeg**: I thought you said it was cumulative.

**Mr. Osterman**: It is cumulative but bear with me for a second.

Mr. Haeg: What does cumulative mean?

Mr. Osterman: What else did he do? He failed – he failed to get the agreement in, he failed honor rule 412 ok, he failed at a couple other issues ...

**Mr. Haeg**: I thought it was 410.

**Mr. Osterman**: But hey what he said to Dave is that important? No.

Mr. Haeg: Oh so him telling me that I couldn't seek enforcement of the Rule 11 Agreement that's – that's not important?

**Mr. Osterman**: Oh ok so what – what happens if you put that in? Do you know what's goanna happen?

Mr. Haeg: What?

Mr. Osterman: They're goanna ask him, Cole, for an affidavit about whether he said it.

Mr. Haeg: And what's he goanna say?

Mr. Osterman: Well he might – he might swear back an affidavit that at the time that was not a part of de – the overall discussion – in according to his recollection. Then you're goanna

wheeled out this tape see and then your goanna have all kinds of problems.

**Mr. Haeg**: And that's when they're goanna throw my case out.

Mr. Osterman: Well that – in my opinion that's when it's goanna get nasty and as I said before nasty is not what they want. This – look – look this Court of Appeals is a panel of 5 judges and 3 sit here and 3 sit there so there's always a guy moving around. I think Mannheimer's the swingman right now. And Mannheimer's a freaking Nazi.

Mr. Haeg: I thought the Court of Appeals was only 3 judges.

Mr. Osterman: It's 3 judges but there's 5 of them and they impanel themselves 3 at a time.
You follow me?

Mr. Haeg: So who all – who are all 5?

Mr. Osterman: Huh?

**Mr. Haeg**: Whose the 5 judges?

Mr. Osterman: I can't tell you off the top of my head who all 5 are. I know Manheimer's there and I think Coats is the other one, -uh-

Mr. Haeg: Stewart?

Mr. Osterman: and there's another one but see there's – there's also I believe there's a panel out of Juneau, if I'm not mistaken. Anyway bear with me for a second the – the current – the situation involving this panel – as – as I said – I have only appeared twice in front of the Court of Appeals. And that was years ago. That was -uh- lets see probably 6 years ago. – -Uh- or there abouts. The -um- the – the situation with the Court of Appeals is if we've got

Manheimer on there that's all these guys do day in and day out is listen to tapes and look at briefs, listen to tapes and look at briefs. They each have two – two -um- law clerks. A pro clerk and a con clerk, ok? The con clerk – his job is to write the opinion – the negative opinion about the matter. The pro clerks job is to write a pro opinion about the matter. Then the two of them come in after oral argument and they make an appe – they appear before the Court of Appeals justice and make an argument about the matter.

Mr. Osterman: Scot Leaders ...

**Mr. Haeg**: And how did all that play out in front of ...

Mr. Osterman: ... could give a shit less as long as he gets you behind bars

Mr. Haeg: Oh.

Mr. Osterman: They're not goanna fire up old sparkey for you either. They did not – you know you think you've been the only one that had losses in fish and game cases. I suggest you go back and look at the newspaper articles and read them. There are a lot of people who suffer major forfeitures ...

Mr. Haeg: Well ...

**Mr. Osterman**: for violations of fish and game.

Mr. Haeg: Hey. Hey Mark you know what I figured out how they do it. Isn't that something? I think that when I figure out how they do it illegally that I think the public should know about that.

**Mr. Osterman**: Do what illegally?

Mr. Haeg: How the State utilizes your own attorney as a prosecutor in disguise. Because if you look at Brent Cole what did Brent Cole do that would ...

Mr. Osterman: I told you before what ...

Mr. Haeg: been any different then what he would've done if he was a prosecutor in disguise? Now let that sink into your brain for just a minute. Prosecutor in disguise – that means that although I pay him he's working for the State. What would be a prosecutors in disguise actions for his client? What would he – what would be the first thing that you would say if you were a prosecutor in disguise?

Mr. Osterman: I'm not goanna – I'm not goanna go there. I – I ...

Mr. Haeg: Well I thought about it for a long time. The first thing I would do is I'd say "man you are screwed", ok? "All that evidence of the falsification of the search warrant that don't matter your screwed. Hey come on in and give the prosecutor – he's goanna be – the prosecutor's goanna be \*\*\*\*\*\* nice to you man. Come on in and give him a 5 hour interview because that's gee – gee wiz Dave – I mean I'm advocating for you, boy I'm pulling hard for you, come on in buddy. Ok. Oh next -uh- I guess it's time for you to give up your livelihood cause the prosecutor wants it. He's got to have it. Cancel all your income for a whole year and your wife's income for a whole year, because I'm advocating for you buddy. I'm – I'm behind you. Me I'm fighting Scot Leaders. Shit the last time Scot Leaders left the room I – he's not goanna walk for

a month I'm fighting for you so hard. So you roll along and I'm advocating for you boy I'm in your corner. That \*\*\*\*\*\* Scot Leaders I'm \*\*\*\*\*\* him up. He's – he's got broken legs – he's got – it's goanna be months before he comes out of the hospital because I hit him so hard with that you given up your livelihood for a whole year. Ok now – now he's got to – he wants to talk about this moose case and hey if you talk about it at your sentencing it might make the State look bad. You talk – oh man Dave now that – that gave Scot Leaders another broken arm. Having him be able to bring in that moose thing to -uh- - to -uh- enhance your sentence. Enhancing your sentence makes it better for you Dave. Enhancement of a sentence that's good. You like that yeah bring that in. Ok Dave we're coming along oh we got the deal of the century now Dave. For that thing fly in everybody from Illinois and from the bush and bring them on in so that your sentence can be enhanced. Yeah come on up we got a pre-sentence meeting here 5 business before your suppose to do it. Oh the deals changed. Old Scot needs some more stuff Dave. Throw in your plane. Scot wants to learn how to fly before your sentencing so he knows that that plane is one of the best in the world for bush flying but man that's goanna break another one of Scot's arms by signing it over to him Dave. Yeah I'm advocating for you Dave. Oh boy I'm a working hard for you Dave. Oh – oh Dave – oh you don't want to give him the plane? Well you got to Dave. That's the way the games played Dave. I'm advocating for you Dave come on now. Scot that – your plane is such a badass plane that when he jumps in it – it'll break his arm. The torque of that engine's so powerful it will break his arm. I'm advocating for you Dave. Oh come oh ...

**Mr. Osterman**: Dave are you done?

Mr. Haeg: I didn't mention that I knew this 5 days before that he was goanna break the deal Dave but all that money that you spent I'm advocating for you Dave man I'm in your corner man. I'm in your corner."

Mr. Osterman: Dave.

Mr. Haeg: Now tell boy he was a good advo – now what would he have done differently if he would not – if he would've been a prosecutor in disguise what would he have done differently? Answer me that one question, please.

## **Mr. Osterman**: I - I - I can't tell you I don't know.

Mr. Haeg: Because you agreed that if Scot Leaders had an evil twin and he somehow convinced me he was goanna be my lawyer he would have made the same exact plays, wouldn't he?

**Mr. Osterman**: I don't think so, no.

**Mr. Haeg**: Well – ok what do you think would have been different? They would have just said you're – they would've got the firing squad out or what?

Mr. Osterman: I just – I just don't share your ideal that everybody is behind the tree right here trying to gun you down.

Mr. Haeg: Well Brent Cole never - Brent Cole – ok admit this. -Um- I'm on a – a runaway freight train right heading for disaster. And Scot Leaders is trying to make the hill – the hill steeper and to keep us off the break, right? Now is it my attorney's job to step on the brake?

## Mr. Osterman: No.

**Mr. Haeg**: He's supposed to soften the impact any way he legally can for me.

**Mr. Osterman**: the - the thing is I can't tell you what Brent Cole ever did ...

Mr. Haeg: Brent Cole actually committed crimes ...

Mr. Osterman: You're asking me ...

**Mr. Haeg**: actually lied to me

**Mr. Osterman**: You're asking me ...

Mr. Haeg: to protect what the State was doing. He actually lied to me in front of a whole pile of people to protect what the State was doing. Is that advocating for your, tell me?

Mr. Osterman: No he wasn't advocating – he – his mistake was in talking you into that plea agreement among many other mistakes that you may claim.

**Mr. Haeg**: That I may claim?

Mr. Osterman: That is the significant one.

Mr. Haeg: Oh and then another thing that interests me is you said that I lied to you. What have I lied to you about?

<u>Mr. Osterman</u>: You lied to me about not being – about not – (exhales) when did I say you lied to me?

**Mr. Haeg**: You said you have lied to me.

Mr. Osterman: When? Today?

Mr. Haeg: A conversation – no. I think it was on the 19<sup>th</sup> of this month. Three days ago you said you have lied to me, you have neglected to tell me stuff, and that's why your in deep shit.

Mr. Osterman: I don't recall ever saying that to you.

**Mr. Haeg**: Well you know I tape everything so you want me to play the tape?

Mr. Osterman: Well I know you tape everything – well I don't give a damn if you do or you don't.

**Mr. Haeg**: So what did I lie to you about?

Mr. Osterman: Well it's not the issue here. The issues lets go back. Do you understand ...

Mr. Haeg: No this is an issue I want to know why my attorney that I paid \$12,000 dollars to tells me I'm lying to him. I want to know what I'm lying to him about.

Mr. Osterman: I don't recall that I said that you lied to me. In the mean time do you understand the requirements necessary to establish Ineffective Assistance of Counsel in an appeal?

Mr. Haeg: I understand that it's cumulative and I also understand ...

Mr. Osterman: Do you understand what case...

Mr. Haeg: I also understand this to Mark that everywhere it comes up it says because Ineffective Assistance of Counsel will rarely be on the record we will utilize – we will – we will grant great discretion in what the defendant wants to bring in to establish that because no attorney is going to jump up on the record and say "I'm a dumb ass I committed malpractice over and over" that comes out because if your attorney screws up he's going to hide it because like anybody he protects his own first.

**Mr. Osterman**: No I don't agree with that.

Mr. Haeg: Well it's human nature.

Mr. Osterman: I'm really tired hearing about the shark swimming in the water and too much sand and all that bullshit.

**Mr. Haeg**: So you don't think Brent Cole tried to hide the fact that he ...

Mr. Osterman: That's not the question ...

**Mr. Haeg**: Yeah it is.

**Mr. Osterman**: and not the statement that you made. No it is not.

**Mr. Haeg**: Yeah it is. I said that isn't it ...

Mr. Osterman: You said as a generality lawyers try to hide their own and that is a false statement.

Mr. Haeg: I tried to -I said that the courts have said that as a general rule ...

Mr. Osterman: That is not the general rule that is a case – a completely different case then the standards I've told you about. I've told you to focus on Strickland. If you're goanna write your own appeal Dave you'd better go back and look at Strickland and understand what the criteria is.

**Mr. Haeg**: I can damn near quote it to you verbatim.

**Mr. Osterman**: Then lets hear it.

Mr. Haeg: It says that there's two prongs. First prong is that you have to prove that there was actions of your attorney that would not be taken by a reasonably diligent attorney acting in a conscientious behalf on his client and it must be just you know that – essentially what they're saying is an average – a normal attorney would not commit the action. And then the second prong is that action had to have an adverse effect on your case. An adverse effect is there's a reasonable probability that the outcome would have been different. Well Brent Cole by having him do all this shit, and lying to me about not being able to keep the Rule 11 in place, so I ended up going to trial, and Chuck Robinson lying to me about me not being able to enforce the Rule 11 Agreement and whatever, I ended up with a 6 year license suspension. That will cost me close to 5 million dollars when it's borne out. Now the deal that I had was a 1 to 3 year license revocation dependent on my culpability in a moose hunt.

Mr. Osterman: Mm hmm.

Mr. Haeg: Well the old moose hunt came out and holy shit there's really nothing wrong so that would lead to tell me that it would have probably had the same outcome during that so I'd of had a 1 year license loss rather than 6 years. Now -um- Mark what other jobs do you have other then practicing law? Can I ask – and you don't even need to answer – you can say that that's none of my business. But I'll just assume that practicing law brings in most of the bacon for you.

Mr. Osterman: It does.

Mr. Haeg: Now – now if you were bringing in the bacon mostly from your law practice would a 1 year suspension of your practice effect you less then 6 years? I'm saying that by gum I think Mark might be going a little – maybe not totally not hungry for 6 years but there'd be a pretty big strain as you went back to school for some other thing you could do or maybe you just wanted to tool into McDonalds and start flipping burgers. Now I'm thinking that that would be a pretty big difference in your case. And along with -um- oh by gum at your sentencing none of this stuff came up about the deal, what you already did on your own, which the judge in sentencing Tony Zellers says is – is -uh- evidence of -uh- of your – your willingness to accept responsibility and proof – proof of your rehabilitation. Well none of that came up yet I did all that before Tony Zellers. Don't you think by gum the judge might – if that would've been brought out don't you think she would've might have had something along the same lines to say to me?

<u>Mr. Osterman</u>: Which is – which is one of the issues we raised on appeal is that the – it may not be there –uh– per Say but I think that it – that – that we – I know we discussed it with Joel and I thought that I saw some suggestion of it there but one of the issues ...

**Mr. Haeg**: Well none of that's in there.

Mr. Osterman: One of the issues

Mr. Haeg: None of it's there ...

**Mr. Osterman**: ... that I've raised. Pardon?

Mr. Haeg: And another thing is you say that "oh we want to spring all this good stuff on them on the reply brief". Well I – I found an interesting thing. It says that anything that's not in your main brief can't even be brought up in your reply brief.

Mr. Osterman: (exhales)

**Mr. Haeg**: How do you bring up the stuff that you're goanna hide?

**Mr. Osterman**: We're not hiding anything.

**Mr. Haeg**: Well you said ...

Mr. Osterman: The issue – the issue is we're talking about the Ineffective Assistance of Counsel claim. That's the focus of the claim. The ineffective assistance claim we're goanna go bare bones and let them come in and argue that it's not Strickland. That once we've made the argument there – the issue is there then we can certainly heap on any evidence that we may have to add to – to strike home the point.

Mr. Haeg: Well if it's your main issue

Mr. Osterman: So if we don't raise the ...

**Mr. Haeg**: if it's your main issue why's

Mr. Osterman: The other issue that I raised – the other issue that I raised with you and still contend ought to be a part of the brief is the issue that the courts -uh- number 1 the courts should not do a 2 am sentencing...

**Mr. Haeg**: So how come none of that's in there?

Mr. Osterman: issue ought to be raised. Well I think that issue ought to be raised. Our problem is we've again — I told you before — we needed to see if these issues were goanna be effective with you. I intended to strike one or two of these particular or at least narrow them. I've already rewritten the facts — we're down to about 7 pages on the facts now that we can footnote out and get moving, ok giving us more space ...

**Mr. Haeg**: Well like I told you – you don't need to do any of that stuff.

Mr. Osterman: I don't – you don't need to waste anymore of my time ...

Mr. Haeg: Yeah there's just well you know I'm just trying to highlight why I am so upset and why I think that this whole process has disenchanted me that I mean the whole process has broken down for me. From my attorneys, to the prosecutor, to judge and to the troopers. It's not just anyone thing. It's cumulative over and over and over and many times ...

**Mr. Osterman**: David if – if you acted like you have with me with your attorneys I'm surprised things didn't go worse for you. Ok?

Mr. Haeg: Well do you know what – do you know

Mr. Osterman: and I'm surprised of that because ...

<u>Mr. Haeg</u>: do you know you can ask Brent Cole this. Do you know when I - you pro - I gave you the transcripts you should have read what - how - how gentlemanly like I was with him,

how respectful, how honest, and when he told me "I can't piss Leaders off because I have to work with him in the future". When I asked how we could make my Rule 11 Agreement stand do you see how my attitude may change?

Mr. Osterman: (sighs) I do.

Mr. Haeg: Right.

**Mr. Osterman**: And – and I also – and I also

Mr. Haeg: Ok.

Mr. Osterman: see how you carried that very same attitude when you left Cole with you to Robinson. And I've seen that very same attitude ...

Mr. Haeg: No – no when I left him to – don't you ever do that to me Mark Osterman. When I walked into Chuck Robinson's office I had known him from a child. I have pictures of him where I flew him across the Inlet, I had his life in my hands when I was 16 years old in my plane. I took him halibut fishing and I filleted his halibut to him – for him. When I hired him I was so relieved and so glad and so grateful I would have got down on my knees...And I went – he put me through \*\*\*\*\*\* hell and I did everything that man asked, and I \*\*\*\*\*\* did it on bended knee, and in grateful eye. When I found out he \*\*\*\*\* me and my family I'm goanna come back like an avenging angle on that black \*\*\*\* because he was my friend and he utilized that against me. I \*\*\*\*\*\* came in with my hat in hand begging and pleading and I paid that man \$40,000 dollars and he \*\*\*\*\* me and my family. Do you see how my attitude changed, Mark Osterman?

**Mr. Osterman**: I do.

April 4, 2006

Law Office of Mark Osterman 215 Fidalgo Drive, Suite #106 Kenai, AK 99611

Mark,

I've got a few things that I would like in my appeal if they are appropriate.

The main one which I feel has to be in the appeal is that Brent Cole and Chuck Robinson had actual conflicts of interests. in preserving and enhancing his deal making ability with the State of Alaska (the proof of which is everywhere in all the lies we have documentation of not the least of which is in his lying to me that we couldn't even try to enforce the Rule 11 Agreement even though we had such great Detrimental Reliance on it), and his and Chucks conspiring together to keep Brent Cole from testifying at my sentencing to the fact that we had indeed paid so much for a Rule 11 Agreement that everyone told us could be broken by the State with no possibility of making them honor it. Chuck's actual conflict of interest is documented throughout all the tape recordings I have of him telling me no matter how bad Brent Cole was, how much he had lied to me, and how much damage he had done to me there was absolutely nothing we could do about Then when I found all the law about Detrimental Reliance and Ineffective Assistance of Counsel claims Chuck Robinson told me on tape in front of multiple witnesses that he had no obligation whatsoever to utilize any of this for my defense. I require these defenses to be put into my appeal. I want it very obviously stated that there was actual conflicts of interests from these two lawyers in their representation of me and that all of the other things that happened where just symptoms of this single problem.

If we prove there was an actual conflict of interest we don't even have to prove that there was prejudice. By the Supreme Courts definition the likelihood of prejudice is so great that case-by-case inquiry is not worth the cost.

"One type of actual ineffectiveness claim warrants a similar, though more limited, presumption of prejudice. In *Cuyler v. Sullivan*, the Court held that prejudice is presumed when counsel is burdened by an actual conflict of interest. In those circumstances, counsel breaches the duty of loyalty, perhaps the most basic of counsel's duties. Moreover, it is difficult to measure the precise effect on the defense of representation corrupted by conflicting interests. Given the obligation of counsel to avoid conflicts of interest and the ability of trial

courts to make early inquiry in certain situations likely to give rise to conflicts, see, e.g., Fed.Rule Crim.Proc. 44(c), it is reasonable for the criminal justice system to maintain a fairly rigid rule of presumed prejudice for conflicts of interest. Even so, the rule is not quite the per se rule of prejudice that exists for the Sixth Amendment claims mentioned above. Prejudice is presumed only if the defendant demonstrates that counsel "actively represented conflicting interests" and that "an actual conflict of interest adversely affected his lawyer's performance."

When we meet in person again I want to be able to talk to you and hopefully the person looking for tactics for my appeal and go over the many US Supreme Court cases which I believe you may have already copied which support our position. I also have found that the American Bar Association Standards point out many more situations Brent Cole was ineffective.

#### http://www.abanet.org/crimjust/standards/dfunc toc.html

I have found a treasure trove of actions, which Brent Cole took while representing me, that are in direct violation with these Standards.

#### Standard 4-1.2 The Function of Defense Counsel

- (a) Counsel for the accused is an essential component of the administration of criminal justice. A court properly constituted to hear a criminal case must be viewed as a tripartite entity consisting of the judge (and jury, where appropriate), counsel for the prosecution, and counsel for the accused. In other words if there is no counsel for the accused there is no properly constituted court. Brent Cole in failing to represent only my interests cannot be considered counsel for the accused. Chuck Robinson, in representing Brent Cole's interests and not my own cannot be considered counsel for the accused.
- (b) The basic duty defense counsel owes to the administration of justice and as an officer of the court is to serve as the accused's counselor and advocate with courage and devotion and to render effective, quality representation. Brent Cole never ever advocated for me, let alone advocated for me with courage and devotion, and I have yet to find anywhere where he rendered quality representation. The very first moment my wife Jackie and I stepped foot in Mr. Cole's office he made every effort to impress upon my wife and I that there was absolutely no hope and that the only thing we could do was to cooperate with the State. He said, "The Prosecution has probably

already notified the Governor because this is such a politically charged case" and "we need to stop this before it snowballs out of control" and "I recommend cooperating with the State" and "One of the main jobs of an attorney is to keep the client from the stress of having to deal with the prosecutor by himself". Chuck Robinson placed protecting Brent Cole's career and law firm far above advocating for me and thus gave me neither devotion nor effective, quality representation.

- Defense counsel, in common with all members of the bar, is subject to standards of conduct stated in statutes, rules, decisions of courts, and codes, canons, or other standards of professional conduct. Defense counsel has no duty to execute any directive of the accused, which does not comport with law or such standards. Defense counsel is the professional representative of the accused, not the accused's alter ego. Although defense counsel has no duty to execute any directive of the accused which does not comport with law or such standards I believe this implies that defense counsel has every duty to comply to execute any directive of the accused this does comport with law or such standards. Brent Cole lied to me on many different aspects of the law to avoid executing my directives. Chuck Robinson, on tape and in front of multiple witnesses, refused to utilize Brent Cole's gross and intentional sabotaging of my case for my defense, even though I asked him directly to do so. Chuck Robinson told me on tape that he had NO duty to point out or utilize this intentional sabotaging of my case. Chuck Robinson even told me that Brent Cole lying to me about the law and my rights did not constitute ineffective assistance of counsel. In a later taped conversation Chuck Robinson admitted that if Brent Cole lied about anything of substance this WOULD constitute ineffective assistance of counsel.
  - matters of fact or law to the court. I believe the single most important obligation of an attorney is to not intentionally misrepresent matters of fact or law TO HIS CLIENT. This rule is so obvious that I have yet to find it spelled out in any book of rules or professional conduct. Yet Brent Cole and Chuck Robinson, while taking money from me, deceived, misrepresented, and lied to me about the law to sabotage my case. Although this is not spelled out directly anywhere in the Rules and Laws of Professional Conduct I believe this is implicitly implied anywhere it states that an attorney owes his client his entire loyalty free from conflicts of interests. AN ATTORNEY WHO IS LYING TO HIS OWN CLIENT IS FAR WORSE THAN THE CLIENT HAVING NO ATTORNEY AT ALL! THE CLIENT IS ACTUALLY PAYING TO SABOTAGE HIS OWN CASE!

#### Standard 4-3.2 Interviewing the Client

As soon as practicable, defense counsel should seek to determine all relevant facts known to the accused. In so defense counsel should probe for all legally doing, relevant information without seeking to influence direction of the client's responses. Brent Cole never allowed me to discuss the case with him and in fact when I brought out things such as perjury to obtain the search warrants Brent Cole dismissed them as not important. Chuck Robinson was extremely careful to never admit that there Constitutional defense huge of Ineffective Assistance of Counsel even after I and everyone else with me told him of the unbelievable actions of Brent Cole in lying about my rights and the law to me. Chuck Robinson also told me that the perjury to obtain the search warrants "didn't matter". I had to literally hire Chuck Robinson's investigator myself to have him help me look into Brent Cole's lying and deceit. The investigator and I found all kinds of evidence proving Brent Cole's intentional malpractice. Chuck Robinson also told me if I told anyone of Brent Cole's actions it would jeopardize his "tactic" which I (and many other attorneys) later proved was absolutely worthless.

#### Standard 4-3.5 Conflicts of Interest

- Defense counsel should not permit his or her (a) professional judgment or obligations to be affected by his or her own political, financial, business, property, or personal interests. Brent Cole had an obvious and actual conflict of interest by trying to represent me while preserving and enhancing his ability to make deals with the State. Once the State made it extremely clear how big of a deal it was for them to convict and sentence harshly on such a high profile case (Politically charged National News) it made it more improbable that Brent Cole would do anything on my behalf that would jeopardize his relationship with the State Prosecution. Chuck Robinson protected Brent Cole's gross malpractice and thus career and law firm at my expense-even though I paid Chuck Robinson almost \$30,000 to represent ME AND MY FAMILY. And if representing me meant exposing Brent Cole's gross malpractice that is exactly what he should have done.
- (b) Defense counsel should disclose to the defendant at the earliest feasible opportunity any interest in or connection with the case or any other matter that might be relevant to the defendant's selection of counsel to represent him or her

or counsel's continuing representation. Such disclosure should include communication of information reasonably sufficient to permit the client to appreciate the significance of any conflict or potential conflict of interest. Brent Cole in his written contract with me stated he does not and has not represented the State of Alaska and that nothing will affect his representation in this matter. After Brent Cole sabotaged my case and I became suspicious this is what he told me: "I can't piss Leaders off because after you're done I still have to make deals with him". In other words he started lying when he wrote my contract with him. Chuck Robinson never gave me a hint that he was protecting Brent Cole at my expense until I put two tape recorders in front of him and asked him point blank. Chuck Robinson even CHARGED ME for the very conversation with Brent Cole when they figured out how to keep Brent Cole from attending my sentencing that I had subpoenaed him to. I have a Constitutional Right for

- In accepting payment of fees by one person for the defense of another, defense counsel should be careful to determine that he or she will not be confronted with a conflict of loyalty since defense counsel's entire loyalty is due the accused. Brent Cole's loyalty was to the Prosecutor and not to me even though I had hired him. is shown absolutely by the way he avoided "pissing Leaders off" (the State Prosecutor) at my expense by lying to me about my rights and the law. Chuck Robinson's loyalty was to Brent Cole and not to me as shown by his statements to me of "your not paying me for an ineffective assistance counsel claim against Brent Cole" and "I'm not supposed to defend you in an Ineffective Assistance of Counsel claim against Brent Cole". Brent Cole stands to lose his entire career and law firm if his ineffectiveness and malpractice is exposed. Chuck Robinson and Brent Cole even worked together to deprive me of my Constitutional Right to a compulsory process to obtain witnesses in my favor when they arranged for Brent Cole to not testify at my sentence as he had been subpoenaed to do.
- (i) Defense counsel who is related to a prosecutor as parent, child, sibling or spouse should not represent a client in a criminal matter where defense counsel knows the government is represented in the matter by such a prosecutor. Nor should defense counsel who has a significant personal or financial relationship with a prosecutor represent a client in a criminal matter where defense counsel knows the government is represented in the matter by such prosecutor, except upon consent by the client after consultation regarding the relationship.

  Brent Cole told me many, many times on tape and in front of witnesses "I can't piss Leaders (the State Prosecutor) off

because after you're done I still have to make deals with him". Chuck Robinson said I wasn't paying him to use Brent Cole's Ineffectiveness for my defense. This is an absolutely unbelievable statement. Just what did Chuck Robinson think I was paying him for?

"One type of actual ineffectiveness claim warrants a similar, though more limited, presumption of prejudice. In Cuyler v. Sullivan, the Court held that prejudice is presumed when counsel is burdened by an actual conflict of interest. In those circumstances, counsel breaches the duty of loyalty, perhaps the most basic of counsel's duties. Moreover, it is difficult to measure the precise effect on the defense of representation corrupted by conflicting interests. Given the obliqation of counsel to avoid conflicts of interest and the ability of trial courts to make early inquiry in certain situations likely to give rise to conflicts, see, e.g., Fed.Rule Crim.Proc. 44(c), it is reasonable for the criminal justice system to maintain a fairly rigid rule of presumed prejudice for conflicts of interest. Even so, the rule is not quite the per se rule of prejudice that exists for the Sixth Amendment claims mentioned above. Prejudice is presumed only if the defendant demonstrates that counsel "actively represented conflicting interests" and that "an actual conflict of interest adversely affected his lawyer's performance."

#### Standard 4-3.6 Prompt Action to Protect the Accused

Many important rights of the accused can be protected and preserved only by prompt legal action. Defense counsel should inform the accused of his or her rights at the earliest opportunity and take all necessary action to vindicate such rights. Defense counsel should consider all procedural steps which in good faith may be taken, including, for example, motions seeking pretrial release of the accused, obtaining psychiatric examination of the accused when a need appears, moving for change of venue or continuance, moving to suppress illegally obtained evidence, moving for severance from jointly charged defendants, and seeking dismissal of the charges. Brent Cole refused to look at my case with me and when I pointed out the search warrants affidavit was based upon perjury he told me it did not matter and took no action whatsoever. Chuck Robinson told me the same thing along with "no matter what Brent Cole did it is too late now to fix it". Chuck Robinson told me this over and over and over again.

#### Standard 4-3.8 Duty to Keep Client Informed

(a) Defense counsel should keep the client informed of the developments in the case and the progress of preparing the

defense and should promptly comply with reasonable requests for information.

Defense counsel should explain developments in the case to the extent reasonably necessary to permit the client to make informed decisions regarding the representation. Brent never informed me that the deal was going to be broken when he knew it was going to be for 5 days previous, when he knew we had already spent hundreds of thousands of dollars on it and we were continuing to spend thousands more on it. He lied to 7 of us that he had "just found out bad news from Scot Leaders" when in fact he had known about the bad news for 5 days. There is no doubt that Brent Cole wanted to apply as much pressure on me without giving me enough time to think so that I would cave in for and give the State a (\$90,000.00 plane) in addition to what I had already given them. If Brent Cole had given me the 5 days to think about it I very likely would have consulted with another attorney, asked friends what to do, and looked at the law myself. But after we had already driven to Anchorage and had flown in people from as far away as Illinois Brent Cole knew I could not do these things. He knew I would not have any time to do any research or call anyone. He lied to us that he couldn't enforce the Rule 11 Agreement or that we had every right to require both him and Prosecutor Scot Leaders to honor it. This might have "pissed Leaders off" and if you remember Brent Cole told me in front of witnesses that he couldn't piss Leaders off. How can he possibly represent me under these circumstances? I have him telling me on tape that he cannot fight the Prosecution because he has to make deals with them on the future. Brent Cole told me the State could publish everything we told them during plea negotiations and all the national newspapers and that was "how it was done". How in the name of God could I have a fair trial if these plea negotiations fell through after this had been published nationwide?

#### Standard 4-4.1 Duty to Investigate

(a) Defense counsel should conduct a prompt investigation of the circumstances of the case and explore all avenues leading to facts relevant to the merits of the case and the penalty in the event of conviction. The investigation should include efforts to secure information in the possession of the prosecution and law enforcement authorities. The duty to investigate exists regardless of the accused's admissions or statements to defense counsel of facts constituting guilt or the accused's stated desire to plead guilty. Brent Cole told me and my wife when we came into his office the first time that this was a huge case, that the Governor had already been informed about it

and the only way out was to "stop it before it snow balled out of control". After that I insisted on going over the case with Mr. Cole in detail looking for weaknesses such as the ones I found on my own where Trooper Gibbens committed perjury to obtain search warrants. Brent Cole declined to go over the case with me in detail and in fact told me that none of the mistakes that I found mattered. this documented and I have witnesses to this fact. I asked Chuck Robinson again and again to do something with Brent Cole's lying, deceiving, and malpractice and he never even tried looking into it. When I forced him to admit it should have been used he said "I put my investigator on it and there was nothing there". I was the one who had to hire his investigator because Chuck Robinson refused to put him on it himself and in the investigators own report he states "don't to forget to motion on the Prosecutor breaking the Rule 11 Agreement with Brent Cole"

#### Standard 4-5.1 Advising the Accused

- (a) After informing himself or herself fully on the facts and the law, defense counsel should advise the accused with complete candor concerning all aspects of the case, including a candid estimate of the probable outcome.
- (b) Defense counsel should not intentionally understate or overstate the risks, hazards, or prospects of the case to exert undue influence on the accused's decision as to his or her plea. This is exactly what Brent Cole did. He told Jackie and I it was going to be so bad we should accept anything the prosecution offered us. He said we needed to give them everything they wanted so they would know what to charge me with and give me a "good deal". I now know this to be insanity and automatic Ineffective Assistance of Counsel (Wayrynen v. Class 586 N.W.2d 499 (S.D. 1998) Chuck Robinson told me "why would you want to go back and fight for your Rule 11 Agreement and be convicted when I can get you off free and clear with this nearly unbeatable defense and not have a conviction?" I later proved, confirmed by every attorney I consulted with, that this "tactic" was absolutely worthless and was used so I would not try to go back and expose Brent Cole's malpractice. After I was totally committed to this coarse of action Chuck Robinson told me "we may have to appeal this all the way to the U.S. Supreme Court" knowing I had no hope whatsoever in ever winning. He even told me "if you ever bring up you had a Rule 11 Agreement it will jeopardize my "tactic". When I asked him if this was the case why wouldn't the prosecution

bring up that there was a Rule 11 Agreement Chuck Robinson could not answer me. I was to be, in effect, Chuck Robinson's slave - working to supply the hundreds and hundreds of thousands of dollars needed to finance an appeal all the way to the U.S. Supreme Court where they would also refuse to change the thousands of decisions made since 1906 - the last year Chuck Robinson's "tactic" worked (that the prosecution was flawed because the information filed had not been verified).

April 4, 2006

Law Office of Mark Osterman 215 Fidalgo Drive, Suite #106 Kenai, AK 99611

Dear Mark,

Hi. In an entire month I still have not received the case law of Ineffective Assistance of Counsel you said you were basing my appeal on or any documentation or draft whatsoever of what you have done as I have requested. Jackie said on 3/22/06 she had included the motion we had drawn up for the stay of suspension of my guide license and forfeiture of my airplane in some paperwork she dropped off at your office. As I said in writing when I first hired you I wanted to request this immediately of the Court of Appeals. Why has this not been done in the month since then? As I explained to you earlier my guide license and plane is critical for providing for my family and paying bills.

Please let me know when you will make these motions and, as I have said, you have had my draft for a month to work from. If you are unwilling to do so let me know so I can file it myself.

Sincerely,

#### David Haeg

David Haeg P.O. Box 123 Soldotna, AK 99669 907-262-9249

April 16, 2006

Law Office of Mark Osterman 215 Fidalgo Drive, Suite #106 Kenai, AK 99611

Dear Mark,

Hi. I'm still waiting for the case law of Ineffective Assistance of Counsel you said you were basing my appeal on or any documentation or draft whatsoever of what you have done as I requested when I hired you a month ago. Jackie said on 3/22/06 she had included the motion we had drawn up for the stay of suspension of my guide license and forfeiture of my airplane in some paperwork she dropped off at your office. As I said in writing when I first hired you I wanted to request this immediately of the Court of Appeals. Why has this not been done in the month since then? As I explained to you earlier my guide license and plane is critical for providing for my family and paying bills.

I'm not sure how things are going at my fee arbitration against Brent Cole but I could really use a lawyer. Can you tell me again why you can't represent me in this matter?

Please let me know when you will make these motions. If you are unwilling to do so let me know so I can file it myself.

Sincerely,

### David Haeg

David Haeg P.O. Box 123 Soldotna, AK 99669 907-262-9249 Memorandum

To: Mark

From: Joel Rothberg 283- 1456

Date: 4/26/06

Re: Ineffective assistance of counsel document

I have assembled the salient documents. I think the strongest spotlight falls on Brent Cole for not trying to enforce the agreement that appeared to be in place as of the date of the arraignment, but overall it is pretty tangled ball of yarn.

May 22, 2006

Mark D. Osterman, Attorney Osterman Law Office, P.C. 215 Fidalgo Drive, Suite 106 Kenai, AK 99611

RE: Appeals Case No. A-09455

I, David S. Haeg, herby fire attorney Mark Osterman effective 5/22/06 at 11:00 a.m. Attorney Osterman is no longer retained on my behalf. I herby request Attorney Osterman cease and desist all action taken on my behalf in my Appeals Case No. A-09455. It has come to my attention Attorney Osterman has a huge, direct and irreconcilable conflict of interest in representing me on my appeal.

Please send us something back in writing that confirms your removal from my case and let us know when we may come by and pick up the file and all materials relating to my case.

Sincerely,

David S. Haeg P.O. Box 123 Soldotna, AK 99669 907-262-9249 phone 907-262-8867 fax

## 8/15/06 Remand Hearing In McGrath

# Osterman and Other Witnesses Under Oath

**MR. HAEG**: I understand and I –uh- waive my attorney client privilege.

<u>MAGISTRATE WOODMANCY</u>: Ok and –uh- for the record Madam Clerk that was Mr. Haeg who spoke and he's waiving his attorney client privilege. –Um- so Mr. Osterman you wanted to say something, go ahead.

MR. OSTERMAN: Your honor I do not wish to be subjected to any inquiry by Mr. Haeg, under the circumstances. I am still his attorney as of this moment –uh- I would object to anybody in a pro se – taking a pro se position with regard to me.

**MR. HAEG**: –Um- ok Mr. Osterman what was your –uh- understanding about payment when I first hired you – or what did you request of me?

**MR. OSTERMAN**: I requested a - a substantial down payment from you and informed that you since my office would be required to catch up, literally, all pretrial motions, pretrial hearings, trial tapes – all of those things would have to be put together, and would have to be reviewed. That we were looking at a substantial amount of money for an appeal. I told you that – that you would be depositing money with me that would be used as a retainer. Then that you could very well go over the amount of the retainer.

MR. HAEG: Ok –um- did you state that the sum that you would be –uh- that would take me clear through to the end of the appeal would be \$12,000.00 dollars?

MR. OSTERMAN: No sir. I told you that would be the initial retainer to get me started. I explained to you that I would continue on with the appeal but would ask that you'd begin making some kind of a payment. I recognized at the time that we had to sit down and negotiated that price you indicated to me that you couldn't come up with that sum of money. I explained to you that an issue in the Court of Appeals cost about \$8000.00 dollars an issue and that we had at least 4 issues. –Uh- I also explained to you that I would take your retainer in the understanding that you would be paying monthly toward me without interest –uh- once we breached the amount of that particular –uh- sum of money.

MR. HAEG: Ok Mr. Osterman on – I'm not very good at this I apologize. I lost where – did you - on 3/15/06 did you have a conversation with me where you stated that each point on appeal is between 3 and 5 thousand dollars?

MR. OSTERMAN: I may have given you that quote I thought I would say around \$8,000 (sic)

MR. HAEG: So when you told the court – when you told the court that you told me \$8,000 per point were you double what you told me then?

**MR. OSTERMAN**: I don't believe so –

MR. HAEG: Ok then did you say that since my case was – I was in state, the case was taking place in state, and that I had done most of the legwork my case to completion would be about \$10,000.00 less then the \$22,000.00?

**MR. OSTERMAN**: I don't believe I said that.

MR. HAEG: Ok what Mr. Osterman what is the total billings without any –uh- oh –um- things cut off for that you said were overruns – what's the total billings that you gave me?

**MR. OSTERMAN**: I - I couldn't tell you that off the top of my head.

MR. HAEG: Could it be...

MR. OSTERMAN: I have no idea.

**MR. HAEG**: Could it be in the neighborhood of \$36,000.00?

MR. OSTERMAN: I don't think so. But again I can't tell you off the top of my head.

MR. HAEG: Ok and –uh- is that \$36,000.00 was that for the completion of my case?

**MR. OSTERMAN**: Again I don't know.

**MAGISTRATE WOODMANCY**: He doesn't know the amount – he can't (sic)

**MR. HAEG**: Ok. Have you Mr. Osterman have you completed my case?

**MR. OSTERMAN**: No I have not completed your case.

MR. HAEG: Ok well – I guess I'm supposed to ask questions. I was goanna make a statement. –Um- as far as the physical threats that you say that I made. Can you expound about how those alleged physical threats came about?

MR. OSTERMAN: -Uh- Mr. Haeg you had become so enraged in my office that I - I didn't know if you were be able to get yourself back under control.

MR. HAEG: Ok but you didn't answer the question of how the – the statement...

**MR. OSTERMAN**: The - the earlier statement about the tranquilizer thing?

MR. HAEG: Yes.

MR. OSTERMAN: (sic) to put your wife on tranquilizers and then for every tranquilizer she took there would be a bullet and then you stopped, and paused for a second, and smiled and said a legal bullet. And you said they'd be drilled against Chuck Robinson.

**MR. HAEG**: So I smiled? I - I...

MR. OSTERMAN: Also you (sic) a lot of anger towards Mr. Cole.

**MR. HAEG**: Ok and do you have a recording of any of these statements?

<u>MR. OSTERMAN</u>: I don't know - you probably made one. You were very good about carrying recorders into the meetings.

<u>MR. HAEG</u>: Correct and would you be willing, for the Court, to look at the transcripts of those –uh- statements where you say all this?

**MR. ROM**: I would object to those transcripts being admitted.

**MR. HAEG**: Ok may I – would you object...

<u>MAGISTRATE WOODMANCY</u>: Wait a minute – wait a minute. There's been an objection made Mr. Haeg you have to stop.

**MR. HAEG**: I'm sorry.

**MAGISTRATE WOODMANCY**: We - we don't have the original tapes on it. Don't know if everyone has copies. I haven't had time to review those –um- again I'm - I'm not sure what point you're going to with these. It's – it's clear that so far that you have a –uh- incompatibility with your attorney. What is the benefit about hearing these tapes and reading these transcriptions?

**MR. HAEG**: Well it goes to my credibility. –Uh- Mr. Osterman is saying that I'm going around making threats and I never did and I the have the tapes – original tape recordings with me. Mr.

Osterman would allow me to play those for the Court at some point in the future when you have a hearing or however it may be or whether we could admit the transcriptions so that it can be proved what actually transpired.

<u>MAGISTRATE WOODMANCY</u>: I guess Mr. Osterman would you mind if the court listened to the original tapes of the meeting?

**MR. OSTERMAN**: I - I do object at this particular point in time because it's obvious to me it isn't going to change my perception of your threatening actions or attitudes toward me or toward my staff or to other attorneys –uh- in the – that – that were handling your case or had handled your case.

MR. HAEG: Ok.

**MAGISTRATE WOODMANCY**: Wait I –I'm goanna rule. We're not goanna listen to those tapes now –um- and if you want to present that you have expressed that you're goanna have a civil suit against Mr. Osterman that would be the venue for those tapes.

MR. HAEG: Ok. Mr. Osterman do you remember me asking you "Would you hire me if I said 'you know what – I really hate hunting. I'll do it if I absolutely have to. Is that who you'd hire?' Do you remember me making that question of you on 5/19/06?

**MR. OSTERMAN**: I have no idea what you asked me back in May the 19<sup>th</sup>.

MR. HAEG: Ok. Do you remember...

**MR. OSTERMAN**: I've - I've encountered hundreds of clients since then.

**MR. HAEG**: Ok could I have made that statement?

**MR. OSTERMAN**: You may have.

MR. HAEG: Ok. Could you – or did you... Do you remember responding, "Hunting what - hunting people or hunting bears? Taking away and depriving people of their livelihoods, is that what you enjoy? Are you so crass that that's what you believe? That's what you're asking me in essence to do is you're asking me to go on and interfere with another mans livelihood so I hesitate, I don't think it's

the same as hunting a deer out in the woods." Do you remember making that statement Mr. Osterman?

**MR. OSTERMAN**: I probably did and I think that it's quite out of context. I think it needs to be contextualized.

MR. HAEG: Ok. I had...

MR. OSTERMAN: You weren't talking about your appeal at that in time, sir.

MR. HAEG: Ok and...

**MR. OSTERMAN**: You wanted me to pursue...

**MR. HAEG**: ...you – you remember...

MR. OSTERMAN: ...a malpractice claim against your former attorneys and I said I would not do that.

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**MR. OSTERMAN**: Your honor if I - if I could be heard?

MR. HAEG: And...

MAGISTRATE WOODMANCY: Go ahead Mr. Osterman.

MR. OSTERMAN: That you your honor. Mr. Osterman speaking here. I think part of what Mr. Haeg is trying to get to and can't really arti[culate] – can't put his finger on the articulation comes back to a fear that Mr. Haeg has that all of us attorney's have banded together and that therefore he cannot get fair representation. So under the circumstances he wants to establish or try to establish through me that there was collusion, -um- that –uh- people were trying to protect other attorneys – including and he – and he's goanna make the allegation that I did the same thing –uh- against him through other attorneys in the area as well. So I – I think that's the point he's trying to make Your Honor.

**MAGISTRATE WOODMANCY**: Is that correct?

**MR. HAEG**: That is correct.

<u>MAGISTRATE WOODMANCY</u>: And that has bearing on him being allowed to withdrawal. You want him to remain your attorney until this point rolled on or are you ready to discharge Mr. Osterman?

MR. HAEG: See it – it – it had several different concepts why this was remanded by the Court of Appeals. It says whether I knowingly – which we all know that I knowingly do it...

MAGISTRATE WOODMANCY: Mr. Haeg trust me...

MR. HAEG: Ok.

MAGISTRATE WOODMANCY: ... I understand the decision I have to make...

MR. HAEG: And competently that remains to be seen because I'm sure all of you don't think I'm competent to do this and I'm the first to agree. So it would be a big step for someone that is incompetent – it would be like I don't know if Mr. Rom fly's a plane – let me just make an example. If he jumped in a plane and flew out of here without Trooper Gibbens at the wheel – if he did that by himself there's a pretty compelling reason he did so and that's what I'm trying to show is that it is an intelligent decision for me to forego my right to an attorney even though I understand completely the huge disadvantage. I've already had I don't know how many objections and Your Honor also tell me what I don't know what I'm doing. But in my mind that is an intelligent decision and the Court of Appeals has remanded this case for you to make a determination that it is intelligent also and that's a pretty big burden when somebody has the money to buy an attorney, such as I do, and they waive their right to an attorney, that is a fantastic thing in the history of the United States. To have someone with the money to purchase an attorney and waive that right and go on their own knowing that they're goanna probably just take a liken doing it. But you know what I'm goanna make a statement here – I would rather go into battle with no allies if the allies I have are behind me stabbing me in the back.

MAGISTRATE WOODMANCY: Ok. I understand where you are at with this.

**MR. HAEG**: Ok and Mr. Osterman...

MAGISTRATE WOODMANCY: I...

**MR. HAEG**: ...statements to me show that brutally clearly brutally.

**MAGISTRATE WOODMANCY**: That you should be allowed to proceed pro se?

MR. HAEG: No it shows – his statements brutally show the immense conflict of interest among a number of attorneys to protect what the first one did to me. And it's the most amazing thing

I've ever seen in my life. I have read – I have read likely hundreds of thousands pages – cases – case – or pages of case law and I have yet to find one case as egregious as what happened to me.

**MR. OSTERMAN**: Your Honor I could actually – I'm only about 5 minutes to my next appointment. I could actually be with the court for another 12 minutes.

**MAGISTRATE WOODMANCY**: Each side has 5 minutes. How's that?

<u>MR. ROM</u>: All right. Mr. Osterman you had –uh- a number of conversations with Mr. Haeg about this conspiracy of his former lawyers, is that correct?

MR. OSTERMAN: Yes.

**MR. ROM**: And have you fully aired that issue with Mr. Haeg in your conversations?

MR. OSTERMAN: Yes.

<u>MR. ROM</u>: And tell – tell the court how you –uh- perceived the notions that his lawyers have conspired against him.

MR. OSTERMAN: -Um- I – I – I don't fully understand why he believes that his lawyers have conspired against him. I will tell you I have concerns about some of the things that — that each one of his other attorneys have done but that's fodder for appeal —uh- that I tried to explain to him also. —Uh- I tried to explain to him the way that those — the issues would work in the matter of his appeal. -Um- I also want to indicate to you that Mr. -um- Haeg is nobody's fool -um- he has access to legal materials, I provided him with legal materials, -um- he's had access to some law library materials as well. -Um- he's not inarticulate, he's -um- he's very emotionally tied up in this...

MR. ROM: Did you find...

**MR. OSTERMAN**: ... -um- emotional state in this.

<u>MR. ROM</u>: Did you find any evidence that lawyers had colluded together against him to act not in his interest?

MR. OSTERMAN: No – but I can tell you that if – if I were in his shoes I could see why he would think to some respect with his first two attorneys why he might think that. But at the same time if he looked at what they were doing in response to issues involving him it would certainly explain some of that away.

**MR. ROM**: All right. Thank you that's all I have.

<u>MR. OSTERMAN</u>: A - a - I wanted to also add initially I had some concerns that I shared with – with -um- –uh- Mr. Haeg and we began pouring through the file –uh- those concerns became relieved.

**MR. ROM**: Except when you say concerns – concerns a – about the actions his previous – or his prior lawyers had taken?

MR. OSTERMAN: Yes.

**MR. ROM**: And as you went through...

MR. OSTERMAN: Except one of the things that happened in this case is we began talking – if the things that he told me were true then I would be greatly concerned and express those concerns to him. But as we began going through the record it became clear to me that there was another course being taken.

MR. ROM: And what do you mean by that?

**MR. OSTERMAN**: Yeah I began...–Uh- I'm sorry.

**MR. ROM**: What do you mean by another course was being taken?

**MR. OSTERMAN**: Well you know what – what could have appeared to have been –uhmalicious act by one attorney –uh- could be explained by the inaction of the client.

**MR. ROM**: All right. In the end –uh- in the final assessment did you determine that his lawyers –uh- had acted in his interest and at his direction?

MR. OSTERMAN: Not necessarily I felt strongly that there was an ineffective assistance claim –uh- in – with at least regard one attorney and in fact I felt that it was a necessary issue to raise –uh- in the appellate level and that was a part of the discussion that I had with Mr. Haeg is to how to present that particular issue.

**MR. ROM**: And did you explain to him that he could not bring –uh- ineffective assistance of counsel claim in a – on a direct appeal?

MR. OSTERMAN: Well not necessarily I mean my reading of the post-conviction relief statute would indicate that there's –uh- an ability to bring it but I told him that it was the strategic issue that one of the issues that we had in this particular case dealt with a plea agreement and how that particular plea agreement got there –uh- and – and what transpired of that particular plea agreement and that I felt that there was a violation of plea agreement issues and that I felt that ineffective assistance of counsel was a – if not directly at least a – a substantially tacit –uh- issue to be raised in that particular portion of the appeal.

MR. HAEG: Ok Mr. Osterman did you ever tell me "I looked at this and it was a disaster in it and what Chuck did was wrong and what Cole did was wrong there's no two ways about it. Did you ever make that statement?

MR. OSTERMAN: I probably did say that to you. I don't know when you're quoting it though. Unfortunately you know you have the advantage of having transcribed these tapes and gone through them. I don't have that advantage sir.

MR. HAEG: Ok well be that as it may -um- do you remember that being said?

**MR. OSTERMAN**: I more then likely said it.

MR. HAEG: Ok. Do you ever remember me –uh- asking what about not st – or we were talking about Mr. Cole and you had said –uh- something to the effect about him not telling me my statements could be used against me at trial if I made them and I asked you "what about not sticking up for a rule 11 agreement – you told your client to give up a whole years of his income for and a 5 hour confession" – do you remember me making that statement?

MR. OSTERMAN: Several times.

**MR. HAEG**: Ok. Do you remember responding "I think that's –uh- real big malpractice issue but it is a – an ethics issue?" – Do remember responding that way?

MR. OSTERMAN: I do.

MR. HAEG: Ok then do you remember me saying, "he failed to act – to stand up for my deal" and then you responding "But then that's malpractice – it's not ineffective assistance. He may have seen some advantage. Who knows what the hell that advantage is. I'm arguing the devils advocate because I could tell you that 1 in a thousand ineffective assistance of counsel claims wins." Do you remember making that statement?

MR. OSTERMAN: I do and you might also remember I brought you a case where I changed my tune with regard to some of that statement.

MR. HAEG: Ok.

MR. OSTERMAN: I sent you a case I believe out of New Jersey or New York or something where the I think it was one of the circuit Court of Appeals had said that –uh- that it was certainly an effect - ineffective assistance to fail to advise a client with regard to plea agreement.

<u>MR. HAEG</u>: Yeah and I remember that. Do you also remember saying "but you can't" or I asked you -I - I — do you remember me asking you "but you can't — you cannot have a malpractice suit unless you're found innocent or not innocent or unless your conviction is overturned" -um- and I said "Chuck Robinson...

**MR. OSTERMAN**: But as a general...

MR. HAEG: ...told me that".

MR. OSTERMAN: Yes.

MR. HAEG: Ok...

MR. OSTERMAN: Generally that's correct.

**MR. HAEG**: Ok yeah and you – do you remember saying "No Chuck's wrong, ok? He obviously was the malpractice of one attorney that put you in this bind. Cole has a malpractice problem a big malpractice problem." Do you remember saying that?

**MR. OSTERMAN**: Yeah but what's this got to do with anything, sir? What's this got to do with my ability to protect you?

MR. HAEG: It – it has to do whether I am intelligent in going on my own. -Um- do you ever remember me – or - or you stating to me you stating to me "You gave the evidence to the District Attorney to use against you because of Cole's conduct." You ever remember saying that to me?

<u>MR. OSTERMAN</u>: I may have said that to you sir. What's that got to do with my ability to withdrawal from this case or my recommendations about whether you are able to proceed pro se?

MR. HAEG: It has nothing to do with that. It has to do whether it's an intelligent decision of mine to go pro se. Did you ever make the statement...

<u>MR. OSTERMAN</u>: I - I don't know you're – whether your firing me is an intelligent decision or not – I'm not the judge of that.

**MR. HAEG**: Ok.

MR. OSTERMAN: I already told you that.

MR. HAEG: Well the court is. Did you ever remember saying "we're goanna file a complaint for malpractice against Cole. You did not realize he was...

MR. OSTERMAN: I...

MR. HAEG: ... he was going to set it up so their dang dice was always loaded. They were always goanna win." Do you ever remember saying that to me Mr. Osterman?

MR. OSTERMAN: I - I - I don't know that I said that or not, sir. Again it goes back to I don't know whether you're making an intelligent decision or not.

MR. HAEG: Ok. Do you also remember you saying "He [and I think you meant Cole] committed the malpractice act which was selling the farm." Do you remember making that statement?

**MR. OSTERMAN**: I do I thought that he'd given away an awful lot of information during a plea agreement.

<u>MR. HAEG</u>: Ok. And you remember my concerns for that plea agreement in which I'd gave a 5 hour interview, gave up a whole year of my income – not receiving one single benefit for that sabotage of my life. Do you remember my concern about that?

# **MR. OSTERMAN**: I do.

<u>MR. HAEG</u>: Is that why I've been so angry is because I gave the State their entire case in return for something and they plucked it away from me. Is that why I am upset?

MR. OSTERMAN: I can't tell you why you're angry sir.

MR. HAEG: Ok. Do you agree I have a reason...

MR. OSTERMAN: Yes.

**MR. HAEG**: ...to be angry?

MR. OSTERMAN: (exhales) I can see why you're frustrated. I can see why you're angry. Yes sir. That still has nothing to do with the fact that – that I'm still in this case and wish to withdrawal.

**MR. HAEG**: Mr. Osterman do you remember stating to me "you're not happy with them and they've already screwed up your case bad enough." Do you remember saying that to me?

**MR. OSTERMAN**: I - I don't remember you saying no.

MR. HAEG: No you said it to me. Do you remember making that statement...

MR. OSTERMAN: Oh.

**MR. HAEG**: ...to me?

**MR. OSTERMAN**: I don't – I don't have any recollections here.

**MAGISTRATE WOODMANCY**: I'm goanna give you each one more minute. Go Mr. Rom and then...

MR. HAEG: Ok.

MAGISTRATE WOODMANCY: ...you can have a minute to redirect.

MR. ROM: -Um- during these conversations with Mr. Haeg did you obtain – did you ever talk to -um- Brent Cole about the –uh- plea agreement?

# MR. OSTERMAN: No sir I've never spoken to Brent Cole about the plea agreement.

**MR. ROM**: Did you talk to Scot...

**MR. OSTERMAN**: What I did do is I went back to research the case.

**MR. ROM**: And did you talk to Scot Leaders about the negotiations in the course of the –uhplea agreement?

# **MR. OSTERMAN**: I don't know whether – and I never got a report.

**MR. ROM**: And is fair to say that the information you go about the –uh- plea agreement and the negotiations came from Mr. Haeg?

**MR. OSTERMAN**: Mr. Haeg, from the information contained in the file, and from information that –uh- was provided to us through the Robinson file.

**MR. ROM**: Ok and –uh- are you aware that Mr. Haeg rejected the rule agreement that provided for 1 year license suspension?

MR. OSTERMAN: -Um- I got to tell you that's one of the things that's very unclear in my review of the file. It appeared to me that Mr. Haeg had not committed one way or the other when an amended information was filed and the amended information contained

information provided by Mr. Haeg —uh- as a result of rule 11 negotiations.

MR. ROM: Right and are you aware that Mr. Haeg —uh- that that information was filed because Mr. Haeg insisted on going into open sentencing against his attorneys advice?

MR. OSTERMAN: -Um- there was the issue of open sentencing but that was on a plea beyond the initial rule 11 agreement.

MR. ROM: Correct and that...

MR. OSTERMAN: The initial rule 11 agreement I had heard had been accepted and then Scot filed an amended information that alleged new material that was —uhreceived out of the rule 11 negotiations.

MR. ROM: And –uh- nobody ever informed you that the reason that happened is that Mr. Haeg insisted on going into open sentencing which could have given him a 5 year license suspension – exposure?

MR. OSTERMAN: -Uh- again I – I look back I don't agree with that particular statement. My recollection of this particular file –uh- is that -um- Mr. Haeg - um- had agreed to take a - a – a plea agreement that was initially offered that upon accepting the plea agreement Mr. Leaders filed an amended information and the amended information included additional information and then suddenly the rule 11 agreement was – the (indecipherable) everything was turned off.

<u>MR. ROM</u>: And you weren't aware that the second amended information had significantly higher penalties statutes attributed to it?

MR. OSTERMAN: I - I do know that that was the case and that's one of the things that concerned me is that the first rule 11 agreement got - got the information that was used to be charged in the second amended information. It seemed to me that that was -uh- there were several severe problems there.

## MAGISTRATE WOODMANCY: Ok...

MR. OSTERMAN: It was the fact that the attorney failed to advise the client that making all these fifth amendment statements without a written rule 11 agreement could very well be ineffective assistance of counsel...

MAGISTRATE WOODMANCY: Mr. Haeg you have a minute.

MR. HAEG: ...did – did –uh- Mr. Leaders when he filed the rule amended – or the – the amended information did he utilize all my statements to do so that were made in plea negotiations?

MR. OSTERMAN: I don't know that he used all of them. I know that there was a — quit a few of them. —Uh- whether all were there or not I don't know for sure.

**MR. HAEG**: If he did so is that a vio[lation] direct violation of evidence rule 410?

MR. OSTERMAN: Well it's one of the issues that we were goanna raise on appeal is that there was clear – clearly an – an issue there too, yes.

MR. HAEG: Ok if Mr. ...

MR. OSTERMAN: For statements made as part of the plea agreement.

<u>MR. HAEG</u>: What is it called when Mr. Leaders used my statements made in plea negotiations to file charges not agreed during those plea negotiations – what's that called?

MR. OSTERMAN: Well...

**MR. HAEG**: Is it called...

**MR. OSTERMAN**: ... –uh- from what angle?

MR. HAEG: Is it called prosecutorial misconduct?

MR. OSTERMAN: Would it be for his misconduct?

**MR. HAEG**: Is it prosecutorial misconduct?

MR. OSTERMAN: It could well be, yes.

MR. HAEG: Is it ineffective assistance of counsel for Brent Cole to not jump up in my behalf and defend my rights and object to that?

MR. OSTERMAN: Well I – you know again (laughs) I intended to use it as an issue on appeal. I wasn't there to bring any ethics charges against Brent Cole nor was I there to –uh- to –uh- -um- file any claims for malpractice but I felt that there was some strong issues about Mr. Cole failure to protect your rights.

MR. HAEG: Ok and...

**MAGISTRATE WOODMANCY**: Last question.

**MR. HAEG**: ...Mr. Rom has made it very clear or tried to because to me it's very unclear. Now you specifically tell the court here what the state of plea agreements were from one month before there was supposed to be completed to the time in which they would – they were broke off and who broke them off - because I told you many times and I showed you the evidence. Who broke the deal and how did they do it and when did they do it?

MR. ROM: I'm goanna object to the question. This witness...

**MR. OSTERMAN**: Well I – I ...

MR. ROM: I'm goanna object to the question...

<u>MAGISTRATE WOODMANCY</u>: Whoa – whoa Mr. Osterman – Mr. Osterman hold on there's an objection. Go ahead Mr. Rom.

**MR. ROM**: –Uh- this witness has no personal knowledge of that.

**MAGISTRATE WOODMANCY**: But...(indecipherable)

**MR. HAEG**: He has seen the evidence. He had no personal...

MAGISTRATE WOODMANCY: Whoa - whoa...

MR. HAEG: He has no personal knowledge of what his question was about all of the other stuff. He just had Mr. Osterman testify about all this stuff – of – that I'm the one that broke the rule agreement and Mr. Osterman got all that on. Well how does he have personal knowledge of that?

**MAGISTRATE WOODMANCY**: He was not a party – he's asking – he asked if in his review of the case he was aware of these things.

**MR. HAEG**: Ok. Can I ask if in the review of the case along did – can he tell us who – when

the rule 11 agreement was made, when it was broke, and who broke it according to his research

into the case?

**MAGISTRATE WOODMANCY**: I'll allow that question. Last – last answer Mr. Osterman

and then you can go.

MR. OSTERMAN: Ok thank you Your Honor. I can only tell the

court that -uh- my research seemed to indicate that the plea agreement

was broken by -um- by Mr. Leaders when he filed an amended

information with an open plea agreement -uh- with the party and that the - the plea

agreement – I can't give the court any specific dates as I don't have that information directly in

front of me.

MAGISTRATE WOODMANCY: Ok. Thank you very much Mr. Osterman. You are

excused to go to your next appointment -uh- as matter of record your counsel has reserved the

right to – or your - I'm sorry Mr. –uh- not your counsel but Mr. Haeg has reserved the right to –

uh- call you back in other matters but -uh- for now you're done and thank you for attending sir

you may hang up.

**Jackie Haeg's representation testimony** 

**MR. HAEG**: Ok -um- did we hire Mark Osterman?

**JACKIE HAEG**: Yes.

**MR. HAEG**: Did he make it very clear how much money we were to pay him?

**JACKIE HAEG**: Yes he did.

**MR. HAEG**: And what was – and was – what was that sum and was it for the entire appeal of

my case?

**JACKIE HAEG:** It was 12,000 dollars and he did say it would be for the entire case. He felt it

would be for the entire case.

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MR. HAEG: Ok and did he state how much each point of appeal would be?

**JACKIE HAEG**: Yes it was -um- it would be 3 to 5 thousand a point.

**MR. HAEG**: Ok. And –uh- did we – how do we pay Mr. Osterman?

**JACKIE HAEG**: Oh we gave him a – I believe it was a cashiers check for \$12,000 dollars.

**MR. HAEG**: Ok and then did Mr. Osterman subsequently bill us for more money?

JACKIE HAEG: Yes he did.

**MR. HAEG**: Ok did – what is the total billing he had for us – excluding anything that he may have forgave?

**JACKIE HAEG**: I believe it's now \$36,000 dollars.

**MR. HAEG**: Ok did he finish our case like he had stated the original \$12,000 would finish our case?

JACKIE HAEG: No.

MR. HAEG: When he gave us that additional bill did he – was he the same price per point as he was when hired him?

**JACKIE HAEG**: No.

**MR. HAEG**: How much additional per point?

**JACKIE HAEG**: -Um- well I would say somewhere about – well twice as much...

MR. HAEG: Ok.

**JACKIE HAEG**: ...or more then twice.

MR. HAEG: So to paraphrase it – we hired Mr. Osterman for one price for the completed case and he billed us for twice as much...

**JACKIE HAEG**: Yes.

**MR. HAEG**: ...for not completing the case?

JACKIE HAEG: Yes.

**MR. HAEG**: And he didn't honor the agreement he made, correct?

**JACKIE HAEG**: Yes.

**MR. HAEG**: Ok when we first hired him what did he say - and this is something where it might be hearsay you know so you might just... What did he say about our ability or – what did he say the biggest thing was going to be that would help us in our appeal?

**JACKIE HAEG**: That ineffective assistance of counsel.

**MR. HAEG**: Ok of who – of who?

**JACKIE HAEG**: Of -um- Brent Cole.

**MR. HAEG**: Ok. Did he say anything about Chuck Robinson?

**JACKIE HAEG**: Well he said that yeah that Chuck could be brought in with ineffective assistance of counsel also.

**MR. HAEG**: Ok and was he – did Mr. Osterman seem like it was a good chance, a little chance, or what – how did he describe the conduct of my first two attorneys?

**JACKIE HAEG**: Pretty much as outrageous, unbelievable, you know he couldn't believe what they had done – or had not done.

**MR. HAEG**: Ok and did he feel that a lot of what they had done or had not done was attributable to the State prosecutor?

**JACKIE HAEG**: Yeah he did. He felt that Mr. Leaders had done quit a bit of things bad in your case.

MR. HAEG: And that – and was my attorneys actions in – or inactions a result of what Mr. Leaders did?

**JACKIE HAEG**: In breaking the rule 11 agreement yes. They – they never stood up for it.

<u>MR. HAEG</u>: Ok and what about the using my statements against me that I made in plea negotiations – did Mr. Leaders do that and did –uh- Mr. Osterman consider that a very significant act?

**JACKIE HAEG**: Yes. Mr. Leaders could do that and Mr. Osterman said that he could not believe that?

MR. HAEG: Ok did I ask Mr. Osterman if he had any compunction whatsoever for using the acts of my first two attorneys to help me?

**JACKIE HAEG**: Yes you did ask him that.

**MR. HAEG**: Ok. So how did – what did – what frame of mind did Mr. Osterman try to put me into – or what – what was his –uh- outlook on the ability of us – of him to successfully reverse my conviction?

MR. ROM: Objection.

**MAGISTRATE WOODMANCY**: Sustained. Your asking your wife what he was thinking.

**MR. HAEG**: Ok. Ok and ok -um- was Mr. Osterman optimistic?

**JACKIE HAEG**: Yes he was – very.

MR. HAEG: Ok and did that optimism or did it – you had stated earlier that he said he was willing to use the actions of my first two attorneys to help me – is that correct?

**JACKIE HAEG**: Yes (indecipherable).

**MR. HAEG**: Ok did that change at some point?

**JACKIE HAEG**: Yes it changed.

MR. HAEG: Ok and can you explain to the court how that changed?

**JACKIE HAEG**: Well he told – he said that he didn't want to go after two attorneys lives and livelihoods and that he was not goanna follow through on the ineffective assistance of counsel claim like he had originally told he was goanna do.

<u>MR. HAEG</u>: Ok -um- did he ever – did Mr. Osterman state that ineffective assistance of counsel is a – is a cumulative nature?

**JACKIE HAEG**: Yes he did.

**MR. HAEG**: -Um- did Mr. Osterman use a cumulative tactic?

**JACKIE HAEG**: No.

**MR. HAEG**: And why not?

**JACKIE HAEG**: I don't know.

MR. HAEG: Ok -um- do you remember him stating that he did not want to affect the lives and livelihoods?

**JACKIE HAEG**: That's what I just said.

<u>MR. HAEG</u>: Ok -um- in the Mr. Osterman's representation of me and us because we're all involved in this – would you say that Mr. Osterman had a conflict of interest?

**MR. ROM**: Objection – leading.

MR. HAEG: Ok.

MAGISTRATE WOODMANCY: Sustained.

MR. HAEG: Ok. I don't know how to do this. In your opinion could Mr. Osterman represent us effectively?

**MR. ROM**: Objection...

MR. HAEG: Ok.

**MR. ROM**: ...that's for a legal conclusion – she's not – there's no foundation.

**MR. HAEG**: -Um-

**MAGISTRATE WOODMANCY**: Sustained.

MR. HAEG: Ok. Do you think Mr. – do you think our money was buying Mr. Osterman's loyalty?

JACKIE HAEG: No.

**MR. HAEG**: Why not?

**JACKIE HAEG**: Because he didn't follow through on what he said he was goanna do for that money and the...

**MR. HAEG**: Ok. Did he ever – was there ever a concern expressed by him of my former – or for the – the – the future of my former attorneys?

**MR. ROM**: Objection – leading.

**MR. HAEG**: -Um- -um- -uh- that sustained?

**MAGISTRATE WOODMANCY**: Yes.

**MR. HAEG**: Ok.

**MAGISTRATE WOODMANCY**: Go ahead.

**MR. HAEG**: -Um- why don't you think Mr. Osterman should be allowed to continue as our attorney?

**JACKIE HAEG**: Because is not doing or did not do what he said that he would do and I don't feel he will do what he said he that he would do – I mean...

MR. HAEG: Ok and what did he say he was goanna do?

**JACKIE HAEG**: He was goanna file an ineffective assistance of counsel claim against Brent Cole and Chuck Robinson and he was goanna list everything that they had done and he did not do that.

**JACKIE HAEG**: Well like not standing up for the rule 11 agreement, Chuck not going you know ineffective assistance of counsel -um- saying that you know what Brent did and there was nothing he could do about that -um- I'm sorry I just can't think...

MR. HAEG: Ok did Mr. Osterman have any concerns about Mr. Leaders actions?

**JACKIE HAEG**: Yeah he felt the he – he worded it – he said that "Scot stomped on your head with boots" is what he said in one of the conversations he felt that or Mr. Leaders I'm sorry – that he did not treat you fairly – like he's supposed to.

**MR. HAEG**: Ok. Did he have any concerns of violations of my rights?

**JACKIE HAEG**: Yes he did.

MR. HAEG: Ok did he utilize any of these concerns in his draft brief that we looked at?

**JACKIE HAEG**: No he did not.

MR. HAEG: Would that lead you that – lead you to believe in your opinion that he was not representing us?

JACKIE HAEG: Yes.

 $\underline{MR.\ HAEG}$ : Ok – I – I – yeah. Could – and this you might shut me down on this. Could Mr.

Leaders – or could Mr. Osterman been representing my first two attorneys?

**JACKIE HAEG**: could have – yes.

**MR. HAEG**: In your opinion is that what he was doing?

**JACKIE HAEG**: It seemed to be, yes.

**MR. HAEG**: Ok. Is - in your opinion is that why we fired Mr. Osterman?

**JACKIE HAEG**: Yes.

MR. HAEG: Ok -um- do you think Mr. Osterman should be allowed to continue as our attorney?

**JACKIE HAEG**: No.

MR. HAEG: (exhale) did Mr. Osterman appear to do anything that would actually help us in our appeal?

**JACKIE HAEG**: No he did not.

MR. HAEG: Did what Mr. Osterman do essentially copy and this might be leading I don't know – copy what Mr. Robinson had done already?

**JACKIE HAEG**: It looked that, yes.

MR. HAEG: When we first hired Mr. Osterman what did he say about Mr. Robinson's appeal points?

**JACKIE HAEG**: He said that he did not agree with them.

**MR. HAEG**: Did he think they would work?

**JACKIE HAEG**: He did not think they would work.

**MR. HAEG**: Did he think there was anything better to go with?

**JACKIE HAEG**: Yes he did.

**MR. HAEG**: And were those the things that we've already talked about?

**JACKIE HAEG**: Yes they are. The ineffective assistance of counsel...

MR. HAEG: Ok.

**MR. HAEG**: Ok and did I ever dis – or did we ever discuss the actions of the troopers in my

case?

**JACKIE HAEG**: Yes we have.

MR. HAEG: And what did Mr. Osterman think of those actions?

**JACKIE HAEG**: He agreed with you he – he felt that –uh- they were not right either.

MR. HAEG: Ok was any of that in the draft brief that Mr. Osterman –uh- gave us?

**JACKIE HAEG**: No it wasn't.

MR. HAEG: Ok -um- I hired Mark Osterman after extremely serious doubts about my former attorneys. I showed Mr. Osterman my evidence that I'd compiled. Mr. Osterman was in awe of what had happened - said it was – paraphrase it – "the biggest sellout that he'd ever seen" and he thought that when the Court of Appeals seen it my conviction would be reversed. He also said that the prosecutor "stomped on my head with boots" and at the same time my own attorneys allowed them – allowed him to commit those acts without doing anything. –Uh- Mr. Osterman also said numerous times that what they did was unbelievable, unacceptable, and that he had just never seen anything like it. And I have –uh- tapes of him stating these things over and over again. I point blank ask Mr. Osterman that – did he have any compunction against using what my former attorneys did to help me out of the nightmare that I was in and he said "no". He said he didn't like doing it but he didn't like washing or doing toilets and whatever. About a month and a half later – well let me like – let me just back up a second here. Mr. Osterman said that I couldn't bother him for about a month because he'd be compiling everything, utilizing my arguments as the basis for his appeal. He agreed that Chuck Robinson's basis's were without merit and that he would be forming an appeal that centered around the issues that I had brought

to him along with the tons of caselaw – literally tons – supporting my arguments. About a month - month and a half later I hadn't hear from him. He kept kind of shifting. I'd call wonder what's going on. He would not let me talk to the people writing the brief. Along with himself he had somebody along with himself working on it. He wouldn't let me see what they had done. He essentially shut me out for a month and a half. I finally said, "I have to see what's went on in a month and a half". And he let me go in, I looked at the brief. The brief had all of the points that Chuck Robinson had and one point of ineffective assistance of counsel that brought up a point so tiny that it was nonexistent and the way he worded it - it would have been immediately thrown out of the Court of Appeals. I pointed this out to him and he said "when you have such a - avalid point why would you want to have it cumulative – why would you want the ineffective assistance cumulative?" He told me that they lying of my own attorneys that I had showed him proof of "didn't matter" in my ineffective assistance of counsel. Yet by very definition when your attorney lies to you – you are getting ineffective assistance of counsel. Mark Osterman said, "We don't need that – that's bad." He actually told me that if we attacked my attorneys the Court of Appeals would "throw" my case out. He said if we show just how bad my representation was they would throw my case out. And I thought about that and I thought about it and I thought about it and I did get somewhat upset because I had given that man a lot of money - \$12,000 dollars in a cashiers check to be exact – and he said he was goanna write a brief that I wanted and he agreed that it was the proper brief. And when I received the brief it had none of that in it and I asked him why. And he says, "I cannot..." and this isn't the exact quote but it is very close "What you're asking me to do by doing that will affect the lives and livelihoods of your former attorneys" and that's what he testified here earlier as having said. Now you're guaranteed many things by the United States Constitution - one of them in the actual amendments itself says you are guaranteed [e] assistance of counsel. And the U.S. Supreme Court has held over and over and over again in Strickland versus Washington, Cronic, Cuyler, and in Alaska Risher that when you are guaranteed assistance of counsel it will be effective assistance of counsel or it is no counsel at all and when you can prove that there in anyway there's a conflict of interest you are not getting effective assistance of counsel because your counsels loyalty is divided and you cannot ever know what your attorney would have done for you when he has - differently when he has a conflict of interest. Because you cannot look

into his mind and say he was advocating for you here and there he wasn't. They say that when you can prove a conflict of interest you do not have to prove prejudice because the likelihood of prejudice is so overwhelming that you do not have to prove it. Well whose loyalties did I have when Mr. Osterman after telling me all of the bad things my attorneys did refuse to put it into my brief and told me he couldn't do so because it would "affect the lives and livelihoods" of my attorneys? I hired someone to look out for my life and livelihood and if someone else's actions when I hired them to represent me and they did it so badly that I got placed in a horrible hole and it was their conduct but the only way for me to be leveraged out of my hole to justice and a fair trial is to affect their lives and livelihood so be it. It isn't my responsibility to accept the damage of my attorneys who committed such horrible things against me. They have to take – be responsible for what they did against me. And Mark Osterman said he was not willing to show what my attorneys did that robbed me of my right to a fair trial – he was not willing to show the court that and that is one of the most egregious things that has ever happened in the history of the United States.

**MR. ROM**: Did you tape record conversations with Mr. Osterman?

MR. HAEG: Yes.

**MR. ROM**: -Um- all of them?

**MR. HAEG**: Yes.

**MR. ROM**: From the very beginning?

**MR. HAEG**: I believe so.

**MR. ROM**: You talked about the Strickland and Risher test. Do you know what the Risher test

is?

**MR. HAEG**: Yes.

**MR. ROM**: What is it?

MR. HAEG: It is the test to determine whether you have a valid ineffective assistance of counsel claim.

MR. ROM: What conflict of interest did Osterman have?

<u>MR. HAEG</u>: He told me, in no uncertain words, that by putting stuff in what my former attorneys did would affect their lives and livelihoods.

**MR. ROM**: Why is that a conflict of interest?

MR. HAEG: Ok as far as me providing – being a guide for a whole year -um- I discussed this at length with -um- my attorneys. Mr. Cole said in a – for the plea negotiating – plea negotiation that we were working on that I would have to give up my guide license – give up guiding – not give up guide license. He said I would have to give up guiding for a whole year and so based on that word from my attorney relayed to me from the prosecutor my wife and I sent back an entire years income to the people we had taken deposits for and that hurt us bad. Because we had to continue paying for our lodge leases and all our permits and our bonding and everything. We went – I have immense overhead – I gave up all the money the whole entire gross and paid my whole overhead and slit our own throats because my own attorney said that's what the prosecutor required. I fired Mr. Cole because of his refusal – because of his lying to me that he couldn't enforce that agreement and when I hired Mr. Robinson – Mr. Robinson says "go book hunts – do - make money - try to do something" and I said "I can't - I told them I wouldn't guide" and I believe Mr. Robinson probably told me well if you're not guiding with them in the field it isn't guiding. I don't know at the time. I was listening very attentively to my attorneys at this point. The first one said cancel a whole years hunts so we do it. It takes me – usually in a guiding outfit with hunters that it's their main -uh- fun thing they do for the whole year and it takes up a big hunt – they book these things 2 and 3 and 4 years out in advance. Mr. Cole told me to send back all the deposits for an entire year. My wife and I did so. Then for the agreement that we had done that and spent so much money the State attorney broke the deal after most of the guide season was done. After when we could do anything about it.

MAGISTRATE WOODMANCY: Lets move on to Mr. Osterman.

MR. HAEG: Ok.

MAGISTRATE WOODMANCY: You – you've made this point like 8 times Mr. Haeg...

MR. HAEG: Ok.

MAGISTRATE WOODMANCY: I understand you feel you gave up guiding for a year.

Please let's...

MR. HAEG: Ok...

**MAGISTRATE WOODMANCY**: ...get this moving.

MR. HAEG:...well I just – it upsets me that – that Mr. Rom is saying that I didn't give up guiding because I booked hunts. I may have booked hunts so that we could guide in 2008. But when you're booking hunts – see the booking – the hunts I cancelled in 2004 and 2005 the effort to book those hunts and get that money was done probably in 1999 so when I gave up those hunts there I gave up the effort and money that was spent to book them that was spent back in 1999. So there's – there's 2 periods of time that I take a hit when I don't actually produce the hunt. And so maybe I'm just – and I don't know maybe Robinson says you need to book hunts here so that after you're guide license suspension is over and the years have gone by you have hunters to produce revenue you know and I don't remember. I really don't. So anyway that's you know -um- I guess all is I have to say about that and I don't think that – that I ever necessarily discussed that with Osterman - that he knew all of those ramifications. I told him I know I told him that Brent Cole said to give a 5 hour interview slash –uh- confession – he said you give up guiding for a year. Most of that year went by without booking anybody and then Mr. Osterman you know I told him all this stuff happened but I probably did not get into the nuts and bolts on how it happened. Because he was you know then Robinson was there. Alls I know is we took an enormous hit – enormous for something that it was agreed upon and I never got what I bought and paid for because if anybody has any doubt that I bought and paid for dearly for that deal is so mistaken they're crazy. I mean if you people can sit here and look at me and my wife and we have 2 kids that we gave up all income for a whole year that didn't hurt us. It hurt us bad and we didn't get a thing for it. That was payment – in fact they used my confession against me and the reason why I get upset is I know the Supreme Court would just go "when a man buys a – a deal he gets the deal". It's as simple as that I bought and paid for it and it was all used against me. I had no money to go on to hire more attorneys – I'm broke now and they used all my statements that I made for the same deal. It's so wrong and that's why I'm upset is because I read these opinions from the US Supreme Court and they would turn over in their graves the people that made those opinions if they knew what happened. We – let me get this out. My attorney said the prosecutor needs me to give up all my weapons and all my defenses for this deal. He said you do this and you get this. You do this and you don't have to go to trial. You get this punishment here. So my wife and my family we gave up all of our defenses and all of our weapons. We gave the State everything they needed – we gave them all of our money so that we could have something we could live with -5 hours -5 business hours before we were supposed to get this deal Prosecutor Scot Leaders changed the charges in violation of what I had bought and paid for and because I had already given him all of my defenses and all of my weapons he then sent me into the ring to do battle with the gladiators. And there's a U.S. Supreme Court case it's Cronic I believe where the Supreme Court judges quote Judge Wyzanski and he said "While a criminal trial is not a ba -a - a contest between equally armed adversaries neither is it the sacrifice of unarmed prisoners to gladiators." Now what happened to me is the State of Alaska used deception – lies to get me to give them all of their armor – or give – yeah give them all of my armor, all of my weapons so that I did not have to go do battle with the gladiators. Then after they had my weapons and all my armor they threw me into the arena with the gladiators with no weapons no armors and with my hands tied behind my back to do battle at trial. Which we were – most of us was there and that was such a gross perversion of the system that it's almost incomprehensible - virtually incomprehensible. At least according to the U.S. Supreme Court's definition of what happens when you make a deal and you rely on it to your detriment. They said giving the prosecution information is greatly to your detriment. I think a 5 hour confession put me at a significant disadvantage at trial. In fact my statements are in every information that was filed – all 3 of them. It says "in an interview with Mr. Haeg he said thisthis-this and this" in all 3 of them. That violates due process, that violates the Constitution, that violates evidence rule 410, that violates the attorneys – the prosecutors duty to look out for me to have a fair trial because even though he's my adversary it is his duty according to U.S. Supreme Court to look out for my interest. And he was just hacking on them and using my own attorney's conflict of interest. I asked him "how can they use my statements against me"?

**MAGISTRATE WOODMANCY**: Let me...

**MR. HAEG**: You know ok – and ...

**MAGISTRATE WOODMANCY**: we're not trying this whole thing...

MR. HAEG: Ok.

**MAGISTRATE WOODMANCY**: How does this relate to Osterman?

**MR. HAEG**: Osterman did not...

**MAGISTRATE WOODMANCY**: Wrap this up.

MR. HAEG: ...utilize any of that for me. None of it and he said he would. He said he would when I hired him. He took my money, spent it, and then he said he wasn't goanna use it – wasn't goanna use it, and then he handed me a bill for another \$30,000 dollars almost. Or well \$24,000 dollars on top of what he said it would cost. I'm like you know – I'm – and I'm sorry I get so frustrated...

**MAGISTRATE WOODMANCY**: Once any motion has gone to the court it's goanna stay in the file.

**MR. ROM**: Nobody ever gets to pull a file document out.

# Exhibit 25

# STATE OF ALASKA ADMITTING AT REPRESENTATION HEARING THAT HAEG'S IMMUNIZED STATEMENT WAS USED AGAINST HIM

# 8/15/06 Remand Hearing McGrath

OSTERMAN: It appeared to me that Mr. Haeg had not committed one way or the other when an amended information was filed and the amended information contained information provided by Haeg uh- as a result of rule 11 negotiations.

ROM: Right

MR. OSTERMAN: The initial rule 11 agreement I had heard had been accepted and then Scot filed an amended information that alleged new material that was -uh- received out of the rule 11 negotiations.

MR. ROM: And -uh- nobody ever informed you that the reason that happened is that Mr. Haeg insisted on going into open sentencing which could have given him a 5 year license suspension - exposure?

# EXHIBIT 26

# STATE OF ALASKA 14 PAGE OPPOSITION TO HAEG REPRESENTING HIMSELF – USING HAEG'S IMMUNIZED STATEMENT

<u>September 18, 2006</u> – In the District Court for the State of Alaska Fourth Judicial District at McGrath. Haeg v. State, Case No. 4MC-S04-24 CR.

#### MEMORANDUM OF LAW

# I. <u>Factual and Procedural History</u>

In 2004 the Alaska Department of Fish and Game (ADF&G) managed a Predator Control Program in the McGrath area. Permits were issued for certain game management subunits to allow wolves to be taken from the air with the use of an airplane. David Haeg applied for and received such a permit. In March 2004, David Haeg and Tony Zellers, both of whom were licensed under Title 8 as Alaska Big Game Hunting Guides, took a number of wolves with Zellers shooting the wolves they encountered from which Haeg piloted.

In early March 2004, Alaska State Trooper Brett Gibbens learned that Haeg and Zellers may have been taken wolves outside of their permitted area. Over the course of the next several months Gibbens investigation showed that Haeg and Zellers had taken a number of wolves outside of the legally permitted area and provided false information to ADF&G claiming the wolves were in a legal area. Eventually, search warrants were executed and the aircraft was seized. In June 2004 both hunters were interviewed by the troopers and admitted that they knew nine wolves were shot from the airplane outside the permit area. Both men were charged with various criminal counts. Zellers case resolved by way of a plea agreement, and Haeg proceeded to jury trial where he was convicted. On September 30, 2005, he was sentenced for five counts of Unlawful Acts by a Guide: same day airborne in violation of AS 8.54.720(a)(15), two counts of Unlawful Possession of Game in violation of 5AAC 92.140(a), one count of Unsworn Falsification in violation of AS 11.56.210(a)(2), and one count of Trapping in a Closed Season in violation of 5AAC 84.270(14). He filed a timely Notice of Appeal in the Court of Appeals.

Appellant initially retained attorney Brent Cole to represent him. After a failed plea negotiation, but prior to trial, appellant fired Mr. Cole and obtained representation by attorney Arthur S. Robinson. Mr. Robinson represented appellant through trial and began working on the appeal. Appellant fired Mr. Robinson and retained the services of Mark Osterman to perfect the appeal. Once the brief was substantially completed and, appellant reviewed it, he fired Mr. Osterman. Appellant attempted to waive the assistance of counsel and to proceed pro se. The matter was remanded by the Court of Appeals for hearing which occurred in McGrath on August 15, 2006, to determine whether he and intelligently waive his right to counsel and whether he is competent to represent himself on appeal.

### I. Legal Authority for Pro Se Status

A criminal defendant has a right to waive counsel and represent himself. McKaskle v. Wiggins, 465 U.S. 168, 104 S.Ct. 944,79 L.Ed.2d 122 (1984); Faretta v. California, 422 U.S. 806, 95 S.Ct. 2525, 45 L.Ed.2d 562 (1 975); McCracken v. State, 518 P.2d 85, 90-9 1 (Alaska 1974); Lampley v. State, 33 P.3d 184, 189 (Alaska App. 2001) (trial court properly denied defendant's request to represent himself based in part, upon repeated threats to harm trial judge). In order to be granted pro se representation, a defendant must clearly and unequivocally express his desire to represent himself. Faretta, 42 U.S. at 835, 95 S.Ct. at 2541. This constitutional right applies at trial but not to appeals. Martinez v. Court of Appeals of California Fourth Appellate Dist., 120 S.Ct. 684, 692, 528 U.S. 152 (2000) (a criminal defendant has no federal constitutional right to represent himself on appeal). As the Faretta court recognized, the right to self-representation is not absolute. The defendant must "voluntarily and intelligently" elect to conduct his own defense, 422 U.S., at 835, 95 S.Ct. 2525 (quoting Johnson v. Zerbst, 304 U.S. 458,464-465, 58 S.Ct. 1019, 82 L.Ed. 1461 (1938)), and most courts require him to so in a timely manner. He must first be "made aware of the dangers and disadvantages of self-representation." 422 U.S, at 835, 95 S.Ct. 2525. A trial judge may terminate self-representation or appoint "standby counsel" – even over the defendant's objection – if necessary. *Id.* 834 n. 46, 95 S.Ct. 2525. The Supreme Court has further held that standby counsel may participate in the trial proceedings, even without the express consent of the defendant, as long as that participation does not "seriously undermin[e]" the "appearance before the jury" that the defendant is representing himself. McKaskle v. Wiggins, 465 U.S. 168, 187, 104 S.Ct. 944, 79 L.Ed.2d 122 (1984). Additionally, the trial judge is under no duty to provide instruction on courtroom procedure or to perform any legal "chores" for the defendant that counsel would normally carry out. Id. at 183-184, 104 S.Ct. 944. Therefore, the government's interest in ensuring the integrity and efficiency of the trial at times outweighs the defendant's interest in acting as his own lawyer. 120 S.Ct. at 691.

Courts disfavor self-representation. Not even the *Faretta* majority attempted to argue that *pro se* representation is wise, desirable or efficient, and some waive his right to a fair trial. 120 S.Ct. 691 n.9. The Supreme Court has found, that although the right to defend oneself at trial is "fundamental," representation by counsel is the standard, not the exception. *Patterson v. Illinois*, 487 U.S. 285, 307, 108 S.Ct. 2389, 101 L.Ed.2d 261 (1988) (noting the "strong presumption against" waiver of right to counsel). The Supreme Court recently noted that "a *pro se* defense is usually a bad defense, particularly when compared to a defense provided by an experienced criminal defense attorney." 120 S.Ct. at 691.

Given this strong bias against *pro se* representation, the waiver of the right to counsel is not unlike a change of plea. In making these determinations, a trial court must also advise a defendant of his right to counsel, the importance of having counsel, and damages of proceeding without counsel. *Evans v. State*, 822 P.2d 1370, 1374 (Alaska App. 1991). Not only must the trial court explain in detail the advantages of legal representation, but it must be satisfied that the defendant understands those knowing and intelligent waiver, the Court of Appeals will reverse a

conviction of a *pro se* defendant. *McIntire v. State*, 42 P.3d 558, 562-63 (Alaska App. 2002) (reversing conviction for inadequate inquiry). <sup>1</sup>

These advisements are critical to establishing a valid waiver. They must include an explanation of the function of defense counsel, e.g., conduct *voir dire* to ensure selection of impartial jury, cross-examine state witnesses, object to inadmissible-evidence, call and examine defense witnesses, and argue the case to the jury. And they must also include an explanation of the dangers of self-representation; it is not sufficient for the trial court to simply advise a defendant that it would be foolhardy to proceed without counsel. The purpose of this inquiry is "so that the record will establish that '[the defendant] knows what he is doing and his choice is made with eyes open." James, 730 p.2d at 814 n.1 (quoting, I ABA Standards for Criminal Justice § 3-3.6 commentary at 6.39-40 (2nd ed. 1982)), *modified on reh'g*, 739 P.2d 13 14 (Alaska App. the criminal justice system, although a factor is not an adequate substitute for these explanations. *McIntire*, 42 P.3d at 562 (pro se defendant had been previously been convicted of seven misdemeanors and a felony, had viewed the court system video many times; and was assisted by two paralegals at trial; his experience with criminal justice system did not cure judge's inadequate inquiry).

The proper standard of review of a trial court's findings regarding waiver of a constitutional right is whether the trial court's finding of waiver is supported by substantial evidence. *Walunga v. State*, 630 P d 527, 528 (Alaska 1980). Whether a defendant has knowingly, intelligently, and voluntarily waived his right to counsel is a question of fact to be determined in light of the totality of the circumstances. *James v. State*, 730 P.2d 811, 817 (Alaska App. 1987), (Singleton, dissenting), *modified on reh'g*, 739 P.2d 1314 (Alaska App. 1987) (*citing Maynard v. Meachum*, 545 F.2d 273,277-79 (1st Cir. 1976)). The Alaska Supreme Court requires that the trial court first establish that the defendant can represent himself in a "rational and coherent manner" and then determine whether "the prisoner understands precisely what he is giving up by declining the assistance of counsel" before allowing the defendant to appear pro se. Evans, 822 P.2d at 1373 (*citing McCracken*, 5 18 P.2d at 9 1). The trial judge must explain the advantages of legal representation in "some detail." Evans, 822 P.2d at 1373 (*citing McCracken*, 5 18 P.2d at 92). The record must reflect a clear waiver of the right to

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<sup>&</sup>lt;sup>1</sup> In *Gladden v. State*, 110 P.3d 1006, 1009-11 (Alaska App. 2005). Gladden was charged with the fairly uncomplicated charge of driving on a suspended license. He watched the court system video which "explained the benefits of counsel in general terms" and the trial judge actually gave him copies of United States Supreme Court opinions, including the landmark opinion of *Johnson v. Zerbst*, 304 U.S. 458, 58 S.Ct. 1019, 82 L.Ed. 1461 (1938) ("the obvious truth that the average defendant does not have the professional legal skill to protect himself when [] the prosecution is presented by experienced and learned counsel.") The court of appeals concluded that, "[tlhe record suggests that Gladden understood the value of an attorney, at least in general terms." 110 P.3d at 1010. In fact, Gladden insisted on representing himself-arguing that Alaska-licensed "attorneys" were not the same as "counsel" guaranteed to him by the Sixth Amendment. This argument itself certainly suggested that Gladden had a grasp of the significance of the right he was waiving. The case was not complex, and the prosecution's entire case consisted of a certified copy of his DMV record and the testimony of the officer who saw him driving. Yet, the court of appeals reversed, holding that the trial court's inquiry was inadequate, and too general. *Gladden*, 110 P.3d at 1010.

counsel. Evans, 822 P.2d at 1373 (citing, *O'Dell v. Anchorage*, 576 P.2d 104, 108 (Alaska 1978); *Smith v. State*, 65 1 P.2d 1 19 1, 1 194 (Alaska App. 1982)).

The court must hold a hearing to determine if a defendant is competent to represent himself and whether he waives his right to counsel. Burks v. State, 748 P.2d 1178, 1180 (Alaska App. 1988). Even if the court finds that a defendant is competent to represent one's self and makes a knowing, intelligent, and voluntary waiver of the right to be represented by counsel, the court can still deny the defendant's request to proceed pro se. If it appears that the defendant would be unable to obey the court's orders or if the court finds it would be necessary to require the defendant to be represented by counsel in order to regulate courtroom decorum, the motion should be denied.

Before a trial court allows a defendant to represent himself, it must determine whether, (1) the defendant is competent to waive his right to counsel, (2) he does in fact knowingly and intelligently waive that right, and (3) the person is minimally competent to represent himself. Ramsey v. State, 834 P.2d 81 1, 814 (Alaska App. 1992). The court must be satisfied with two things: that the defendant can represent himself in a "rational and coherent manner," McCracken, 5 18 P.2d at 9 1, and that he "can conduct his defense without being unusually 829 P.2d 1201, 1205 (Alaska App. 1992). Self-representation may be defined if the defendant clearly demonstrates an unwillingness to comply with rules and regulations. *Burks v. State*, 748 P.2d 1178, 1181 n. 1 (Alaska App. 1988).

In Gargan v. State, 805 P.2d 998, 1000 (Alaska App.), cert. denied, 111 S.Ct. 2808 (1991), the court noted that the defendant may be required to be represented by counsel. In Gargan, the court found that where a defendant was unable to obey court orders, or unable to manage his own case within the rules of evidence and the general procedure of an orderly courtroom, co-counsel status may be denied. Gargan, 805 P.2d at 1001. Gargan was charged with solicitation to commit perjury and tampering with evidence when he attempted to manufacture evidence to exculpate his son who had been charged with burglary. At the joint trial Gargan represented himself while his son was represented by the public defender. Gargan included objectionable statements and violated a protective order in his opening statement. The public defender representing his son moved to sever the trial and for a mistrial as to the son. The motions were granted and the court required Gargan to be represented by a counsel at a new trial before a new jury because of his inability to focus his arguments or obey court orders. The Court of Appeals found that the trial court did not abuse its discretion. *Id*.

Therefore, there is clear authority to permit this court to exercise it discretion and deny *pro se* status for appellant if the court determines he is unable to obey court orders or present his defense in coherent manner. *Id*.

### III. Legal Argument

At hearing on August 15, 2006, it quickly became apparent that Mr. Haeg is not competent to undertake pro se status. While he may be able to knowingly and intelligently waive

his right to an attorney, he cannot control his conduct, nor can he provide a coherent strategy for his defense. He repeatedly failed to comply with clear directions from the court.

The hearing began at 11:00 a.m. and ended at 10:00 p.m. With a number of short breaks, a short lunch break, and a one and a half hour dinner break, it is estimated that more than eight hours of testimony was taken. During this lengthy testimony it was apparent that the appellant could not stick to the issues before the magistrate. His inability to focus on a single issue without getting sidetracked into collateral matters is a clear indication he will not be able to address proper points on appeal. He could not describe what points he would brief on appeal, if any.

On a number of occasions the appellant became argumentative with the judicial officer. Throughout the hearing, when objections were sustained, he continued to argue for a different outcome. While his persistence may be appropriate in a different forum, his conduct showed that he could not accept the authority of the court, even when given a clear directive.

He does not understand legal strategy, legal argument, and basic legal principles and procedures. For example, he said during the hearing that he did not understand that he could make objections, or that he could be a witness for himself at a hearing that was brought by his own motion. He claimed he could read the entire book of court rules in a matter of days and understand it well enough to proceed without assistance of counsel. He was confused by the distinction between direct examination and cross examination, and could not distinguish import procedural differences between the two. He does not know when to proceed with a direct appeal post conviction relief. He thought the hearing on whether he was competent to represent himself was a post conviction relief proceeding. Any legal argument he furthers in the Court of Appeals is highly likely not to be presented coherently.

To complicate matters further, his lack of legal understanding combined with his efforts to learn sufficient substantive and procedural law to enable himself to proceed without assistance of counsel, has given him a distorted impression of which rules apply to a given situation. Frequently he would take a statement from a case or the rules out of context and try to apply it to a wholly inapplicable situation. For example, appellant recited a portion from Criminal Rule 35.1 (f) (1), which reads: "in considering a pro se application the court shall consider substance and disregard defects of form," but without considering the remainder of the sentence indicating the burden of proof and persuasion, he argued for the far broader principle that in any post conviction proceeding (including, in his mind, is direct appeal), form is totally unimportant and that only substance mattered. He stated that form "falls away." In another words, he is prepared to construe a part of a sentence outside of its context to enable him to represent himself in the Court of Appeals without being subject to the normal procedural requirements. This can only lead to a chaotic presentation which will be entirely disruptive to the legal process. As another example, under subsection (g) of the same rule, he understood that the "court may receive proof by affidavits, depositions, oral testimony, or other evidence." Because he was confused by the distinction between post conviction relief and an appeal, he sought affidavits from approximately twenty or more persons, including opposing counsel. At least one of the affidavits he sought contained a list of 200 questions he wanted answered. He has inappropriately filed a number of motions in the Court of Appeals for 1) evidence and discovery, and 2) to compel a witness to

testify on his behalf. He does not understand enough of the legal process to effectively present a coherent appeal.

The defendant is too emotionally involved in his case to represent himself, even at a minimal level. He admitted that he is extremely angry and he got emotional several times during the hearing. The criminal case against him has unquestionably affected his emotional state and it is clear that it is a paramount issue in his life. Whatever reasons for this may be, his emotional involvement prohibits him from sometimes acting in his own best interest. As indicated above, even when directed to do something or to not do something, he will persist because he is unable to control his emotions. But it also affects his reasoning. Because the case is so important to him, he is willing to bend the rules or not follow the rules to follow a particular course he believes is going to be more effective. The hazard posed by this disorganized course of action is that he will pull from the civil rules, rather than from the appellate rules, which he thinks it will give him an advantage or an argument that he couldn't otherwise have. He has filed motions while represented, even when told not to do so. He cites cases out of context when he feels the point he wants to establish can be found in that case, even when it is not. He does not appreciate the order in the law the rules are designed to maintain.

Unfortunately, because the defendant will rationalize or justify inappropriate conduct, he will put matters before the court that should never be there. For example, in his Motion for Reconsider of Stay of Guide License Suspension Pending Appeal he filed in the Court of Appeals, identified as Exhibit 8 in the August 15<sup>th</sup> hearing, he discussed a confidential proceeding he knew he was not to disclose. In his Motion to Proceed Pro Se, identified as Exhibit 3 in the August 15<sup>th</sup> hearing he again revealed confidential proceedings before the Alaska Bar Association, and included a partial transcript of conversations he had had with his attorney that were secretly recorded. Moreover, he included threats, said he didn't care if the Court of Appeals threw his case out, used inappropriate, vulgar language, and believed doing so was appropriate and effective.

Appellant's emotional involvement in this case impairs his judgment. In a motion he filed in the Court of Appeals titled Motion for Stay of Forfeiture, Judgment of Restitution and Licenses Suspension Pending Appeal, he attached an appraisal of the airplane forfeited by the judgment of conviction. The appraisal indicates the forfeited airplane has a value of \$11, 290. However, he testified at the August 15<sup>th</sup> hearing that the appraisal was "ridiculously low" and that he knew it was not the "true value." He testified that there was some missing documentation which caused the appraisal to be substantially distorted. Nonetheless, he filed the document with the Court of Appeals knowing it to be extremely inaccurate and misleading. While this may be one significant example, the length of the hearing was at least in part attributed to cross of examination of the defendant and attempts to get him to be forthcoming in his testimony. The court must be able to rely on veracity and trustworthiness of a litigant standing before it.

Throughout the appellant was unable to focus his arguments on the issues properly before the court. He was unable to coherently present his case, he refused to follow the directions of the court, and he demonstrated his inability to understand the mechanics of the law necessary to coherently further his case.

### IV. Conclusion

Based on the forgoing, it is respectfully submitted that the appellants should not be allowed to represent himself.

Dated September 18<sup>th</sup>, 2006 at Anchorage, Alaska. DAVID W. MARQUEZ ATTORNEY GENERAL

By: "s/" Roger B. Rom Assistant Attorney General Alaska Bar No. 901 1128

# EXHIBIT 27

## **BIASNESS OF MAGISTRATE WOODMANCY**

WOODMANCY: Mr. Haeg...

HAEG: Well...

WOODMANCY: ...you are so far out in the ozone. [8/15/06 representation hearing]

# **EXHIBIT 28**

# DR. RUSSELL'S PHYCOLOGICAL REPORT

STATE OF ALASKA
DEPT. OF HEALTH AND SOCIAL SERVICES
DIVISION OF BEHAVIORAL HEALTH
ALASKA PSYCHIATRIC INSTITUTE
ALASKA RECOVERY

August 24, 2006

FILED
In District Court
State of Alaska
at McGrath

Honorable David Woodmancy

District Magistrate

District Court for the State of Alaska

PO Box 147

Date 8-31-06

"s/"

Magistrate/Clerk

Aniak, AK 99557

RE: Haeg, David DOB: 01/19/66 API #: 11111 CT REF #: 4MC-04-024 CR

# Dear Magistrate Woodmancy:

Pursuant to your Order for Examination for Competency to Continue Legal Proceedings and capability of conducting a legal defense without counsel, the following report is prepared. Mr. Haeg was evaluated as an outpatient in the psychological testing area at API. The warnings concerning limited confidentiality, and the fact that copies of my report would be distributed by your chambers was explained to Mr. Haeg. The defendant consented to participate in the examination.

IDENTIFYING DATA: Mr. Haeg is a 40-year-old Caucasian male who lives near Soldotna. He has worked for more than 20 years as a hunting guide. He is married, has children and completed high school.

PERTINENT HISTORY: Mr. Haeg has been charged with 11 counts of violating state game regulation, and is in the process of appealing the court's earlier decision regarding these charges.

CURRENT PSYCHIATRIC CONDITION: Mr. Haeg does not have a mental disease or defect, has not been prescribed medications to treat a mental health condition, and is not receiving mental health therapy at this time.

ISSUES RELATED TO COMPETENCY TO STAND TRIAL: Mr. Haeg was interviewed extensively regarding his knowledge of the charges against him, his perception of the seriousness of those charges, his understanding of possible legal alternatives available to him, and his understanding of the process involved with this court case. He was able to exhibit a very clear understanding of not only the charges against him, but of the various legal alternatives that he could select from. He is also able to present a logical argument for self representation, and is cognizant of the challenges that he may face in doing so. He did state that he has begun to look for legal consultation, and presented arguments in regards to pitfalls of utilizing a lawyer who actively practices in Alaska at this time. His mental status examination does not suggest any deficits in memory, comprehension or reasoning skills. His level of intellectual functioning falls in at least the average range, and may be somewhat higher than average based on his understanding of vocabulary, and ability to reason and comprehend abstract concepts.

It is, therefore, my professional opinion that Mr. Haeg may be found Competent to Continue Legal Proceedings at this time. He also demonstrates the mental capability to conduct his own defense, and is clearly aware of the pros and cons of making such a choice. If I may answer additional questions or be of further service, please contact me at 269-7100.

Respectfully,

"s/"

Tamara Russell, Psy.D., MHC IV Licensed Psychologist (AK#538) Forensic Evaluator Alaska Psychiatric Institute

TR/pal/MEMOLTR/23119F

D: 8/24/06 T: 8/25/06

# EXHIBIT 29

### FITZGERALD'S 4/13/06 ABA TESTIMONY HAEG HAD IMMUNITY

Cole: Do you recall talking to me about you - our understanding of our client going in - clients going in and giving statements to the officers in this case?

Fitzgerald: Well I can tell you my clear understanding from having talked to Mr. Leaders and I will represent here as an officer of the Court and Mr. Leaders indicated that -uh- my client Mr. Zellers was goanna be given immunity that there was nothing about that interview which I characterize as a "king for a day" – there was nothing about that interview that could be used against Mr. Zellers.

Shaw: Excuse me. What did you mean a "king for a day"?

Fitzgerald: -Um- it's – it's something that – that I frankly – you don't see -um- as frequently in State Prosecutions but in Federal Prosecutions it's – it's what I describe as -um- the immunity that – that usually is accompanied a letter -um- where you bring your client in and -um- the -uh-protections that are afforded -uh- your client are essentially use immunity protections. The – because the State and the Feds interpret immunity differently I've always interpreted that if you bought that same kind of offer and protection in -um- the States side that it would be transactional immunity. -Uh- that they wouldn't be able to use it for any purpose whatsoever. There – the Feds and the State have a little different view with regard to what immunity means. I hope I answered your question. I - it – it's had to do with immunity.

Cole: In your discussions with Mr. Leaders did you learn that he needed Mr. Zellers testimony because he didn't have evidence of some of the counts because he couldn't use Mr. Haeg's statement?

Fitzgerald: I know that – that – that was discussed. I know that – that was discussed between you and Mr. Leaders; it was discussed between Mr. Leaders and myself, and -um- -uh- it was clear to me that by virtue of the immunity provided that – that Mr. Leaders believed maybe early on that he might have – he wasn't goanna have because of the immunity agreement.

**Haeg:** If Brent Cole or when – I have it wrote down as when Brent Cole told me nothing would have happened as far as withholding or not upholding – upholding the rule 11 agreement or not upholding it nothing would have happened if he would've asked the Judge to rule to have the Rule 11 Agreement upheld? I guess – and then that's a question then you - I have – is this true? I guess I apologize for not having a clear question.

Shaw: Yeah asking him if Mr. Cole's advice is correct?

Haeg: Yep. Yes.

Shaw: So apparently Mr. Cole —um... his next question is — if Mr. Cole had apprised Mr. Haeg that making a motion to influence the plea agreement wouldn't have gotten anywhere. Ok can you think about that before I ask the witness (...)?

Haeg: Yep.

Fitzgerald: I - I want to have to ask one clarification. That – that it wouldn't be enforced or that there wouldn't be any consequence?

**Haeg:** I don't care about the consequences.

Shaw: That it would be enforced – it would be enforced.

Fitzgerald: Ok so the question is whether – if Mr. Cole informed Mr. Haeg that there would be no consequence for asking the Court to enforce the terms of the Rule 11.

Shaw: It wouldn't happen – it wouldn't get them there – get a result (...)

Fitzgerald: Then I think it – it harkens back to what I've been saying all along about enforceability. If it's goanna be a futile exercise I'm not sure you advance the ball for your client by going through that futile exercise.

**Haeg:** Would you agree that if you don't try to advance it there when do you actually try to advance it?

Fitzgerald: Mr. Haeg can I strike you a deal?

Haeg: Sure.

Fitzgerald: And that is I - I will respect what you have to say and ask if likewise you accord me the same courtesy.

**Haeg:** If you made a Rule 11 Agreement, verbally set in stone, written, your client and his wife had given just about everything they could afford to give, would you have tried to enforce that Rule 11 Agreement, for your client?

Fitzgerald: I believe that you would be obligated to zealously advocate for that Rule 11.

**Haeg:** And what is – what is zealously advo – can you explain zealously advocating for the Rule 11 Agreement – can you explain to me what that – what you would be doing after?

Fitzgerald: Well it would depend on – it would depend on - I'm assuming that there were representations made by Mr. Leaders that he didn't fulfill. As a result of that it was a - a concern with regard to the terms of the Rule 11 not being complied with. Is that – is that right?

**Haeg:** That's correct.

Fitzgerald: -Um-

Shaw: Actually Mr. Fitzgerald the testimony is the Prosecutor refuses to (...) I mean that's the question.

Fitzgerald: All right.

Shaw: And I'm just forming up another scenario when you say that didn't happen at all because we have testimony today.

Fitzgerald: Ok.

Shaw: The deal was no longer on the table in the form that Mr. Haeg believes it was in.

Cole: And to the extent that he several times mentioned that it was in writing. There's nothing in writing.

Shaw: There's no testimony that it was in writing. So there was – there was a hypothetical that we have before there's an agreement not in writing that they expect for the change of plea in reducing sentencing (...) -Um-

Fitzgerald: The – the first step would be to go to the Prosecutor to try encourage them that the terms of the deal were - were set and they should be honored. I think that – that would probably be my first step. The second step has to do with enforceability. That is if you don't get relief from the Prosecutor where are you goanna look for the enforcement of oral terms and I can tell you that you could go to the Judge, you could file a motion with the Judge, but in my view that would be totally unsuccessful because the Judge would look at you and say "well the terms were oral I'm not goanna be an arbiter of what the terms were, how they were communicated, who might have misunderstood, the fact of the matter is you folks have a disagreement with regard to what the terms are" and ultimately what it comes down to is the – in my view the Court unless it was

very - very clear and which would typically mean that it would be in writing would be any possession – position to enforce that by requiring a Prosecutor to abide by his terms. And even then Mr. Haeg there – courts are very reluctant to impose upon Prosecutors - appreciating that Prosecutors have the not only the ability but obligation to bring the charges and represent the State to intercede and dictate what – what terms might have been struck between counsel. So I think that the answer to your question the issue about enforceability would be very important and you would have to access and calculate the risks of going forward and attempting to get the terms enforced and what consequence that might have if they weren't enforced.

**Haeg:** Ok so what you're telling me is you've given the State everything, your client has given up both his wife and him a whole years income, flown in people from around the whole countryside, and you're telling me you wouldn't even try because it aint goanna do any good? Why do you have a lawyer?

Cole: Can I object for just a second because this has – I understand what he's trying to say but Mr. Haeg has a fundamental misunderstanding of the criminal justice system.

Shaw: (...)

Fitzgerald: I think you've asked me a number of questions Mr. Haeg and -um- I-I've described to you what I believe the appropriate steps would be and the appropriate assessment of risks would be with regard to whatever – whatever steps you took.

**Haeg:** Ok. Can you explain the risks involved in trying to enforce the agreement?

Fitzgerald: The risk Mr. Haeg or that if you're not successful before the - if - if you attempt and indeed do for instance file a motion with the Court and the Court rules as I think it would with regard to any kind of oral terms that it does not have the jurisdiction or ability to intercede and define those terms then what you've done is you've really drawn a line in the sand with regard to the Prosecutor and that what you've done is you've made an enemy out of frankly the last person you want to make an enemy of. Whether we like it or not Mr. Haeg us in the defense bar realize quickly that you are not infrequently in a position where you don't have the leverage and so what relationships you can develop and what ability you can develop with regard to obtaining good term for your client you want to keep in tact because when the rubber meets the road and you're a criminal defendant it's typically not a pretty picture.

Haeg: I'm sorry. Are you telling me Mr. Fitzgerald that advocating for your client makes an

enemy for you – enemy of the prose – advocating for your client makes an enemy out of the Prosecutor? Is that what I just heard you just say?

Fitzgerald: No you didn't.

**Haeg:** I - I thought that's what I heard.

Fitzgerald: I - I don't know how to answer that sir - I – that's what not what I intended to say.

Metzger: There's no question.

**Haeg:** Ok – in your opinion if you advocate for your client – excuse me – are you making an enemy out of the Prosecutor?

Fitzgerald: It depends on the circumstances.

**Haeg:** (exhales) I told you the circumstances. I'll repeat them again. Your client gave a 5 hour interview to the State, gave the State maps, gave up a whole years income not for just the client but also the clients wife most peoples arithmetic that's two years income, then for the Rule 11 Agreement you fly people in from around the country from Illinois, from a remote lodge Silver Salmon Creek, take kids out of school, people away from work, drive up here to comply with the Rule 11 Agreement and then the Prosecutor breaks it by filing harsher charges because I believe he -uh- changed his mind. Wasn't even a mistake just changed his mind – didn't make a mistake. And your attorney can't ask for the Rule 11 Agreement to be honored because that would oh make an enemy out of the Prosecutor. Is that what you're telling me?

Fitzgerald: I think sir I described my answer to the best of my ability. I've described the – what I believe to be the appropriate steps.

Haeg: Ok.

Fitzgerald: I don't know that I can make it any clearer.

**Haeg:** Ok. Have you ever heard of a term called detrimental reliance?

Fitzgerald: Yes.

**Haeg:** Can you describe it to me?

Fitzgerald: The term typically is used in the civil context in which somebody makes a representation, you take certain action based on that representation, and then for lack of a better description they pull the rug out from underneath you. Under certain circumstances you – you can -uh- obtain relief from the Court for that kind of detrimental reliance.

**Haeg:** Does that ever apply to criminal cases?

Fitzgerald: I think it's very -I think it's very infrequent that - that - that concept would be one that would be find favor in - in the criminal justice system.

**Haeg:** How come I've been able to find hundreds of cases of it then?

**Haeg:** So would – in my – in my little deal here you wouldn't have stood up and asked the Judge to -uh- conduct an evidentiary hearing and I guess I'm probably goanna make a statement that there is some written record or if you pull it all together stuff for of the Rule 11 Agreement.

Shaw: Just ask the question that you have for the witness. And the question Mr. Fitzgerald I think is if you would have gone to the rule 11 hearing and the accusations would you have enforced the plea agreement?

Cole: I thought he asked would you have stood up in front of the Judge at the arraignment...

**Haeg:** That happened.

Shaw: That's what I meant.

Cole: Oh I thought that you were saying a separate – that it's a separate hearing that comes on.

Shaw: Well I take it in this case that there was only the one.

Cole: There was only one but to get the relief he's asking I thought you would have to file a motion to get a hearing?

Shaw: But this – but that wasn't Mr. Haeg's question that's your perception – Mr. Haeg's question was would you have stood up at this single arraignment change of plea hearing and ask to enforce the plea agreement?

Fitzgerald: These – these are not easy decisions to make sir. They – they have enormous consequences and you have to – you have to deliberate and think about what the consequences are goanna be before you take action.

**Haeg:** Ok. -Um- -uh- Mr. Fitzgerald in your opinion when a Prosecutor breaks a Rule 11 Agreement does he become the enemy of the client and his client – and that clients attorney?

Fitzgerald: I don't think at that stage no - if the Prosecutors the one that's breaking the agreement.

**Haeg:** Why not?

Fitzgerald: Well there's nothing for the Prosecutor to be upset about if the Prosecutors the one that breaching the agreement.

**Haeg:** What I asked is if the Prosecutor breaks the agreement that he made with the client and his attorney – so the client and the attorney are one unit – there like the white – (...) cowboys and the Prosecutor is like the Indians and the Indians break the rule 11 agreement does that – that doesn't make them enemies of the cowboys. Is that what you're saying?

Fitzgerald: Yeah that's what I'm saying.

**Haeg:** And can you elaborate on that for me please?

Fitzgerald: As I said the Prosecutor – if the Prosecutor is the one that's -uh- breaching the agreement they've got – shouldn't have any hardship with regard to -uh- their prospective towards the client and the defense counsel.

**Haeg:** Don't you think that there might be a little bit a (laughs) at least irritation?

Cole: Objection argumentative.

**Haeg:** Have you upheld or overruled or what?

Shaw: (...) Mr. Fitzgerald to answer that question.

Fitzgerald: I - I think if the Prosecutor is the one breaching the agreement then there's little likelihood that they would be upset about that breach and hold it against the client or the defense attorney.

**Haeg:** But wouldn't the client and defense attorney hold it against the Prosecutor?

Fitzgerald: Oh I – yeah – I – I – I think that's -uh- breach of the bond and yeah that's – that's a – in – in my measure – I my view that's a very serious matter.

**Haeg:** So why do you say to me then if – since they're now enemies – we'll just use the word enemies cause you brought it up – why do you then say if the client and his attorney wanted to enforce the Rule 11 Agreement they couldn't because they couldn't make an enemy of the Prosecutor? But the Prosecutor – you guys see where I'm going with this? It's – to me it's pretty apparent.

Fitzgerald: Mr. Haeg what I said is that the issue – the real issue is one of enforceability and if the attempt at getting a Court to intervene on your behalf and enforce the Rule 11 – if that's goanna be a futile exercise then you probably damaged your clients interests or certainly not served them by making the attempt. So –

**Haeg:** So what you're saying – what you're say - in your opinion what you're saying is – is you can make an agreement and pay oh in my case maybe \$700,000.00 dollars for it and just blow it off and let the Prosecutor do whatever he wants?

Fitzgerald: No I'm not advocating that sir. I'm – I've said that it – it was something that needed to be carefully deliberated and considered and the consequences of the same had to be considered carefully.

## Exhibit 30

# USE OF HAEG'S IMMUNIZED STATEMENT BY THE STATE OF ALASKA TO OPPOSE MOTIONS TO SUPPLEMENT THE RECORD AND TO ALLOW HIM TO REPRESENT HIMSELF DURING REMAND 9/8/06

In June 2004 both hunters were interviewed by the troopers and admitted that they knew nine wolves were shot from the airplane while outside the permit area.

# Exhibit 31

September 10, 2008: In the Court of Appeals of the State of Alaska, Haeg v. State, Court of Appeals No. A-9455-10015, Trial Court No. 4MC-04-24CR, Memorandum Opinion & Judgment No. 5386, dated 9/10/08:

Before: Coats, Chief Judge, and Mannheimer and Stewart, Judges. COATS, Chief Judge.

"David S. Haeg was convicted of five counts of unlawful acts by a guide: hunting wolves same day airborne;<sup>2</sup> two counts of unlawful possession of game;<sup>3</sup> one count of unsworn falsification;<sup>4</sup> and one count of trapping wolverine in a closed season.<sup>5</sup> Haeg appeals these convictions in Case No. A-9455. While this appeal was pending, Haeg asked the district court to suppress the evidence used during his trial that the State had seized from him during its criminal investigation and to have the property returned to him. The district court denied the motion, and Haeg appeals this decision in Case No. A-10015.

In Case No. A-9455, Haeg primarily argues that the State used perjured testimony to obtain search warrants and that he should not have been charged as a guide for hunting wolves same day airborne — first, because he was not guiding at the time, and second, because he was not hunting at the time. He also argues that the prosecutor violated Alaska Evidence Rule 410 by using statements that Haeg made during the parties' failed plea negotiations. And he asserts that his attorneys provided ineffective assistance of counsel.

In addition, Haeg claims that the district court committed various errors during the course of the proceedings. In particular, he contends that the district court (1) failed to inquire into the failed plea negotiations, (2) failed to rule on a motion protesting the State's use of Haeg's

<sup>&</sup>lt;sup>2</sup> AS 8.54.720(a)(15) & 5 AAC 92.085 (8).

<sup>&</sup>lt;sup>3</sup> 25 AAC 92.140(a).

<sup>&</sup>lt;sup>4</sup> AS 11.56.210(3 (a)(2).

<sup>&</sup>lt;sup>5</sup> 5 AAC 84.270(14).

statement made during plea negotiations as the basis for the charges, (3) made prejudicial rulings concerning Haeg's defense that he was not "hunting," (4) failed to instruct the jury that Haeg's co-defendant, Tony Zellers, was required by his plea agreement to testify against Haeg, (5) unfairly required Haeg to abide by a term of the failed plea agreement, (6) failed to force his first attorney to appear at Haeg's sentencing proceeding, and (7) when imposing sentence, erroneously identified the location where the majority of the wolves were taken. In a separate claim, he contends that the district court erred by revoking his guide license instead of suspending it.

In Case No. A-10015, Haeg asserts that the district court erred when it denied his post-conviction motion to suppress the evidence that the State had seized from him during its criminal investigation and to return the property to him. He also contends that AS 12.35.020, AS 12.35.025, AS 16.05.190, and AS 16.05.195 (criminal seizure and forfeiture statutes) are unconstitutional because these statutes do not require the government to inform defendants in a criminal case that they have the right to contest the seizure of their property.

For the reasons explained here, we affirm Haeg's convictions. But we conclude that the district court meant to suspend rather than to revoke his guide license.

Therefore we direct the district court to modify Haeg's judgment to reflect that Haeg's guide license was suspended for five years.

#### Facts and proceedings

Haeg was a licensed master big game guide operating in game management unit 19. In early March 2004, he and Zellers received permits allowing them to participate in a predator control program near McGrath.

The predator control program applied to wolves in game management unit 19D-East, which was located inside unit 19D. Within unit 19D-East, participants in the program were allowed to kill wolves by shooting them from an airborne aircraft or by landing the aircraft, exiting it, and immediately shooting them.<sup>6</sup> The purpose of the program was to increase the numbers of moose in unit 19D-East by decreasing the number of wolves preying on them. In March 2004, unit 19D-East was the only unit where this type of predator control was permitted.

To help the Department of Fish and Game monitor the progress of the predator control program, the participants were required to separately identify and seal the hides of all wolves taken under the program and to report the locations where the wolves were killed. Alaska State Trooper Brett Gibbens, among others, was notified whenever wolves were taken under the program. One of his duties was to verify the locations where the wolves were reportedly killed.

Soon after Haeg and Zellers received their permit, they reported that on March 6, 2004, they had taken three gray wolves in the area of Lone Mountain near the Big River. When

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<sup>&</sup>lt;sup>6</sup> See 5 AAC 92.039(5 (h)(1), (3).

Gibbens was notified of this report, he suspected that the information was inaccurate. The coordinates that Haeg and Zellers gave placed the kill site just within unit 19D-East. But Gibbens knew that the wolves in the pack then frequenting that area were predominately black, with only two that might be considered gray.

On March 11, 2004, Gibbens inspected the reported kill site. He found wolf tracks but no kill site near the reported location. In addition to this discrepancy, Gibbens recalled that on the day of the reported kills, when he was off-duty, he had seen Haeg's distinctive airplane. The airplane was a mile or two outside of unit 19D-East and was flying away from that unit. To Gibbens, it appeared that the pilot was following a fresh wolf track.

On March 21, Gibbens met and spoke to Haeg and Zellers when they returned to McGrath to seal the three wolf hides. While Haeg refueled his airplane, Gibbens and Haeg talked about the airplane's skis and its oversized tail wheel. Gibbens noticed that the airplane's skis and its oversized tail wheel would leave a distinctive track when it landed in snow. Gibbens and Zellers discussed the weapons and the shotgun ammunition that Zellers was using to shoot the wolves. This ammunition was a relatively this meeting, Haeg said that he knew the boundaries of the area where he was allowed to take wolves under the predator control program.

On March 26, while flying his airplane, Gibbens spotted wolf tracks from a large pack of wolves on the Swift River. He also saw where another airplane had landed to examine the track and determine the wolves' direction of travel. Because his airplane was low on fuel, Gibbens continued home. The next day, he returned to investigate. From the air, he confirmed that the area was not a trap site or kill site. He then followed the wolf tracks up the Swift River and found where wolves had killed a moose on an island in the river. The island was covered with heavy brush and had numerous wolf trails. Gibbens saw that someone had set snares and leg traps on the island.

Gibbens followed the wolf tracks further upriver. About a half mile away from the moose kill, he saw where a wolf had been killed. It looked like the wolf had been shot from the air, and there was a set of airplane tracks that had taxied over the wolf kill site. He continued to follow the wolf tracks up the Swift River and found three more places where wolves had been shot from the air. He saw evidence that the wolf carcasses had been picked up and placed in an airplane, and he saw a staging area nearby where the airplane had landed several times.

These kill sites were all about forty to fifty-five miles from the nearest boundary of unit 19D-East. There was no evidence near these sites of snaring or trapping, nor of any ground transportation like a snow machine. Rather, the evidence indicated that an airplane had landed near the kill sites and that someone had gotten out of the airplane, approached the wolf carcasses, and hauled them back to the airplane. The airplane tracks at the kill sites and at the staging area appeared to be the same. Gibbens recognized that they were similar to Haeg's airplane's distinctive ski and tail wheel arrangement.

With the help of other troopers, Gibbens more thoroughly investigated the kill sites. The troopers found shotgun pellets that were consistent with the type of buckshot Haeg and Zellers

were using. They also found a spent .223 cartridge stamped with ".223 Rem-Wolf." At the staging area, they found where a carcass had been placed in the snow.

After finding this evidence, Gibbens applied for and obtained a search warrant for Haeg's airplane and for his lodge at Trophy Lake. The lodge was listed as Haeg's base of operations for the predator control program and was not far away. The lodge was located in unit 19C.

At the lodge, the troopers found wolf carcasses, evidence that the wolves had been recently skinned, and rifle magazines loaded with ammunition stamped with ".223 Rem-Wolf." Gibbens also saw airplane ski tracks leading up to the front of the lodge that matched the tracks from the kill sites and the staging area. Troopers seized six carcasses from the lodge. Gibbens later performed a necropsy on each carcass. The necropsies indicated that all six wolves had been shot from the air with a shotgun.

Other evidence found during the search indicated that the leg traps set around the moose kill on the Swift River island belonged to Haeg. On April 2, Gibbens found that six of those leg traps were still set and catching game even though leg trap season for wolves and wolverines had ended. He also saw that two wolverines were caught in nearby snares. The season for taking wolverines with traps or snares had ended March 31.

Based on the evidence found during the search of the lodge, additional search warrants were issued, including one for Haeg's residence in Soldotna. While searching Haeg's residence, troopers seized a 12 gauge shotgun and a .223 caliber rifle along with magazines, spent casings, and ammunition. The .223 ammunition seized was stamped with ".223 Rem-Wolf." The troopers also seized Haeg's airplane.

Evidence seized at the residence indicated that the snares set around the moose kill on the Swift River belonged to Haeg. Gibbens later went back to the Swift River moose kill site after the snare season for wolf ended and found that the snares were still active and catching game. The remains of two wolves were in these snares.

Later, executing one of the search warrants obtained after searching Haeg's residence, troopers seized nine wolf hides from a business in Anchorage. These hides had been dropped off by Zellers. Eight of the nine hides clearly showed that the wolves had been shot with a shotgun. Of these eight hides, many had damage indicating that the wolves had been shot from the air. But despite this evidence, only three of the hides had been sealed under the predator control program. According to the sealing certificates — and despite evidence to the contrary — Haeg and Zellers claimed that the remaining six hides had not been shot from an airplane. Rather, when sealing these six hides, Haeg and Zellers reported that they had killed the wolves in unit 16B by shooting them from the ground and transporting them with snowmobiles.

After completing this investigation, Gibbens concluded that the nine wolves had been shot from an airplane, that none had been taken in unit 19D-East, that the sealing certificates had been falsified, and that Haeg and Zellers had unlawfully possessed the hides. He also concluded

that the relevant leg traps and the snares belonged to Haeg and that they were still actively catching game after the relevant leg trap and the snare seasons had closed.

Sometime after Gibbens completed his investigation, the State entered separate plea negotiations with Haeg and Zellers. The negotiations with Haeg broke down, but the State reached a plea agreement with Zellers. Among other things, Zellers was required to enter a plea for two consolidated counts of violating AS 8.54.720(a)(8)(A), unlawful acts by a guide. He was also required to testify against Haeg.

In April 2005, Haeg moved to dismiss the information. Among other things, he argued that the State could not charge him for hunting wolves same day airborne because his predator control permit allowed him to do so, even if only in unit 19D-East.

In a written decision, District Court Judge Margaret L. Murphy rejected Haeg's arguments and denied the motion.

A jury trial began July 26, 2005, with Judge Murphy presiding. Among others, Gibbens, Zellers, and Haeg testified. The gist of Gibbens's testimony is set out in the preceding paragraphs. This testimony was corroborated not only by Zellers, but by Haeg himself.

Haeg testified that he was a licensed guide. He conceded that he and Zellers knew (or, in one instance, should have known) that they were taking the wolves outside of unit 19D-East, that they had intentionally falsified the sealing certificates for all nine wolves, and that they had possessed the wolves and hides illegally. He also admitted that he was responsible for the leg traps that were still catching game after the leg trap season had closed.

But in his defense against the hunting charges, Haeg testified that he was not unlawfully "hunting" the wolves, but was only violating his predator control permit.

Haeg denied responsibility for snaring wolves out of season and explained that the snares had been turned over to another trapper who was supposed to close them out when the season ended.

The jury found Haeg guilty of all five counts of unlawful acts by a guide: hunting wolves same day airborne; two counts of unlawful possession of game; one count of unsworn falsification; and of one count of trapping wolverines in a closed season. The jury found Haeg not guilty of one count of snaring wolves in a closed season and of failure to salvage game.

At sentencing, Judge Murphy ordered Haeg to forfeit the nine wolf hides, a wolverine hide, the airplane, and the guns and ammunition used to take the wolves.

<sup>&</sup>lt;sup>7</sup> 5 AAC 84.270 (13).

<sup>8 5</sup> AAC 92.220(a)(1).

She also revoked Haeg's guiding license for five years. This appeal followed.

While this appeal was pending, Haeg filed a motion requesting this court to order the State to return to him the property that had been seized during the criminal investigation. We remanded the case for the limited purpose of allowing the district court to resolve Haeg's motion. Relying on Criminal Rule 37, Haeg asked the district court to suppress the evidence seized during the investigation and to return the property to him. Magistrate David Woodmancy denied Haeg's motion. Haeg appeals this decision.

Another of Haeg's motions asks this court to modify part of his sentence. Haeg asserts that Judge Murphy erred when she revoked his guide license instead of suspending it.

Discussion

Haeg's appeal in No. A-9455

Haeg's claim that the State used perjured testimony

Haeg contends that Trooper Gibbens intentionally made false statements in his search warrant affidavit. In particular, Haeg claims that Gibbens lied when he said in his affidavit that he found evidence in unit 19C that Haeg had taken wolves. But Haeg did not challenge the search warrant affidavit prior to trial. Because of this, his claim is forfeited. And, under *Moreau* v. *State*, he is barred from bringing this claim on appeal, even as a matter of plain error.

In *Moreau*, the Alaska Supreme Court acknowledged that it was "clear that a false affidavit in support of a search warrant can, in appropriate circumstances, nullify the warrant." But the court went on to rule that "[w]hile we do not state that search and seizure issues are incapable of plain error analysis, we believe that the exclusionary rule which requires the suppression of illegally obtained evidence is usually not appropriately raised for the first time on appeal." The court explained that the exclusionary rule "is a prophylactic device to curb improper police conduct and to protect the integrity of the judicial process. Thus, justice does not generally require that it be applied on appeal where it is not urged at trial[.]" In light of *Moreau*, Haeg cannot pursue this claim.

Why we conclude that Haeg could be convicted of unlawful acts by a guide: hunting wolves same day airborne

<sup>&</sup>lt;sup>9</sup> See Alaska R. Crim. P. 12(b) and 8 (e).

<sup>10 588</sup> P.2d 275 (Alaska 1978).

<sup>&</sup>lt;sup>11</sup> Id. at 279-80.

<sup>&</sup>lt;sup>12</sup> Id. at 279.

<sup>&</sup>lt;sup>13</sup> Id. at 280 (footnote omitted).

<sup>&</sup>lt;sup>14</sup> Id.

In a related argument, Haeg contends that it was Gibbens's perjured affidavit that allowed the State to charge Haeg with unlawful acts as a guide. In Haeg's view, had Gibbens's affidavit stated that the wolves were killed in unit 19D, instead of unit 19C, then the State could only charge him with violating his predator control permit.

But Haeg misrepresents what his permit allowed. The record shows that Haeg was permitted to take wolves same day airborne only in unit 19D-East. He had no authority to take the wolves same day airborne in any other part of unit 19D. Gibbens's affidavit states that the four kill sites he found were well outside of unit 19D-East, the only area where Haeg and Zellers were permitted to take wolves same day airborne. In addition, Haeg acknowledged at his trial that he and Zellers killed all nine wolves outside of the permitted area. In short, the information in the affidavit did not result in Haeg being wrongly charged.

Haeg further contends that even if he did kill wolves beyond the authority granted by his predator control permit, he was not engaged in the "hunting" of wolves — and, thus, he did not violate any statute or regulation that prohibits same-day airborne hunting.

This argument is mistaken. Under the definition codified in AS 16.05.940(21), the term "hunting" is not confined to the killing of animals for food or sport. Rather, "hunting" is defined as "[any] taking of game under AS 16.05 – AS 16.40 and the regulations adopted under those chapters [of the Alaska Statutes]." The term "taking of game" includes more than simply the killing of game. As defined in AS 16.05.940(34), "take" means the "taking, pursuing, hunting, … disturbing, capturing, or killing [of] game," as well as any attempt to engage in these acts.

The predator control program that Haeg participated in was established under 5 AAC 92.110 – 125; these regulations were adopted by the Board of Game under Title 16, Chapter 5. Thus, Haeg's chasing and killing of wolves under this predator control program constituted "hunting" under Alaska law. And because Haeg's acts of chasing and killing wolves were not authorized under the terms of his predator control permit, these acts constituted unlawful hunting. Under Alaska law (specifically, AS 16.05.920(a)), *all* taking of game is unlawful unless it is permitted by AS 16.05 – AS 16.40, AS 41.14, or a regulation adopted under those chapters of the Alaska Statutes. <sup>15</sup>

For these reasons, Haeg could lawfully be convicted of violating AS 08.54.720(a)(15), the statute that makes it a crime for a licensed guide to knowingly violate a statute or regulation that prohibits same-day airborne hunting.

We understand that Haeg was not guiding when he and Zellers were taking the wolves. But this does not matter. Alaska Statute 08.54.720(a)(15) does not make it a crime to knowingly violate a statute or regulation prohibiting same day airborne *while guiding*. Rather, that statute

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 $<sup>^{15}</sup>$  See State v. Eluska, 724 P.2d 514, 515 (Alaska 1986); Jones v. State, 936 P.2d 1263, 1266 (Alaska App. 1997).

makes it a crime for any *person licensed to guide* to knowingly violate a statute or regulation prohibiting same-day airborne hunting.

Haeg suggests that he was convicted of the hunting offenses because Gibbens lied when he testified that some wolves were killed in unit 19C. But Gibbens retracted this testimony during cross examination, clarifying that the wolves were killed in unit 19D but not in unit 19D-East. As already noted, Haeg admitted that none of the wolves was killed in unit 19D-East.

Haeg also asserts that Gibbens lied by testifying at sentencing that he did not know why Haeg had not guided for an entire year. Haeg argues that this alleged testimony was perjury because Gibbens — according to Haeg — was aware that part of the failed plea agreement required Haeg to give up guiding for a year. But because Haeg did not litigate the terms of the failed plea agreement in the district court, there are no factual findings supporting Haeg's claim. Furthermore, Haeg had the opportunity to refute any testimony Gibbens gave during the sentencing proceedings, and it was up to Judge Murphy to determine whether Gibbens was credible. *Haeg's claim that the prosecutor violated Evidence Rule 410* Haeg claims that the State violated Evidence Rule 410 by using a statement he made during failed plea negotiations to charge him with crimes more serious than he had initially faced. But Haeg did not litigate this issue in the district court. Because he did not preserve this claim of error below, Haeg now has to show plain error. As we have explained in the past, "[o]ne of the components of plain error is proof that the asserted error manifestly prejudiced the defendant." 17

In this case, the State filed an initial information and then amended it twice. Each version of the information was supported by a probable cause statement that set out Gibbens's investigation and a summation of the statements made by Haeg and Zellers. Thus, even had Haeg's statements been removed from the charging document, the remaining evidence from Gibbens and Zellers would still support the charges against Haeg. And even though the State initially charged Haeg with less serious charges, the State had the discretion to file more serious charges. In other words, even if the State had not used his statement's to support the

<sup>&</sup>lt;sup>16</sup> See Wettanen v. Cowper, 749 P.2d 362, 364 (Alaska 1988) (issues and arguments not raised below are considered waived on appeal absent plain error); see also John v. State, 35 P.3d 53, 63 (Alaska App. 2001) (where record reflected no lower court ruling on appellant's Evidence Rule 410 claim, appellate court declined to address it).

<sup>&</sup>lt;sup>17</sup> Baker v. State, 22 P.3d 493, 501 (Alaska App. 2001); see also Crutchfield v. State, 627 P.2d 196, 198 (Alaska 1980) ("[A]n alleged error is reviewable as plain error only if it raises a substantial and important question and is obviously prejudicial.").

<sup>&</sup>lt;sup>18</sup> Cf. State v. McDonald, 872 P.2d 627, 638 (Alaska App. 1994) (If inadmissible evidence is presented to a grand jury, "the indictment will be vitiated only if the remaining evidence was insufficient to support [the] indictment or the improper evidence was likely to have had an overriding influence on the grand jury's decision." (quoting Boggess v. State, 783 P.2d 1173, 1176 (Alaska App.1989) (alteration in McDonald)).

information, Haeg would still have faced charges that he committed unlawful acts by a guide, hunting same day airborne. Because Haeg has not shown that the error he asserts manifestly prejudiced him, he has not shown that plain error occurred.

Haeg also suggests that the State used his interview to convict him. But Haeg did not raise this issue at trial, nor does the record support this conclusion. The record shows that the State did not offer Haeg's pre-trial statement during its case-in-chief or during its rebuttal case. In addition, Zellers testified for the State and his testimony, along with Gibbens's, was sufficient to support Haeg's convictions. Finally, in his own testimony, Haeg admitted that he had committed all but two of the charged offenses (and he was acquitted of those two). As we explained earlier in this decision, Haeg testified that he was a licensed guide, that he had taken the wolves same day airborne, that he knew that he was acting outside the predator control program area, that he and Zellers had falsified the sealing certificates, that they had unlawfully possessed game, and that his leg traps were still catching game after the season had closed. Haeg has not shown that plain error occurred.

Haeg's claim that his attorneys were ineffective

Haeg claims that his attorneys provided ineffective assistance of counsel. We have consistently held that we will not consider claims of ineffective assistance for the first time on appeal because, in most instances, the appellate record is inadequate to allow us to meaningfully assess the competence of the attorney's efforts. Haeg's case is typical — that is, the appellate record is inadequate to allow us to meaningfully assess the competence of Haeg's attorneys' efforts. Haeg's claim of ineffective assistance must be raised in the trial court in an application for post-conviction relief under Alaska Criminal Rule 35.1.

Haeg's claim that the district court erred by failing to inquire about plea negotiations

Haeg argues that Judge Murphy should have asked the parties about the failed plea negotiations. If Haeg believed that he had an enforceable plea agreement with the State, he was entitled to ask the district court to enforce it.<sup>21</sup> But we are aware of no requirement that a trial court in a criminal case, without a motion or request from the parties, must ask why plea negotiations failed. We conclude that Haeg has not shown that any error occurred.

Haeg's claim that the district court failed to rule on an outstanding motion

<sup>&</sup>lt;sup>19</sup> See State v. District Court, 53 P.3d 629, 633 (Alaska App. 2002) (The State "[has] the discretion to decide whether to bring charges against a person who has broken the law and, if so, to decide what those charges will be.").

<sup>&</sup>lt;sup>20</sup> See Tazruk v. State, 67 P.3d 687, 688 (Alaska App. 2003); Hutchings v. State, 53 P.3d 1132, 1135 (Alaska App. 2002); Sharp v. State, 837 P.2d 718, 722 (Alaska App. 1992); Barry v. State, 675 P.2d 1292, 1295-96 (Alaska App. 1984).

<sup>&</sup>lt;sup>21</sup> See State v. Jones, 751 P.2d 1379, 1381 (Alaska App. 1988).

Haeg claims that Judge Murphy failed to rule on his motion "protesting the State's use" of the statement Haeg claims he gave during plea negotiations. But Haeg mischaracterizes the motion that was filed seeking dismissal of the charges. Although he moved to dismiss the charges on various grounds, he did not assert that the State had violated Evidence Rule 410. He did not mention this issue until he replied to the State's opposition to his motion to dismiss the information, where he told the court that "[t]here is another piece of information that needs to be addressed." Judge Murphy was not required to rule on Haeg's new contention. A trial court can properly disregard an issue first raised in a reply to an opposition. <sup>22</sup> If Haeg wanted a ruling on this issue, he was obligated to file a new motion asking for one. Because he did not ask for a ruling, he has waived this claim. <sup>23</sup>

# Haeg's claim that the district court prejudiced his defense

Haeg contends that Judge Murphy made inconsistent rulings about who — the court or the jury — would determine whether Haeg was "hunting" when he took the wolves. But Haeg has not shown that Judge Murphy's rulings prejudiced his defense. The first ruling that Haeg refers to came when he moved to dismiss the information. There, he argued that the hunting same day airborne charges were improper because he was acting under the authority of the predator control program. In his view, even though he had taken the wolves outside the area where the predator control program was authorized, the State could only charge him for violating the conditions of the permit. Judge Murphy rejected this argument, noting that the State had charged Haeg for taking wolves outside of the permit area. She explained that Haeg might defend against these charges on the grounds that he was acting in accordance with his permit, but that this was a factual issue that would be decided by the fact finder at trial.

The second ruling that Haeg refers to occurred when Judge Murphy addressed Haeg's pre-trial argument that his permit precluded a conviction for any hunting violations. Judge Murphy found that this was a legal question that she, not the jury, had to decide.

Haeg asserts that Judge Murphy's rulings prejudiced his defense because they prevented him from arguing that he was not hunting. But Judge Murphy allowed Haeg to make this very argument.

At trial, the parties had a lengthy discussion concerning Haeg's desire to tell the jury that he was not "hunting" same day airborne when he took the wolves. Haeg's defense was that his conduct was not "hunting" because he was acting under a permit that allowed predator control.

<sup>&</sup>lt;sup>22</sup> See Demmert v. Kootznoowoo, Inc., 960 P.2d 606, 611 (Alaska 1998) ("The function of a reply memorandum is to respond to the opposition to the primary motion, not to raise new issues or arguments...."); Alaska State Employees Ass'n v. Alaska Pub.Employees Ass'n, 813 P.2d 669, 671 n.6 (Alaska 1991) ("As a matter of fairness, the trial court could not consider an argument raised for the first time in a reply brief.").

<sup>&</sup>lt;sup>23</sup> See Stavenjord v. State, 66 P.3d 762, 767 (Alaska App. 2003); Marino v. State, 934 P.2d 1321, 1327 (Alaska App. 1997).

He asserted that the statute defining "predator control" excluded "hunting" and, therefore, "he couldn't have been knowingly violating a hunting law."

Judge Murphy ultimately told Haeg that he could argue to the jury that if the jury found that he was acting in accordance with the permit, then he was not hunting. Consequently, Haeg argued at length during his closing that he was not guilty of hunting same day airborne because his predator control permit allowed him to kill wolves same day airborne. Despite this argument, the jury found Haeg guilty of the hunting charges. Haeg's defense was not prejudiced by Judge Murphy's rulings.

Haeg's claim that the district court failed to give a required jury instruction

Haeg argues that Judge Murphy was required to sua sponte give a jury instruction that Zeller's plea agreement required him to testify against Haeg. But under Criminal Rule 30(b), there are no required jury instructions. Rather, the rule provides that a trial court "shall instruct the jury on all matters of law which it considers necessary for the jury's information in giving their verdict." The rule that required instructing the jury that it should view the testimony of an accomplice with distrust was rescinded in 1975.23<sup>24</sup> Because Haeg did not request this or a similar instruction, he has not preserved the issue for appeal.<sup>25</sup>

Haeg's claim that the district court held him to a term of the failed PA

Haeg claims that Judge Murphy unfairly held him to a term of the failed plea agreement. Haeg asserts that this occurred during an exchange between his attorney and the judge during a post-trial status hearing.

The purpose of this status hearing was to establish a date for sentencing and to determine whether a defense witness would be available. The prosecutor indicated that he intended to call witnesses at sentencing in an effort to prove that Haeg had committed uncharged misconduct — in particular, the prosecutor wanted to show that in 2003 Haeg had been involved in unlawfully taking a moose same day airborne.

When Judge Murphy asked why the State had not charged the moose incident along with the current case, the prosecutor explained that initially, during plea negotiations, the parties had discussed litigating the issue at sentencing. Haeg's attorney then said he did not "know how ... [a discussion of a moose case] could be part of any negotiations to the un-negotiated case." Judge Murphy responded, "Well, it was at one point." Haeg argues that in this exchange, Judge Murphy was forcing Haeg to comply with a term of the failed plea agreement. We disagree.

<sup>25</sup> See Alaska R. Crim. P. 30(a) (objections to instructions must be raised before the jury retires to deliberate).

<sup>&</sup>lt;sup>24</sup> See Heaps v. State, 30 P.3d 109, 115 (Alaska App. 2001).

At sentencing, the State is allowed to put on evidence of a defendant's uncharged offenses even when the defendant objects. A sentencing court may consider this evidence if it is sufficiently verified and the defendant is provided the opportunity to rebut it. Here, the record reflects that the State, irrespective of the failed plea agreement, was attempting to show that Haeg had committed an uncharged offense. The State was entitled to do so. We conclude that Judge Murphy did not force Haeg to abide by a term of the failed plea agreement. We note that she later ruled that the State had not proven that Haeg had committed the uncharged offense and she did not consider it when imposing sentence.

Haeg's claim that the district court erred by not ordering a defense witness to appear at sentencing

Haeg claims that Judge Murphy committed error by not ordering his first attorney to testify at Haeg's sentencing proceedings. Although Haeg subpoenaed this attorney, the attorney did not appear. The record shows that at sentencing Haeg did not ask Judge Murphy to enforce the subpoena or seek any other relief. Consequently, this claim of error is waived.

Haeg's claim that the district court erred when it found that most of the wolves were taken in unit 19C

Haeg asserts that Judge Murphy erred when she found that "a majority, if not all of the wolves taken were in [unit ]19C." It is true that the evidence did not show that most of the wolves were killed in unit 19C. But taking Judge Murphy's sentencing remarks in context, we conclude that she found that Haeg was taking wolves unlawfully in an effort to benefit his own guiding operations. This finding is supported by the record.

At trial, Haeg testified that he and Zellers knew that they were killing the wolves outside of the permit area. And the evidence at trial showed that they spent little time looking for wolves in unit 19D-East, the permit area around McGrath. Instead, the first wolves were taken about thirty-five miles from Haeg's hunting lodge, which was located in unit 19C. Haeg took at least one animal just ten miles from his hunting grounds. Zellers testified that he and Haeg wanted the game board to include unit 19C in the predator control program.

In addition, Haeg testified that he guided moose hunts in units 19C and 19B. He admitted that they had killed one of the wolves in unit 19B. And although Haeg testified that he did not guide moose hunts on the Swift River where the rest of the wolves were taken, he conceded that some of the moose taken during his guided hunts come from that area. He testified that he could schedule eight or nine moose hunts in a season and that he charged a significant amount of money per person per hunt. He also testified that he and Zellers killed the wolves because they were frustrated that the wolves were killing so many moose.

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<sup>&</sup>lt;sup>26</sup> See Pascoe v. State, 628 P.2d 547, 549-50 (Alaska 1980) (State allowed at sentencing, over defendant's objection, to put on evidence of defendant's uncharged offenses).

<sup>27</sup> See id.

Based on this record, we conclude that Haeg has not shown that Judge Murphy committed clear error when she found that Haeg was illegally killing wolves for his own commercial benefit.

Why we find that Judge Murphy intended to suspend, not revoke, Haeg's guide license

While this appeal was pending, Haeg filed a motion requesting that we modify the portion of his sentence revoking his guide license. At that time, we indicated that even if Haeg was entitled to any relief, we would not grant it until we decided the appeal. (We also told Haeg that based on his claim that this portion of the sentence was illegal, he could seek immediate relief from the district court. He apparently did not do so.) Although Haeg did not include this issue in his claims of error, we deem the motion a request to amend his points on appeal and resolve it. For the reasons explained here, we conclude that Judge Murphy intended to suspend Haeg's guide license, not to revoke it.

Judge Murphy ordered the guiding license "revoked for five years." The written judgments reflect the same language. The revocation was part of Haeg's sentence for violating the law and was not a condition of probation.

Under AS 12.55.015(c), Judge Murphy could "invoke any authority conferred by law to suspend or revoke a license." The authority to suspend or revoke a guiding license is provided in AS 08.54.720(f)(3). In Haeg's case, this statute required Judge Murphy to order the game board to suspend Haeg's guide license for a "specified period of not less than three years, or to permanently revoke [it]." But Judge Murphy combined the two alternatives and ordered the license revoked for five years. Under the authorizing statute, Judge Murphy could either order the license suspended for five years or else revoke it permanently. But the statute did not allow her to revoke it for five years.

Although Judge Murphy had the authority to revoke the license, the circumstances indicate that she meant to suspend it. When Judge Murphy imposed sentence, she was using preprinted judgments that required her to fill in blank spaces. The judgments have a section where various types of licenses can be "revoked" followed by a blank space for the court to insert the length of the revocation. Judge Murphy wrote "for 5 years" in the blank space. But the option to suspend a license was not offered. Because Judge Murphy wrote "5 years" rather than "permanently," we conclude that she meant to suspend the license for a specified period of time rather than to revoke it permanently. We therefore order the district court to modify the judgments in this case to show that Haeg's guide license was suspended for five years.

Haeg's appeal in Case No. A-10015

While his original appeal was pending, Haeg filed a motion in the district court asking for the return of his property that had been seized by the State. Because his case was on appeal, the district court ruled that it lacked jurisdiction to address Haeg's motions. Haeg then asked this court to order his property released. We remanded the case back to the district court "for the limited purpose of allowing Haeg to file a motion for the return of his property[.]"

Once the case was remanded, Haeg — relying on Alaska Criminal Rule 37 — asked the district court to suppress the evidence that had been seized during the criminal investigation and to return the property to him. Haeg argued that the State had violated his fundamental rights by not giving him notice that he had the right to contest the seizure of his property. He also argued that AS 16.05.190 and AS 16.05.195 were unconstitutional on their face and as applied to him because they did not require the State to provide such notice. Magistrate David Woodmancy ordered some property returned, but otherwise denied Haeg's request. Haeg initially petitioned for review of this decision, but we concluded that he had the right to appeal.

Why we uphold the district court's decision not to suppress evidence or return to Haeg property Judge Murphy had ordered forfeited

Haeg contends that Magistrate Woodmancy erred when he refused to suppress the evidence and to return to him the property the State seized during the criminal investigation of this case. The forfeited property consisted of the airplane and the firearms that Haeg and Zellers used when taking the wolves, the wolf hides, and a wolverine hide.

Haeg contends that he was entitled to have the property suppressed as evidence and returned to him because the State, when it seized the property during the criminal investigation, did not expressly inform him that he had the right to challenge the seizure. He also asserts that the statutes that authorize search and seizure in criminal cases — AS 12.35.020, AS 12.35.025, AS 16.05.190, and AS 16.05.195 — are unconstitutional because they do not require the State to provide owners of seized property with notice that they have the right to challenge the seizure. He claims that the federal and state due process clauses require this notice.

To support his claim under the federal due process clause, Haeg relies primarily on the Ninth Circuit's decision in *Perkins v. City of West Covina*. In *City of West Covina*, police lawfully searched a home where a murder suspect was renting a room. Pursuant to a search warrant, police officers seized property from the home. The police provided the landlord, Perkins, with written notice of the search, an inventory of the property seized, and information necessary for him to contact the police investigators. But the written notice did not explain the procedures for retrieving his property. Although police later told Perkins that he needed to file an appropriate motion in court, Perkins ran into difficulty when he attempted to retrieve his property. Ultimately, he filed a civil suit in federal court, alleging a violation of his constitutional rights in that the notice did not mention he had the right to seek the return of his property.

<sup>&</sup>lt;sup>28</sup> 113 F.3d 1004 (9th Cir. 1997), rev'd, 525 U.S. 234, 119 S. Ct. 678, 142 L. Ed. 2d 636 (1999).

<sup>&</sup>lt;sup>29</sup> Id. at 1006.

<sup>&</sup>lt;sup>30</sup> Id.

<sup>&</sup>lt;sup>31</sup> Id. at 1007.

<sup>&</sup>lt;sup>32</sup> Id.

<sup>33</sup> Id.

<sup>&</sup>lt;sup>34</sup> Id. at 1007, 1012-13.

The Ninth Circuit ruled that in these circumstances, due process required the government to provide written notice explaining to property owners how to retrieve the property.<sup>35</sup> The Ninth Circuit held that, among other things, "the notice must inform the … [property owner] of the procedure for contesting the seizure or retention of the property taken, along with any additional information required for initiating that procedure in the appropriate court."<sup>36</sup> The notice "also must explain the need for a written motion or request to the court stating why the property should be returned."<sup>37</sup> Relying on the Ninth Circuit's decision, Haeg contends that the federal due process clause required a similar notice when the state troopers seized his property. But in *City of West Covina v. Perkins*, <sup>38</sup> the United States Supreme Court reversed the Ninth Circuit's decision and rejected the notice requirement imposed by the Ninth Circuit.<sup>39</sup>

The Supreme Court ruled that when police lawfully seize property for a criminal investigation, the federal due process clause does not require the police to provide the owner with notice of state-law remedies. The Court explained that "state-law remedies ... are established by published, generally available state statutes and case law." Once a property owner has been notified that his property has been seized, "he can turn to these public sources to learn about the remedial procedures available to him." According to the Court, "no ... rationale justifies requiring individualized notice of state-law remedies." The "entire structure of our democratic government rests on the premise that the individual citizen is capable of informing himself about the particular policies that affect his destiny."

In other words, federal due process is satisfied if the police give property owners notice that their property has been seized and if state law provides a post-seizure procedure to challenge the seizure and seek the return of the property. In Haeg's case, he received notice that his property was seized, and Alaska Criminal Rule 37 provides for a post-seizure procedure allowing property owners to seek return of their property. In light of the Supreme Court's decision in *City of West Covina*, we conclude that Haeg's due process rights under the federal constitution were not violated.

<sup>35</sup> Id. at 1012-13.

<sup>&</sup>lt;sup>36</sup> Id. at 1013.

<sup>&</sup>lt;sup>37</sup> Id.

<sup>&</sup>lt;sup>38</sup> 525 U.S. 234, 119 S. Ct. 678, 142 L. Ed. 2d 636 (1999).

<sup>&</sup>lt;sup>39</sup> Id.

<sup>&</sup>lt;sup>40</sup> Id. at 240, 119 S. Ct. at 681.

<sup>41</sup> Id. at 241, 119 S. Ct. at 681.

<sup>&</sup>lt;sup>42</sup> Id. at 241, 119 S. Ct. at 681-82.

<sup>&</sup>lt;sup>43</sup> Id. at 241, 119 S. Ct. at 681.

 $<sup>^{44}</sup>$  Id. at 241, 119 S. Ct. at 682 (quoting Atkins v. Parker, 472 U.S. 115, 131, 105 S. Ct. 2520, 86 L. Ed. 2d 81 (1985)).

<sup>&</sup>lt;sup>45</sup> Alaska R. Crim. P. 37(c) ("[Any] ... person aggrieved by an unlawful search and seizure may move the court in the judicial district in which the property was seized or the court in which the property may be used for the return of the property[.]").

To support his claim under Alaska's due process clause, Haeg relies primarily on the decisions in *F/V American Eagle v. State*<sup>46</sup> and *State v. F/V Baranof.*<sup>47</sup> He points out that under these decisions, property owners have "an immediate and unqualified right to contest the [S]tate's justification" when the State seizes their property.<sup>48</sup> But nothing in either of these decisions imposes a notice requirement similar to that discussed by the Ninth Circuit in *City of West Covina*. Rather, in both cases, the State provided the property owners notice that their property had been seized.<sup>49</sup> This notice and the subsequent opportunity to challenge the seizures under Criminal Rule 37 satisfied due process.<sup>50</sup> Here, Haeg had notice of the seizure, which in turn provided him with the opportunity to challenge the seizure of his property.

Conceivably, there might be circumstances where the Alaska due process clause would require the government to take affirmative measures to notify a property owner of the right and the procedure to challenge the seizure of his or her property. But nothing in Haeg's case supports a finding that his due process rights were violated. Haeg was present when the troopers searched his residence in Soldotna and seized an airplane of his, a shotgun, and a rifle. Consequently, he knew that his property had been seized as part of a criminal investigation. In addition, less than two weeks after his property was seized, he retained an attorney. Thus, he had access to legal advice regarding the seizure. Finally, Haeg — albeit some months after the seizure — asked the district court to bond out his airplane. Under these circumstances, the fact that the State did not specifically inform Haeg that he had the right to challenge the seizure did not infringe his state due process rights.

Based on the record in Haeg's case, we conclude that neither the federal nor the state constitutions required the State, after giving Haeg notice that his property had been seized, to separately inform him that he had a right to contest the seizure of his property. Because neither Haeg's federal nor state due process rights were violated, Magistrate Woodmancy did not err when he denied Haeg's post-conviction motion to suppress evidence seized during the criminal investigation. For similar reasons, we reject Haeg's attack on the constitutionality of Alaska's seizure and forfeiture statutes, AS 12.35.020, AS 12.35.025, AS 16.05.190, and AS 16.05.195. Furthermore, we note that Haeg's motion to suppress was waived because he failed to file it prior to trial.<sup>51</sup>

We also conclude that Haeg provided Magistrate Woodmancy no grounds for overturning Judge Murphy's decision to forfeit property related to Haeg's hunting violations. Haeg argued at sentencing against forfeiture of the airplane. At sentencing, Haeg's attorney did

<sup>&</sup>lt;sup>46</sup> 620 P.2d 657 (Alaska 1980).

<sup>&</sup>lt;sup>47</sup> 677 P.2d 1245 (Alaska 1984).

<sup>&</sup>lt;sup>48</sup> F/V American Eagle, 620 P.2d at 667.

<sup>&</sup>lt;sup>49</sup> See F/V Baranof, 677 P.2d at 1255-56 (in rem forfeiture action holding that due process was provided when owners were notified that property was seized and were given an opportunity to contest the State's reasons for seizing property); F/V American Eagle, 620 P.2d at 666-68 (in rem forfeiture action).

<sup>&</sup>lt;sup>50</sup> F/V Baranof, 677 P.2d at 1255-56; F/V American Eagle, 620 P.2d at 667.

<sup>&</sup>lt;sup>51</sup> See Alaska R. Crim. P. 37(c); Alaska R. Crim. P. 12(b) and 50 (e).

not contest the fact that the airplane was the one that Haeg and Zellers used when unlawfully taking the wolves, nor did he claim that Haeg was not the airplane's owner. Rather, he argued that the airplane should not be forfeited because Haeg used the plane "not only for guiding, but ... also ... for part of his economic livelihood of flight seeing, and if ... [the court forfeits] his plane ... he won't even be able to do that ... . [M]aybe over the next few years ... he's going to have ... to beef up more work for his flight seeing business, ... [and with the airplane] at least he'd have the means to do it." The attorney emphasized that "if you take his plane ... he'd be out of the guiding business, he'd be out of the flight seeing business, he'll just be out of business. Period. After twenty-one years of an occupation, just it's gone." Haeg did not object to the forfeiture of the shotgun, the rifle, or the animal hides. The record supports these forfeitures. At trial, Zellers testified that they had specifically purchased the shotgun to use for the predator control program and that they used it to unlawfully take the wolves. Zellers also testified that the rifle was used to unlawfully take one wolf. And finally, Haeg testified that he and Zellers had taken the animal hides unlawfully. Because the record supports Judge Murphy's forfeiture of the property relating to Haeg's hunting violations and Haeg did not show why the decision to forfeit this property should be overturned, we affirm Magistrate Woodmancy's decision to not return the forfeited property to Haeg.

Haeg also claims that Magistrate Woodmancy erred when he resolved Haeg's motion to suppress evidence and return of property without an evidentiary hearing. But Haeg has not shown that Magistrate Woodmancy abused his discretion. The basis of Haeg's post-conviction motion was his assertion that the State, when it seized Haeg's property, was required to tell him that he had a right to challenge the seizure. This was a question of law that Magistrate Woodmancy could resolve without an evidentiary hearing. And as we have already explained, the State was not required to notify Haeg that he had a right to challenge the seizure of his property.

#### Other potential claims

Haeg's briefs and other pleadings are sometimes difficult to understand, and he may have intended to raise other claims besides the ones we have discussed here. To the extent that Haeg may be attempting to raise other claims in his briefs or in any of his other pleadings, we conclude that these claims are inadequately briefed. <sup>52</sup>

#### Conclusion

Haeg's convictions are AFFIRMED. The district court shall amend the judgments to reflect that Haeg's guide license was suspended for a period of five years."

<sup>&</sup>lt;sup>52</sup> See Petersen v. Mutual Life Ins. Co. of New York, 803 P.2d 406, 410 (Alaska 1990) (issues that are only cursorily briefed are deemed abandoned); see also A.H. v. W.P., 896 P.2d 240, 243-44 (Alaska 1995) (waiving for inadequate briefing majority of fifty-six arguments raised by pro se appellant).

# Exhibit 32

# JUDICIAL COMPLAINT DISMISSAL

Sienna



# Alaska Commission on Judicial Conduct

1029 W. 3rd Ave., Suite 550, Anchorage, Alaska 99501-1944 (907) 272-1033 In Alaska 800-478-1033 Fax (907) 272-9309

Maria N. Greenstein Executive Director E-Mail: mgreenstein@acjc.state.ak.us

#### CONFIDENTIAL

January 12, 2007

Mr. David S. Haeg P.O. Box 123 Soldotna, AK 99669

Re: Complaint File No. 2006-006- Judge M. Murphy

Dear Mr. Haeg:

I have reviewed your complaint that Judge Murphy improperly accepted rides with the State Trooper during your trial. Your complaint has been fully investigated. Staff spoke with many of the people whose names you provided including Trooper Gibbens and received a detailed response from the judge. While the small community and lack of public transportation led to more contact with the community members than usual, there were no improper contacts or communication with witnesses in your case. The next meeting is scheduled for **January 22, 2007**, in Anchorage where the Commission will act on your complaint at that meeting. Your complaint may be dismissed or other action may be taken at that meeting.

Marla stated on tape both 616bins to
Murphy testified that Sincerely,
616bens never gave Marla N. Greenstein
Murphy a ride until after Marla N. Greenstein
Executive Director
Haggs sontencing. - The truth is 616bins gave
Murphy, on average, 3 rides per day through Hagss
Murphy, on average, 3 rides per day through Hagss



# Alaska Commission on Judicial Conduct

1029 W. 3rd Ave., Suite 550, Anchorage, Alaska 99501-1944 (907) 272-1033 In Alaska 800-478-1033 Fax (907) 272-9309

Marla N. Greenstein Executive Director E-Mail: mgreenstein@acjc.state.ak.us

# CONFIDENTIAL

January 25, 2007

Mr. David S. Haeg P.O. Box 123 Soldotna, AK 99669

Re: Complaint File No. 2006-006- Judge M. Murphy

Dear Mr. Haeg:

Thank you for taking the time to address the Commission at its September 8<sup>th</sup>, 2006 meeting. The Alaska Commission on Judicial Conduct dismissed Complaint No. 2006-011 at its meeting on January 22, 2007, in Anchorage for lack of probable cause. Any interaction between the judge and law enforcement was minor and due to the circumstances of the logistics in this rural court location.

Sincerely,

Marla N. Greenstein Executive Director

# Exhibit 33

# LEADER'S AND GIBBENS TESTIMONY WAS THE JUSTIFICATION FOR THEIR CASE WAS THAT HAEG TOOK WOLVES WHERE HE GUIDES

<u>Leaders:</u> Mr. Haeg's intent through the taking of the wolves was an intent to eliminate wolves from his guiding area, an attempt to eliminate wolves that directly competed with the – or directly preyed upon the game populations that he hunted in order to better enhance his prospects as a guide and those of his clients. [TR. 1382]

<u>Trooper Gibbens</u>: Many of the kill sites are in close proximity to Trophy Lake Lodge, and areas where David Haeg and Tony Zellers regularly conduct guided hunts. The guided hunts are for prey species such as moose and caribou. Based on my experience, there is a clear economic incentive for Haeg and Zellers to eliminate or reduce predators from this area, which could potentially increase numbers of trophy animals for them to harvest with clients.

# Exhibit 34

### **ABA BAR EXAM QUESTIONS**

#### Alaska Bar Association Exam

# www.alaskabar.org/library/5ConLawJuly08.pdf

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#### **ESSAY QUESTION NO. 5**

#### Answer this question in booklet No. 5

Alaska experienced an increase in violent crime involving the use of all-terrain vehicles (ATV's). Most of those crimes were committed by people under the age of 21. The vast majority of the ATV's used in the crimes were three-wheel ATV's. Alaska responded to the increase in violent crime by enacting a statute that provided that "No person under 21 years of age may possess any all-terrain vehicle." The statute's only penalty for a violation is the forfeiture of any ATV found in the possession of a person under 21.

Although there was an increase in violent crime committed by people under 21, the number of people committing the crimes composed a very small percentage of the total number of people under 21. Moreover, the percentage of people under 21 possessing four-wheel ATV's who used those ATV's to commit crimes was also very small. Several ATV groups have publicly denounced the statute and stated that their younger members will never give up their ATV's. Hunter and Cousin lived in Anchorage and decided to go moose hunting. Hunter was just under 21, while Cousin was just over 21. They both brought their four-wheel ATV's with them. While hunting, a law enforcement officer saw Hunter and Cousin with their ATV's. The officer legally stopped them and checked Hunter and Cousin's age. The officer seized Hunter's ATV because Hunter was under 21 years of age. The officer made a mistake calculating Cousin's age and seized his ATV also. The officer told Hunter and Cousin that they could contest the seizure at a hearing in two weeks.

At the hearing, Hunter and Cousin appeared with a lawyer and presented evidence. Cousin demonstrated that the officer had made a mistake, and the hearing officer gave him his ATV back. The hearing officer would not give Hunter his ATV back because he was under 21 years of age.

Alaska sold Hunter's ATV along with the other ATV's that it had seized from people under 21. The sale of the ATV's raised a lot of revenue for Alaska. Discuss any challenges that Hunter could make to the seizure of his ATV under the Alaska Constitution.

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**GRADER'S GUIDE** 

\*\*\* QUESTION NO. 5 \*\*\*

SUBJECT: CONSTITUTIONAL LAW

#### I. Procedural Due Process – 35%

Hunter may have a procedural due process claim. Alaska seized his ATV without holding a pre-deprivation hearing first. Hunter had a post-deprivation hearing, but a court could conclude that a post-deprivation hearing was not sufficient.

Article I, Section 7 of the Alaska's Constitution provides that "no person shall be deprived of life, liberty, or property, without due process of law." The Alaska Supreme Court has consistently held that the government must provide a predeprivation hearing unless there is some emergency requiring an immediate seizure. *Hoffman v. State, Dept. of Commerce and Economic Development*, 834 P.2d 1218, 1219 (Alaska 1992); *Waiste v. State*, 10 P.3d 1141, 1145 (Alaska 2000). The supreme court will uphold a post-deprivation hearing if all or most cases in a class involve an exigency justifying an immediate seizure. *Waiste*, 10 P.3d at 1145-46.

The Alaska Supreme Court uses the balancing test from *Mathews v. Eldridge*, 424 U.S. 319 (1976), to determine whether the state's interest justifies a blanket exception to the requirement for a pre-deprivation hearing. The *Mathews v. Eldridge* test requires the court to balance three factors: (1) the private interest at risk, (2) the degree to which an adversarial hearing, as opposed to an *ex parte* hearing, will reduce the risk of erroneous deprivation, and (3) the state's interest, including that in avoiding any additional burden imposed by a pre-deprivation hearing. *Waiste*, 10 P.3d at 1148.

# A. Hunter's Private Interest

Hunter's private interest is his property interest in owning and possessing his ATV. There is no evidence that Hunter needs or uses the ATV for anything other than a recreational activity. The court gives more weight to property that is necessary to generate a person's income. *Waiste*, 10 P.3d at 1151. Some examinees may discuss subsistence hunting which is arguably deserving of the same heightened solicitude, but the facts do not raise the issue. Both Hunter and Cousin live in Anchorage.

#### **B.** The State's Interest

Given that the state has outlawed the possession of ATV's by people under 21, the state has a strong interest in preventing the removal, concealment, or destruction of the ATV's. In *Waiste*, the state seized a fishing boat for fishing in closed waters. The court concluded that the state had a significant interest in July 2008 Page 2 of 5

seizing fishing boats without holding pre-deprivation hearings because the class of commercial fishing violators posed a risk of removing, concealing, or

selling their boats to avoid forfeiting them. *Waist*, 10 P.3d at 1149. The public pronouncements of the various ATV owner's groups indicates that there is a significant risk that young ATV owners will conceal, remove, or sell their ATV's before they turn them over to the state.

In Waiste, the court rejected the argument that a pre-deprivation hearing would impose a burden on the state because the forfeiture statutes at issue required an immediate post-deprivation hearing. *Id.* at 1150. The court concluded that the additional burden in requiring the adversarial hearing prior to the seizure was not significant. *Id.* 

# C. The Risk of an Erroneous Deprivation

The lack of a pre-deprivation hearing increases the risk of an erroneous deprivation. The facts demonstrate this, for the officer seized Cousin's ATV by mistake. A pre-deprivation hearing would ameliorate the risk of a similar mistakes.

#### **D.** Balancing the Factors

The facts tend to favor weighing the balance in favor of the state's postdeprivation hearing. In *Waiste*, the court concluded that the risk that fishing violators would hide or sell their boats justified *ex parte* hearings to seize the boats. *Id.* at 1152. ATV's are easier to transport, conceal, and sell, than fishing boats and several ATV owners groups have indicated that their younger members will not give up their ATV's.

An argument could be made that the facts favor Hunter, for the fishers in *Waiste* were involved in criminal conduct. The facts indicate that most people under 21 do not commit violent crime and that most people under 21 who possess four-wheel ATV's do not use them to commit crimes. This argument is not particularly strong because it is really aimed at the reasonableness of the statute rather than the level of process required.

#### II. Takings Clause – 15%

Hunter may have a claim under the takings clause depending on whether the court views the seizure of the ATV as an exercise of police power or not. Article I, Section 18 of the Alaska Constitution provides that "[p]rivate property shall not be taken or damaged for public use without just compensation." The protections of the takings clause extend to personal property as well as real property. *Waiste*, 10 P.3d at 1154. Alaska's takings clause offers broader protection than the federal clause. *Id.* Alaska's clause also ensures compensation for temporary takings as well as permanent takings. *Id.* July 2008 Page 3 of 5

The Alaska Supreme Court recognizes two instance of *per se* taking: (1) when there has been a physical invasion of the property and (2) where a regulation denies a person all economically feasible use of the property. *R & Y, Inc. v. Municipality of Anchorage*, 34 P.3d 289, 293 (Alaska 2001). But not all acquisitions of private property by the state are takings. *Waiste*, 10 P.3d at 1154. The confiscation of private property through an exercise of the government's police power is not a taking requiring just compensation. *Id.* In *Waiste*, the government seized the plaintiff's fishing boat because it

suspected him of illegally fishing in closed waters. The supreme court held that the plaintiff was not entitled to compensation for the temporary seizure of his fishing boat because the seizure of property suspected of having been used to break the law falls squarely within the government's police power. *Id.* at 1155.

The seizure of the ATV in the question has aspects of both a taking and an exercise of police power. On one hand, it looks like an exercise of police power because Alaska has made it illegal for people under 21 to possess ATV's. The officer confiscated the ATV and the hearing officer upheld the seizure because Hunter was under 21. On the other hand, Hunter did not engage in any criminal conduct, for there was no penalty associated with his possession of the ATV other than its forfeiture. Similarly, there is no evidence that he used the ATV to engage in any criminal activity. This distinguishes his situation from the plaintiff in *Waiste*. By the same token, the fact that Alaska raised a lot of revenue by confiscating and selling ATV's suggests that the taking was for the benefit of the public.

## III. Equal Protection – 40%

Hunter may have a claim based on equal protection. Article I, Section 1 of the Alaska Constitution provides that "all persons are... entitled to equal rights, opportunities, and protection under the law."

Equal protection analysis begins with the question of whether two similarly situated groups are being treated disparately. *Stanek v. Kenai Peninsula Borough*, 81 P.3d 268, 270 (Alaska 2003). Hunter has a good argument that he is part of a group that is being treated disparately because the law distinguishes between people under 21 years of age and those 21 and over. The Alaska Supreme Court uses a sliding scale to evaluate equal protection claims. *Premera Blue Cross v. State, Dept. of Commerce, Community & Economic July 2008 Page 4* of 5

*Development, Div. of Ins.*, 171 P.3d 1110, 1121 (Alaska 2007). The sliding scale is based on the court's evaluation of three variables. *Id.*1

A. The First Variable - The Interest Impaired by Alaska's Statute
First, the court must determine what weight it should give the interest
impaired by the challenged statute. *Id.* The nature of the impaired interest is
the most important variable because the state will have a greater or lesser
burden to justify the statute depending on the weight given to the interest. *Id.*The interest impaired by Alaska's statute is the right of people under 21 to
possess ATV's. The Alaska Supreme Court has not yet had an opportunity to
ascribe a weight to this interest. Hunter could argue that the right is
fundamental or that it should be treated like gender and illegitimacy which
receive intermediate scrutiny. But the court of appeals' decision in *Gibson v.*State, 930 P.2d 1300, 1302 (Alaska. App. 1997), suggests that the interest is at
the low end of the scale, for the court concluded the state's "legitimate" interest
in protecting the health and welfare of its citizens merited the infringement of
individual rights. *Id.* (claim that prohibition against possessing firearms while
intoxicated violated the state constitution's guarantee of the personal right to

bear arms).

# **B.** The Second Variable - The Purpose of the Statute

Second, the court must determine the purposes served by the challenged statute. *Id.* Depending on the importance of the impaired interest, the state may have to show that its objectives were legitimate, compelling, or somewhere in between. *Id.* 

The purpose of the statute is to reduce violent crime committed by people under 21. In *Treacy v. Municipality of Anchorage*, 91 P.3d 252, 266 (Alaska 2004), the court held that Anchorage had a compelling interest in curbing juvenile crime. It follows, therefore, that Alaska has a similar compelling interest in curbing violent crime committed by adults under 21 years of age.

# C. The Third Variable - The Particular Means Chosen

Third, the court must evaluate the particular means employed to further the purposes of the statute. *Id.* At the low end of the scale, the court only requires a fair and substantial relationship between the means and the ends. *Premera*, 81 P.3d at 1111. The intermediate level of scrutiny applied to claims involving gender and illegitimacy requires a substantial relationship to the accomplishment of the statute's purpose. *Id.* At the high end of the scale, the purpose must be accomplished by the least restrictive alternative. *Id.* 

1 Even though the supreme court calls its test a sliding scale, it has only identified three stops on the scale:

relaxed scrutiny, intermediate scrutiny, and strict scrutiny. *Stanek v. Kenai Peninsula Borough*, 81 P.3d 268, 270

(Alaska 2003).

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The statute bears some relationship to its purpose. In theory, prohibiting young people from possessing ATV's will reduce violent crime because it will reduce their access to the means of committing the crime. On the other hand, the fit between the statute and its purpose is not very close. Most people under 21 do not commit violent crimes. Most of the crimes were committed with three-wheel ATV's, but the statute bans the possession of four-wheel ATV's as well. Yet very few people under 21 use their four-wheel ATV's to commit crimes. Alaska could have tailored the statute more narrowly by prohibiting people under 21 from possessing three-wheel ATV's. How closely the court scrutinizes the statute will determine the likely outcome of Hunter's challenge. The statute will likely survive the lower level of scrutiny because it has some rational relationship to its purpose. On the other hand, if the court applies strict scrutiny, the statute might fail because it is not tailored very narrowly and there are less restrictive alternatives. Application of intermediate scrutiny could yield either result depending on the emphasis that the court placed on closeness of the fit between the statute and its purpose.

#### IV. Substantive Due process – 10%

A statute violates substantive due process when it has no reasonable relationship to a legitimate government purpose. *Premera*, 171 P.3d at 1124. If a statute survives scrutiny under Alaska's equal protection clause, then it

passes muster under substantive due process because equal protection scrutiny is stricter. *Id.* at 1124-25. Alaska's statute will probably pass muster because there is a reasonable relationship between the goal of limiting violence committed by young people with ATV's and prohibiting those young people from possessing the ATV's.

(Haeg never received any hearing, either pre or postseizure, after the seizure of his property – and the troopers, in their own report, documented that Haeg asked "When I can I get my plane back because I have clients coming in tomorrow and have to set up bear camp." The troopers responded "Never." Brent Cole, Haeg's first attorney, testified under oath at Bar Fee Arbitration that the law did not allow a hearing. Haeg's property, including airplane, was forfeited and afterward the state argued they had authority to do so under the same forfeiture statutes as <u>Waiste v. State</u> – as they failed to ever place a forfeiture notice in any charging document – as required. After Haeg's property was forfeited the state prosecutor, Scot Leaders, stated in a certified document that Haeg had no right to a postseizure hearing.)

# Exhibit 35

# SUCCESSFUL INEFFECTIVE ASSISTANCE OF COUNSEL CLAIMS SINCE WIGGINS

#### **Supreme Court**

Wiggins v. Smith, 539 U.S. 510 (U.S. Supreme Court 2003) Counsel ineffective for failing to adequately prepare and present mitigation [evidence].

# **Erroneous Advice**

<u>Nunes v. Mueller</u>, 350 F.3d 1045 (9<sup>th</sup> Cir. 2003) Counsel was ineffective for giving the defendant incorrect information and advice concerning the state's plea offer, which resulted in the defendant rejecting the offer.

<u>United States v. Mooney</u>, 497 F3d 397 (4<sup>th</sup> Cir. 2007) Counsel ineffective for advising defendant to plead guilty based on the erroneous assumption that no justification defense existed. Counsel's erroneous legal advice resulted from a failure to conduct the necessary legal investigation. Counsel in criminal cases are charged with the responsibility of conducting "appropriate investigations, both factual and legal, to determine if matters of defense can be developed."

<u>Julian v. Bartley</u>, 495 F.3d 487 (7<sup>th</sup> Cir. 2007) Counsel ineffective in plea negotiations for incorrectly advising the defendant. Prejudice established because the information was "precisely the type of information that is likely to impact a plea decision." New trial granted.

Maples v. Stegall, 427 F.3d 1020 (6<sup>th</sup> Cir. 2005) Counsel ineffective based on counsel's incorrect advice. The defendant was prejudiced because he would not have pled guilty absent this incorrect advice.

Moore v. Bryant, 348 f.3d 238 (7<sup>th</sup> Cir. 2003) Counsel was ineffective for giving erroneous advice.

McBroom v. Warren, 542 F.Supp.2d 730 (Mich. 2008) Counsel ineffective in incorrectly advising the defendant of the law.

<u>U.S. v. Marcos-Quiroga</u>, 478 F.Supp. 2d 1114 (Iowa 2007) Counsel ineffective for erroneously advising the defendant.

<u>State v. Patel</u>, 626 S.E.2d 121 (GA 2006) Counsel was ineffective for making affirmative misrepresentations to the defendant. [C]ounsel here made an affirmative misrepresentation in response to the defendants specific inquiries.

<u>Revell v. State</u>, 989 So. 2d 751 (Fla. 2008) "Counsel's failure to accurately advise his client when considering the offer of a plea negotiation amounts to ineffective assistance."

<u>David v. Murrell</u>, 619 S.E.2d 662 (GA 2005) Counsel was ineffective. Counsel's conduct was deficient because counsel affirmatively misinformed the defendant that he would be eligible for parole and sentence review when neither was true. Prejudice found.

<u>Hernandez v. Commissioner of Correction</u>, 846 A.2d 889 (Conn. 2004) Counsel ineffective for erroneously advising the defendant. Counsel's conduct was deficient and the defendant was prejudiced because he likely would not have entered the plea absent counsel's misadvice because defendant had a plausible self-defense argument and the court had already excluded the testimony of the only state's witness that could testify about the defendant's motive to commit murder.

#### **Failing to Suppress Statement**

Moore v. Czerniak, 534 F.3d 1128 (9<sup>th</sup> Cir. 2008) Counsel ineffective for failing to object to the admissibility of the petitioner's confession. [H]ad his counsel filed the motion is sufficient to undermine confidence in the outcome as "the state's case would have been far weaker."

<u>People v. Hernandez</u>, 840 N.E.2d 1254 (Ill. 2005) Counsel ineffective for failing to move to suppress the defendant's videotaped statement to an assistant prosecutor. Prejudice found because the statement would have been excluded and the state's case was largely based just on this statement.

<u>United States v. Little</u>, 851 A.2d 1280 (D.C. 2004) Counsel ineffective for failing to timely move to suppress the defendant's statement. If counsel had adequately investigated, counsel would have also been aware that the issue had merit. There was also a reasonable probability of a different outcome at trial if the statement had been suppressed.

<u>United States v. Hilliard</u>, 392 F.3d 981 (8<sup>th</sup> Cir. 2004) Counsel ineffective for failing to timely file a post-trial motion. Counsel's conduct was deficient and was "a class dereliction" of duty. Prejudice was found because the district court found that the motion would have been granted because "a miscarriage of justice was likely done here."

<u>State v. Robertson</u>, 924 So. 2d 1201 (La. 2006) Counsel ineffective for failing to object to admission in evidence of the defendant's letter to the prosecution. Counsel's conduct was deficient because counsel was unaware of the state law making this information clearly inadmissible. Prejudice found.

<u>People v. Hoerer</u>, 872 N.E.2d 572 (Ill. 2007) Counsel ineffective for stipulating to the admission of the defendants testimony he entered into plea negotiations with the state. Prejudice established because, under state law, admission of this evidence is "considered so devastating and prejudicial

to a defendant that it constitutes reversible error absent a contemporaneous objection from trial counsel and even in the fact of overwhelming evidence of guilt."

<u>United States v. Ramsey</u>, 323 F. Supp.2d 27 (D.D.C. 2004) Counsel was ineffective for a number of reasons, but primarily for failing to move for a mistrial after the court suppressed an inculpatory statement after it was already heard by the jury. This error was considered in conjunction with counsel's ignorance of the law and failure to understand the implications of an entrapment defense. Counsel's conduct was deficient and the proffered strategy reasons for failing to seek a mistrial were "so nonsensical that the court is left to conclude that [counsel] simply abandoned what he decided at some point during the trial was an unwinnable case, and had been unwilling to invest the time and effort that would be required by a second trial." Prejudice found, regardless of the likely outcome of a new trial, because counsel's deficient conduct deprived the defendant of a mistrial and, thus, "the opportunity for a second trial."

### Failing to Suppress Evidence

<u>Joshua v. Dewitt,</u> 341 F.3d 430 (6<sup>th</sup> Cir. 2003) Trial and appellate counsel were ineffective for failing to move to suppress evidence. Without this evidence, there was a substantial probability that the defendant would not have been convicted.

<u>Jones v. Wilder-Tomlinson</u>, 577 F. Supp. 2d 1064 (Iowa 2008) Counsel ineffective in failing to timely file a motion to suppress evidence. Prejudice established because "the evidence would have been suppressed had timely motion to suppress been filed."

Owens v. United States, 387 F.3d 607 (7<sup>th</sup> Cir. 2004) Counsel was ineffective for failing to adequately move to suppress evidence seized pursuant to a search of the defendant's house. The evidence was seized pursuant to a warrant based on a barebones affidavit, signed by a detective, that stated that an informant had bought some crack form the defendant at the house three months earlier. There was no indication of the quantity of crack or the reliability of the informant. "The prejudice essential to a violation of the Sixth Amendment right to the effective assistance of counsel is not being convicted though one is innocent, although that is the worst kind; it is being convicted when one would have been acquitted, or at least would have had a good shot at acquittal, had one been competently represented."

<u>State v. Horton</u>, 146 P.3d 1227 (Wash. 2006) Counsel ineffective for failing to move to suppress evidence from a pat-down search. Prejudice found.

<u>State v. Meckelson</u>, 135 P.3d 991 (Wash. 2006) Counsel ineffective for failing to move to suppress evidence on basis that the officer's traffic stop was pretextual. Prejudice found because there was a reasonable probability the motion to suppress would have been granted.

<u>Collier v. State</u>, 598 S.E.2d 373 (GA 2004) Counsel ineffective for failing to move to suppress blood and urine samples taken from the defendant. Prejudice found because the admission of the blood and urine results showing methamphetamine and amphetamine unquestionably harmed the defense.

<u>State v. Johnson</u>, 837 A.2d 1131 (N.J. 2003) Counsel ineffective for failing to move to suppress a handgun seized from the defendant.

<u>Richards v. Quarterman</u>, 578 F. Supp. 2d 849, 855 (5<sup>th</sup> Cir.2009) Counsel was ineffective for a number of reasons. Counsel's conduct was deficient in failing to present evidence and preventing the state from presenting evidence. Counsels alleged strategy for this failure was "gibberish" and "an after-the-fact justification for her failure to perform properly as an attorney." "The cumulative effect of [counsel's] deficiencies in the representation...amounted to ineffective assistance of counsel that permeated [the] entire trial."

<u>Rayshad v. State</u>, 670 S.E.2d 849 (GA 2008) Counsel ineffective for failing to object to inadmissible, prejudicial evidence.

<u>People v. Tykhonov</u>, 838 N.YS.2d (N.Y. 2007) Counsel ineffective for numerous reasons. Counsel failed to file a motion to suppress. Counsel also "was not prepared in both the law and the facts and he was unable to employ basic principles of criminal law and procedure."

#### Failure to Enforce Plea Agreement

<u>Baldridge v. Weber</u>, 746 N.W.2d 12 (S.D. 2008) Counsel ineffective for failing to object to the state's failure to comply with the plea agreement. Prejudice was presumed because the defendant "had a substantial right to the fulfillment of the terms of his plea agreement."

<u>Custodio v. State</u>, 644 S.E.2d 36 (S.C. 2007) Counsel ineffective for failing to have the defendants plea agreement enforced based on detrimental reliance. Prejudice established because the defendant was entitled to enforcement of the deal. "[T]he State may withdraw a plea bargain offer before a defendant pleads guilty, provided the defendant has not detrimentally relied on the offer." Here, the defendant had detrimentally relied on the offer.

<u>Taylor v. State</u>, 919 So. 2d 669 (Fla. 2006) Counsel ineffective for failing to ensure enforcement of the plea agreement.

# **Failure to Give Adequate Advice**

<u>Thompson v. United States</u>, 504 F.3d 1203 (11<sup>th</sup> Cir. 2007) Counsel ineffective for failing to adequately advise the defendant of the right to appeal. Counsel had duty to consult with the defendant because the defendant "expressed and interest in an appeal by asking his attorney about that right." Counsel's advice was deficient because the defendant was given no information from which he could have intelligently and knowingly either asserted or waived his right to appeal. Prejudice established because there is a reasonable probability the defendant would have appealed if he had been adequately advised.

<u>United States v. Hernandez</u>, 450 F. Supp. 2d 950 (Iowa 2006) Counsel ineffective for failing to adequately advise the defendant, which resulted in the defendant declining to plead guilty and testifying during the trial. Prejudice established because the defendant, if counsel had performed adequately, would have received a lesser sentence.

<u>United States v. White,</u> 371 F. Supp. 2d 378 (N.Y. 2005) (2<sup>nd</sup> Cir. 2007) Counsel was ineffective for failing to adequately advise the defendant, which resulted in the defendant rejecting a plea agreement. If defendant had been adequately advised he most likely would have accepted the plea offer.

People v. Owens, 894 N.E.2d 187 (Ill. 2008) Counsel ineffective for failing to consult with the defendant concerning a motion to reconsider sentence. "[T]he attorney's failure to consult with the defendant during a critical stage of the proceedings" was deficient and the defendants ability to preserve his sentencing arguments for appeal was prejudiced.

State v. Hunter, 143 P3d 168 (N.M. 2006) Counsel ineffective for failing to adequately advise the defendant.

<u>State v. Lamb.</u>, 804 N.E.2d 1027 (Ohio 2004) Counsel ineffective for failing to object to the trial court's failure to inform the defendant. Counsel's conduct was deficient and prejudicial in failing to object to the trial courts error.

# Failure to Enforce Subpoena

<u>United States v. Edmond</u>, 63 M.J. 343 (C.A.A.F. 2006) Counsel ineffective for failing to secure the testimony of a subpoenaed defense witness.

#### **Failure to Request Jury Instructions**

<u>J.J. v. State</u>, 858 N.E.2d 244 (Ind. 2006) Counsel ineffective for failing to inform jury that the defendants alleged accomplice had been granted use immunity. Prejudice found because the witness' testimony was of great consequence.

<u>State v. Kougl,</u> 97 P.3d 1095 (Mont. 2004) Counsel ineffective for failing to request jury instructions on accomplice testimony. In addition, although counsel informed the jury to view accomplice testimony with suspicion, "hearing this from counsel…is not the same as hearing it from the court."

# **Failure to Protest Prosecutorial Misconduct**

Martin v. Grosshans, 424 F.3d 588 (7<sup>th</sup> Cir. 2005) Counsel ineffective for failing to object to improper testimony and argument. "[E]ven if these errors, in isolation, were not sufficiently prejudicial, their cumulative effect prejudiced…[the] defense."

<u>People v. Phipps</u>, 889 N.E.2d 1154 (Ill 2008) Counsel ineffective for failing to object to substitution of charged offenses.

#### Failure to Assert Double Jeopardy

West v. Director of Dept. of Corrections, 639 S.E.2d 190 (Va. 2007) Counsel ineffective for failing to assert a double jeopardy objection.

<u>Gerisch v. Meadows</u>, 604 S.E.2d 462 (GA 2004) Counsel was ineffective for failing to recognize and adequately advise the defendant concerning a valid double jeopardy claim.

<u>Matton v. State</u>, 872 So. 2d 308 (Fla. 2004) Counsel was ineffective in probation revocation plea case for failing to advise the defendant that he was entitled to credit for his previously accrued "gain time" in prison.

<u>State v. Henderson</u>, 93 P3d 1231 (MT 2004) Counsel ineffective for failing to adequately consult with client, investigate, or conduct any research.

## **Failure to Appeal or Modify Sentence**

<u>Matthews v. State</u>, 868 A.2d 895 (MD 2005) Counsel ineffective and prejudice presumed for failing to file motion for modification of sentence when requested to do so by defendant.

#### **Cumulative Error**

Goodman v. Bertrand, 467 F.3d 1022 (7<sup>th</sup> Cir. 2006) Counsel ineffective for numerous reasons. "Cumulative effect of the errors required reversal. Rather than evaluating each error in isolation...the pattern of counsel's deficiencies must be considered in their totality."

Aldrich v. State, S.W.3d WL 5057647 (TX 2008) Counsel ineffective for numerous reasons. First, counsel misinterpreted Kyles v. Whitley, 514 U.S. 419 (1995) to relieve him of any duty to investigate, misunderstood basic discovery procedures, and misunderstood what legally constitutes exculpatory evidence. Second, counsel failed to convey the state's 20-year plea bargain offer to the defendant because he believed "it would be unethical and would constitute malpractice to even discuss the proposed plea bargain" with the defendant. Third, counsel "neither performed a reasonable investigation nor made a reasonable decision that a particular investigation was unnecessary." Prejudice found as "[d]efense counsel's errors pervaded and prejudiced the entire defense," including during pretrial and plea negotiations.

Nance v. Ozmint, 626 S.E.2d 878 (S.C.) 549 U.S. 943 (2006) [Trial counsel's failure to investigate, plan, and present a defense constituted "a classic example of a complete breakdown in the adversarial process". [C]ounsel abandoned his role as defense counsel and if fact helped bolster the case against his client...We again recognize that this type of "consistently inept form of lawyer conduct [is not] acceptable in this state, nor will we employ a prejudice analysis, for [defense] counsel's ineffectiveness [is] so pervasive as to render a particularized prejudice inquiry unnecessary."

# Exhibit 36

# **ANCHORAGE DAILY NEWS CRAIG MEDRED ARTICLE**



It's a complicated, ugly case against guide David Haeg

CRAIG MEDRED OUTDOORS

(05/17/08 23:53:56)

When pilot and big-game guide David Haeg strayed outside the boundaries of a wolf control area near McGrath in 2004 to slaughter some wolves, there is little doubt he thought he was doing the right thing. Everyone involved with the wolf-killing program for which the state had permitted Haeg understood the objective was killing wolves to increase the survival chances for moose.

And even if Haeg and gunner Tony Zellers were technically outside the control area, they were still operating within the boundaries of state Game Management Unit 19D, and the state calls these things "Game Management Units" for a reason.

What were Haeg and Zellers doing anyway but helping to manage the game in Unit 19D?

Unfortunately the state didn't see it that way. Under fire from animal activists upset about the aerial gunning of wolves, the state saw in Haeg a chance to demonstrate that you can't just let wolf-control run wild, to spin an old phrase from former Gov. Wally Hickel.

Still, in fairness to the Alaska state troopers and the state attorneys involved, it is near certain they too thought they were doing the right thing when they busted Haeg.

Everyone agreed Haeg broke the law. He shot nine wolves 20 to 30 miles outside the control area. He deserved to be punished for that.

Where the issue turned ugly was in deciding what punishment fit the crime. This is the reason the case is still making its way through the Alaska court system.

The state wanted make an example of David Haeg. It was supposed to be pretty simple:

They'd bust him. They'd make a big show of it by playing the press like a trophy king salmon, something at which law enforcement officials in this state are good.

Wolves shot 20 to 30 miles outside the control area became wolves shot up to 80 miles outside the control area. Haeg was portrayed as a rogue, out-of-control aerial wolf hunter to make it appear the state was keeping a close watch on these hunts, which is the biggest fraud in all this.

Haeg was supposed to take the publicity hit, hire a fixer to negotiate a plea deal and then just wait for everything to fade away.

That's the way these cases usually go down.

Haeg, for his part, played his role properly at the start. He hired a lawyer who specializes in plea-bargaining wildlife cases. A plea bargain was struck.

And then everything fell apart. Why isn't exactly clear.

State assistant attorney general Andrew Peterson said it was because Haeg didn't want to let the state take his airplane, a pricey Piper Super Cub specially outfitted for short-field landings.

"He didn't want to give it up," Peterson said.

But it isn't quite that simple.

The state had seized Haeg's airplane early in the investigation. State officials never bothered to tell him he had the right to protest that seizure and go before a judge to try to get the plane back while his case was adjudicated. When he finally found out, he got mad.

By then, he'd also lost a hunting season with its tens of thousand of dollars in business. He was watching his life drain away along with his money.

"All they had to do," he told the three, gray-haired judges of the appeals court in mid-May, "was write a little note on the search warrant: 'Mr. Haeg, you have the right to appeal it.' "

Instead, Haeg said, when he asked troopers how and when he might get his plane back, "the trooper told me I was never going to get my plane back."

Somewhere in there, the now 42-year-old Haeg decided the government -- our government -- was trying to railroad him, and he started fighting back. He hired two attorneys. When one seemed more interested in negotiating deals than battling for his case and the other couldn't do much to stop him from getting convicted, he got even madder.

He became his own lawyer, a one-man legal aid society cranking out the briefs and appeals. Four years after the wolf shooting, he is a man obsessed with his case.

But then, we all might be if you consider what happened to Haeg after the plea agreement went bust.

The state used what Haeg said in a five-hour, plea-agreement interview to put together a bunch of new charges. They didn't just go after him for violating the terms of the aerial wolf-control permit. They went after him for the crime of aerial hunting.

(Haeg makes an interesting argument that someone engaged in state-permitted wolf control isn't "hunting" because the state, in permitting the aerial gunning, specifically says it isn't hunting.)

The prosecutors saw it differently. To them, it looked like hunting, and they tried to tie it to the game management unit in which Haeg guides to make it appear he was doing wolf control to further his hunting business.

A trooper testified that Haeg killed the wolves in the game management unit where he has his hunting camps, but eventually recanted that testimony on cross-examination at Haeg's trail.

As Haeg pointed out to the appeals court, however, not even the judge appeared to hear. In taking away Haeg's guide license, and thus his business, for five years, the judge specifically cited the egregious act of Haeg illegally killing wolves in the area where he guides -- something which just didn't happen.

Haeg gets especially upset about this. He tosses the word "perjury" around a lot.

I don't know what to think about David Haeg. He and some of his friends have e-mailed me repeatedly over the years to plead his case. He's always sounded a bit paranoid.

He started a Web site to publicly air the case: <u>alaskastateofcorruption.com</u>. It appears a little paranoid too -- rambling and disjointed. Haeg is not a particularly eloquent man.

He is a big-game guide. He looked different in suit and tie before the appeals court judges in a sterile Anchorage courtroom, but he was clearly still a guy who would have been a lot more comfortable in the woods.

After his presentation, all by himself, without the aid of any of the attorneys he's come to detest, a lone man behind a wooden table bucking the system in what he believes to be a fight for what is right, Haeg broke down in tears.

I knew then even less than when I entered the court room. Some 50 or so people in attendance, though, had a distinct and communal opinion. After the judges walked out of the chambers, they stood up to applaud Haeg long and loud.

Poor state attorney Peterson had to sort of slink out of the chambers.

A colleague at the top of the stairway leading to the door, shook his hand -- a deserved thank you for arguing what has come to be a complicated and ugly case.

I was left knowing that I didn't like a lot of what I saw. I felt a little sorry for Haeg. I remember thinking mainly about the over-used phrase of an old friend: Just because you're paranoid doesn't mean they aren't out to get you.

What Haeg was apparently trying to do in March of 2004 was help the state out with its dirty little business of manipulating wolf numbers. He got a little carried away, yes, but for that he deserves to lose his business for five years and his airplane?

If that's the case, what should be the punishment for those commercial fishermen who on any given day over the course of the Alaska summer stray outside the boundaries of carefully drawn fishing districts to snatch public resources worth tens of thousands of dollars?

Haeg wasn't making any money off shooting those wolves.

He was just a poor fool trying to help the state with its stated goal of reducing wolf numbers in the McGrath area. So far, it appears to have cost him about four years of his life.

Outdoors editor Craig Medred is an opinion columnist. Find him online at adn.com/contact/cmedred or call 257-4588.

# Exhibit 33

#### **AFFIDAVITS FROM WITNESSES**